

# **BULKY DOCUMENTS**

(exceeds 300 pages)

Proceeding/Serial No: 91178539

Filed: 04-08-2008

Title: Oposer's Second Motion to Amend the Notice of

Opposition; with Exhibits

Part 1 of 1





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TTAB

#### **ERIK BERTIN**

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26/873, Mel

April 8, 2008

#### **VIA U.S. EXPRESS MAIL**

Commissioner for Trademarks P.O. Box 1451 Alexandria, VA 22313-1451

Re: Opposition No. 91/178,539 SmithKline Beecham Corporation v. Omnisource DDS, LLC

To the Commissioner for Trademarks:

Enclosed for filing in this matter are the following:

- Opposer's Second Motion to Amend the Notice of Opposition, together with a signed copy of the Second Amended Notice of Opposition and Exhibits A through R thereto
- Declaration of Erik Bertin, together with Exhibits A through M thereto. This Declaration has been submitted in support of Opposer's Motion for Summary Judgment on the Issue of Applicant's *Bona Fide* Intent, which was filed on this date.

Sincerely,

Erik Bertin

Ende Cristion

**Enclosures** 

cc: Erik M. Pelton

P.O. Box 100637

Arlington, VA 22210

Counsel for Applicant Omnisource DDS, LLC

04-08-2008

U.S. Patent & TMOFC/TM Mail Ropt Dt. #32

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

SMITHKLINE BEECHAM CORPORATION :

Opposer,

Opposition No. 91/178,539

OMNISOURCE DDS, LLC

v.

Applicant.

## OPPOSER'S SECOND MOTION TO AMEND THE NOTICE OF OPPOSITION

Opposer SmithKline Beecham Corporation moves to amend the Notice of Opposition by adding an additional basis for opposing Applicant's intent-to-use application, namely, that Applicant Omnisource DDS, LLC does not have a *bona fide* intent to use its mark in commerce. Applicant failed to produce any objective evidence demonstrating that it intended to use the mark AQUAJETT for "dental instruments, namely, oral irrigators" at the time that the application was filed. As such, Applicant's intent-to-use application is void.

Adding a claim based on Applicant's lack of *bona fide* intent will not cause any prejudice, because Opposer has not asked for additional discovery on this issue. In fact, Opposer's claim is based entirely on the evidence (or lack thereof) that Applicant produced during discovery.

A signed copy of Opposer's Second Amended Notice of Opposition is enclosed. In addition, Opposer has made its pleaded registrations of record pursuant to Rule 2.122(d)(1) by submitting photocopies of those registrations along with print-outs from the TESS / TARR databases showing the current status and title of each registration.

Opposer is filing a motion for summary judgment on the issue of Applicant's *bona fide* intent together with this motion. Therefore, Opposer respectfully submits that this Second Motion to Amend the Notice of Opposition and the Motion to Amend filed on January 2, 2008 are now ripe for adjudication.<sup>1</sup>

On January 2, 2008 Opposer filed a motion to amend the Notice of Opposition by adding its newly-acquired registration for AQUA FLOSS as an additional basis for opposing Applicant's mark (the "AQUA FLOSS Motion").

#### **BACKGROUND**

Applicant has filed an intent-to-use application to register AQUAJETT for "dental instruments, namely, oral irrigators" (the "Application"). Opposer opposed this Application based on its prior registrations for AQUA, AQUAFRESH, and other AQUAFRESH-formative marks for toothpastes, toothbrushes, and related products, and AQUA FLOSS for a "water jet oral hygiene device for cleaning and irrigating gums and spaces between teeth." Discovery closed on February 9<sup>th</sup>, and Opposer's testimony period is scheduled to begin on April 9<sup>th</sup>.

Applicant's discovery responses and deposition testimony confirm that Applicant does not have a *bona fide* intent to use its mark in commerce. On February 11<sup>th</sup>, Opposer served Applicant with a set of interrogatories, document requests, and requests for admissions, and asked Applicant to supplement its responses to certain discovery requests that were served earlier in the proceeding. Applicant responded to these requests on February 25, 2008 and March 11, 2008, respectively. On February 27, 2008 Opposer deposed the president of Omnisouce, Dr. William Weissman.<sup>2</sup> The relevant portions of this evidence have been filed in this proceeding as exhibits to the Declaration of Erik Bertin, dated April 8, 2008.

As Opposer has demonstrated in its motion for summary judgment, the evidence confirms that Applicant did not have a *bona fide* intent to use AQUAJETT in commerce as of the Application filing date:

On March 26, 2008 the Board said that it needed to review the evidence that Opposer submitted in support of the proposed amendment before it could rule on the AQUA FLOSS Motion. Because the Board does not review trial testimony or examine other trial evidence prior to final hearing, the Board deferred its decision on the AQUA FLOSS Motion until the final hearing. See Order dated 3/26/08 at 2.

For the convenience of the parties and the Board, the amendments that were submitted with the AQUA FLOSS Motion have been incorporated into Opposer's Second Amended Notice of Opposition, which is the subject of this Second Motion to Amend. (Compare Opposer's Amended Notice of Opposition ¶ 5 with Opposer's Amended Notice of Opposition ¶ 5.)

By agreement of the parties, this deposition took place after the close of the discovery perioc. (See Stipulation to Postpone Discovery Deposition, filed February 8, 2008.)

- Applicant never used AQUAJETT in commerce for oral irrigators or any other product or service.
- Applicant never licensed or attempted to license AQUAJETT for use on or in connection with oral irrigators or any other product or service.
- o Applicant has not produced a single document showing that it has a *bona fide* intent to use its mark in commerce.
- Applicant has not taken any steps to put this mark into use, either before or after the filing date of the Application.
- Applicant has filed numerous intent-to-use applications covering the same product that is described in its application for AQUAJETT, but has not taken steps to put these marks into use.

Because there is no objective evidence demonstrating that Applicant has a *bona fide* intent to use its mark in commerce, the intent-to-use application is void and registration should be refused.

#### **ARGUMENT**

The Board's rules provide that leave to amend a pleading is "freely" and "liberally" given "at any stage of a proceeding when justice so requires, unless entry of the proposed amendment would violate settled law or be prejudicial to the rights of the adverse parties or parties." TBMP § 507.02. Leave to amend is routinely granted where – as here – the Opposer has sought to add a claim that is based on new evidence that was produced during discovery. See Beth A. Chapman, TIPS FROM THE TTAB:

Amending Pleadings: The Right Stuff, 81 Trademark Rep. 302, 310-11 (1991) ("if it becomes necessary to amend the pleadings because of recently discovered evidence, or the like, the parties should keep in mind the guiding principle that the Board strives for a full and complete adjudication of all of the issues"). Likewise, leave to amend has been granted where – as here – the Opposer has filed a motion for summary judgment on its new claim and where the testimony period has not yet begun. See, e.g., Focus 21 International Inc. v. Pola Kasei Kogyo Kabushiki Kaisha, 22 USPQ2d 1316, 1318 (TTAB 1992) (granting motion to amend filed prior to opening of the testimony period).

#### A. The Second Amended Notice of Opposition States A Valid Claim for Relief

The proposed amendment is clearly permitted under the Board's precedent. In *Commodore Electronics Ltd. v. CBM Kabushiki Kaisha*, 26 USPQ2d 1507 (TTAB 1993) the opposer asked for leave to amend its notice of opposition after it learned through discovery that the applicant "did not have a single document to establish a *bona fide* intention to use [its mark] in commerce." *Id.* at 1504. The Board held that "the absence of any documentary evidence on the part of the applicant regarding [its claimed intent to use] is sufficient to prove the applicant lacks a bona fide intention to use its mark in commerce [at the time it filed its intent-to-use application] as required by Section 1(b)." *Id.* at 1507. Accordingly, the Board granted the opposer's motion to amend. *Id.* at 1506-07.

The facts presented in this case warrant the same outcome. Applicant purportedly intends to use the mark AQUAJETT on or in connection with "dental instruments, namely, oral irrigators." However, there is no evidence in the record to support or corroborate that claim. Applicant's failure to produce any objective evidence – documentary or otherwise – demonstrating that it actually plans to use its mark in commerce confirms that it lacks the requisite *bona fide* intent under Section 1(b). Because Opposer's new claim is permitted under the Board's established precedent, that claim should be added to the Notice of Opposition. *See id.* at 1507.

Moreover, Opposer has met the pleading requirement for asserting this type of claim. The Second Amended Notice of Opposition and Opposer's motion for summary judgment specify the factual and legal basis for this claim, and as such, Applicant has been put on fair notice as to why it lacks the *bona fide* intent required by Section 1(b).

#### B. Applicant Will Not Suffer Any Prejudice If This Motion Is Granted

Applicant will not be prejudiced if a *bona fide* intent claim is added to the Notice of Opposition. Opposer is asking for leave to amend its Notice of Opposition within a reasonable time after becoming aware of the facts that support this claim, and has not asked for any additional discovery on this issue. Applicant has no need to take additional discovery in order to defend this added claim, because the new claim is based on Applicant's own actions (or inactions), and based on documents and information that should be within the Applicant's custody and control.

#### **CONCLUSION**

For the foregoing reasons, Opposer respectfully requests that the Board grant the motion to amend and enter its Second Amended Notice of Opposition into the record.

Dated: April 8, 2008

Respectfully submitted,

Ender Senters

Glenn A. Gundersen

Erik Bertin Jacob R. Bishop DECHERT LLP

Cira Centre, 2929 Arch Street Philadelphia, PA 19104-2808 (215) 994-2183

Attorneys for Opposer SMITHKLINE BEECHAM CORPORATION

## Certificate of Mailing by Express Mail

I hereby certify that Opposer's Motion to Amend the Notice of Opposition is being deposited with the United States Postal Service as Express Mail, Post Office to Addressee in an envelope addressed to: Commissioner for Trademarks, P.O. Box 1451, Alexandria, Virginia 22313-1451, on April 8, 2008.

Erik Bertin Person Signing Certificate April 8, 2008 Date of Signature

Enla, Bertin.

EB 303226528 US Express Mail Number

Endas Besters

I hereby certify that a true and correct copy of Opposer's Motion to Amend the Notice of Opposition has been duly served by mailing such copy first class, postage prepaid, to Erik M. Pelton, P.O. Box 100637, Arlington, VA 22210 on April 8, 2008.

Certificate of Service

Erik Bertin

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

SmithKline Beecham Corporation,	)
Opposer,	)
v.	Opposition No. 91/178,539
Omnisource DDS,	)
Applicant.	) ) )

#### SECOND AMENDED NOTICE OF OPPOSITION

SmithKline Beecham Corporation, a Pennsylvania corporation with a principal place of business at 709 Swedeland Road UW2221, King of Prussia, Pennsylvania 19406, believes that it will be damaged by the registration of the mark AQUAJETT which is the subject of Application Serial No. 78/893,144 and hereby opposes registration of said mark.

As grounds for opposition, it is asserted that:

- 1) Opposer, since at least as early as 1972 and since long prior to any date of first use upon which Applicant can rely, has manufactured, distributed, marketed and sold various oral care products under the mark AQUAFRESH, both alone and together with another word or words and/or design.
- 2) As a result of Opposer's long and extensive use of promotion of its AQUAFRESH marks in connection with oral care products, the AQUAFRESH brand has become a well-known, renowned and famous mark and has become an extremely valuable asset of Opposer.

- 3) Opposer has also, since prior to any date of first use upon which Applicant can rely, used the mark AQUA as a trademark to identify its toothpaste and toothbrushes.
- 4) Opposer is also the owner, by assignment, of the AQUA FLOSS mark for "Water jet oral oral hygiene device for cleaning and irrigating gums and spaces between teeth".
- 5) Opposer is the owner of following United States trademark registrations, among others, which are valid, subsisting, unrevoked and uncancelled:

REGISTRATION	MARK	GOODS	ISSUE DATE
NO			
1,662,981	AQUAFRESH FLEX	Toothbrushes	October 29, 1991
1,006,821	AQUAFRESH	Toothpaste	March 18, 1975
1,805,051	AQUAFRESH SENSITIVE	Toothpaste	November 16, 1993
2,304,437	AQUA	Toothpaste and Toothbrushes	December 28, 1999
2,706,176	AQUAFRESH and Design	Toothbrushes	April 15, 2003
2,699,482	AQUAFRESH and Design	Toothbrushes	March 25, 2003
2,706,175	AQUAFRESH and Design	Toothbrushes	April 15, 2003
2,587,675	AQUAFRESH FLEX	Toothbrushes	July 2, 2002
2,759,361	AQUAFRESH FLEX TIP MAX-ACTIVE	Toothbrushes	September 2, 2003
2,754,841	AQUAFRESH and Design	Toothbrushes	August 26, 2003
2,314,839	AQUAFRESH-ALL	Toothpaste	February 1, 2000
2,615,649	AQUAFRESH EXTREME CLEAN	Chewing gum; Toothpaste	September 3, 2002

REGISTRATION NO	MARK	GOODS	ISSUE DATE
2,811,892	AQUAFRESH AQUABLAST	Toothbrush cleaners and oral appliance cleaners	February 3, 2004
3,058,216	AQUAFRESH XTENSIVE	Toothbrushes	February 7, 2006
3,218,965	AQUAFRESH WHITE & SHINE	Oral care Preparations, namely Dental gels, dentifrices	March 13, 2007
3,110,249	AQUAFRESH IMPACT	Toothpaste	June 27, 2006
2,985,548	AQUAFRESH DIRECT	Toothbrushes	August 16, 2005
1,660,337	AQUA FLOSS	Water jet oral hygiene Device for cleaning and irrigating gums and spaces between teeth	October 18, 1991

The foregoing marks are collectively referred to in this Second Amended Notice of Opposition as "Opposer's AQUA and AQUAFRESH Marks." Copies of the foregoing registrations are enclosed as Exhibits A through R, along with print-outs from the Trademark Office's TESS and TARR databases showing the current status and title of each registration.

6) Applicant has filed an application to register the mark AQUAJETT for goods described as Dental instruments, namely, oral irrigators. This application was assigned Application Serial No. 78/893,144 and was published for opposition on July 10, 2007 (the "Application"). The Application was filed based on Applicant's bona fide intention to use the mark in commerce.

- 7) Applicant's AQUAJETT mark so resembles Opposer's AQUA and AQUAFRESH Marks as to be likely applied to Applicant's goods, to cause confusion, mistake or deception within the meaning of Section 2(d) of The Trademark Act, thereby resulting in damage to Opposer.
- Registration of the mark sought by Applicant herein is barred by the provisions of The Trademark Act of 1946, as amended, for the reason that the Application consists of a mark which is similar to Opposer's previously used, registered, and famous mark, as to dilute the distinctive quality of Opposer's marks within the meaning of the Lanham Act, thereby resulting in damage to Opposer.
- 9) Upon information and belief, Applicant did not have a *bona fide* intent to use AQUAJETT in commerce for "dental instruments, namely, oral irrigators" when it filed the Application.
- 10) Upon information and belief, Applicant has not taken any steps to commence use of the mark AQUAJETT in commerce, either before or after the filing date of the Application.
- 11) Upon information and belief, Applicant does not have any documents that establish a *bona fide* intention to use AQUAJETT in commerce.
- 12) Upon information and belief, Applicant has filed numerous intent-to-use applications covering the same product that is described in the Application, but does not have any documents that establish a *bona fide* intention to use these other marks in commerce.
- 13) On June 1, 2004, Applicant filed an intent-to-use application to register AQUAJET on the Principal Register as a trademark for "oral irrigators," which was designated Serial No. 76/594,977 (the "AQUAJET Application"). The AQUAJET Application was

deemed abandoned on August 5, 2005, because the Applicant failed to respond to an office action. The Applicant never filed a statement of use for the AQUAJET Application.

- 14) On June 1, 2004, Applicant filed an intent-to-use application to register AQUAPIK on the Principal Register as a trademark for "oral irrigators" (the "AQUAPIK Application"). The AQUAPIK Application was designated Serial No. 76/594,979. This mark was published for opposition in the *Official Gazette* on May 5, 2005, and a notice of allowance was issued on November 29, 2005. Applicant has filed four extensions of time to prove use, but has not filed a statement of use for the AQUAPIK Application.
- OMNIPIK on the Principal Register as a trademark for "dental instruments, namely, oral irrigators" (the "OMNIPIK Application"). The OMNIPIK Application was designated Serial No. 78/811,971. This mark was published for opposition in the *Official Gazette* on September 12, 2006. Applicant has not filed a statement of use for the OMNIPIK Application.
- OMNIJET on the Principal Register as a trademark for "dental instruments, namely, oral irrigators" (the "OMNIJET Application"). The OMNIJET Application was designated Serial No. 78/892,761. This mark was published for opposition in the *Official Gazette* on September 12, 2006, and a notice of allowance was issued on December 5, 2006. Applicant has filed two extensions of time to prove use, but has not filed a statement of use for the OMNIJET Application.
- OMNIFRESH on the Principal Register as a trademark for, *inter alia*, "oral irrigators, dental picks, tongue scrapers" (the "OMNIFRESH Application"). The OMNIFRESH Application was

designated Serial No. 78/797,498. This mark was published for opposition in the Official

Gazette on September 12, 2006, and a notice of allowance was issued on December 5, 2006.

Applicant has filed two extensions of time to prove use, but has not filed a statement of use for

the OMNIFRESH Application.

18) On May 21, 2006, Applicant filed an intent-to-use application to register

SHOWERJET on the Principal Register as a trademark for "oral irrigators" (the "SHOWERJET

Application"). The SHOWERJET Application was designated Serial No. 76/595,958. This

mark was published for opposition in the Official Gazette on March 21, 2006, and a notice of

allowance was issued on June 13, 2006. Applicant has filed three extensions of time to prove

use, but has not filed a statement of use for the SHOWERJET Application.

WHEREFORE, Opposer respectfully prays that this Opposition be sustained and that

registration to Applicant be refused. It is believed that no fee is required for this Second

Amended Notice of Opposition. However, if a fee is required, it may be charged to Deposit

Account No. 04-0475.

Dated: April 8, 2008

Respectfully submitted,

Glenn A. Gundersen

Erik Bertin

Jacob R. Bishop

DECHERT LLP

Cira Centre, 2929 Arch Street

Endr Beiting

Philadelphia, PA 19104-2808

(215) 994-2183

Attorneys for Opposer SMITHKLINE BEECHAM CORPORATION

6

## Exhibit A

Int. Cl.: 21

Prior U.S. Cls.: 21 and 29

# United States Patent and Trademark Office Registered Oct. 29, 1991

# TRADEMARK PRINCIPAL REGISTER

## **AQUA-FRESH FLEX**

BEECHAM INC. (TENNESSEE CORPORATION) 501 FIFTH STREET BRISTOL, TN 37620 OWNER OF U.S. REG. NO. 1,006,820 AND OTHERS.

FOR: TOOTHBRUSHES, IN CLASS 21 (U.S. CLS. 21 AND 29).
FIRST USE 7-15-1990; IN COMMERCE 7-15-1990.

SN 74-062,559, FILED 5-25-1990.

JEAN E. LOGAN, EXAMINING ATTORNEY

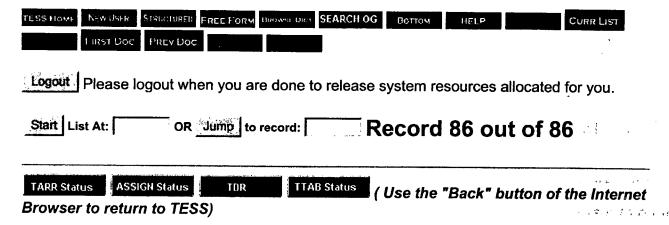


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## Trademarks > Trademark Electronic Search System (TESS)

TESS was last updated on Fri Apr 4 04:12:56 EDT 2008



### **Typed Drawing**

**Word Mark** 

AQUAFRESH FLEX

Goods and Services

IC 021. US 021 029. G & S: toothbrushes. FIRST USE: 19900715. FIRST USE IN COMMERCE:

19900715

**Mark Drawing** 

Code

(1) TYPED DRAWING

Serial Number

74062559 May 25, 1990

**Filing Date** 

**Current Filing** Basis

**1A** 

**Original Filing** 

**Basis** 

**1B** 

**Published for** Opposition

November 20, 1990

Change In Registration

CHANGE IN REGISTRATION HAS OCCURRED

Registration

Number

1662981

Registration Date October 29, 1991

Owner

(REGISTRANT) BEECHAM INC. CORPORATION TENNESSEE 501 Fifth Street Bristol

TENNESSEE 37620

(LAST LISTED OWNER) SMITHKLINE BEECHAM CORPORATION CORPORATION BY MERGER WITH PENNSYLVANIA P.O. BOX 7929 ONE FRANKLIN PLAZA PHILADELPHIA

PENNSYLVANIA 19101

**Assignment** Recorded

ASSIGNMENT RECORDED

Attorney of Record

CHRISTOPHER A SIDOTI

ere i milit

Prior

Registrations

1006820;AND OTHERS

Type of Mark

TRADEMARK

Register

PRINCIPAL

PREV DOC

**Affidavit Text** 

SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20010811.

Renewal

1ST RENEWAL 20010811

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LIVE

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Indicator

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This page was generated by the TARR system on 2008-04-04 15:39:15 ET

Serial Number: 74062559 Assignment Information Trademark Document Retrieval

Registration Number: 1662981

Mark (words only): AQUAFRESH FLEX

Standard Character claim: No

Current Status: This registration has been renewed.

Date of Status: 2001-08-11

Filing Date: 1990-05-25

Transformed into a National Application: No

Registration Date: 1991-10-29

**Register:** Principal

Law Office Assigned: LAW OFFICE 10

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at <a href="mailto:TrademarkAssistanceCenter@uspto.gov">TrademarkAssistanceCenter@uspto.gov</a>

Current Location: 40S -Scanning On Demand

Date In Location: 2007-11-28

## LAST APPLICANT(S)/OWNER(S) OF RECORD

#### 1. SMITHKLINE BEECHAM CORPORATION

Address:

SMITHKLINE BEECHAM CORPORATION P.O. BOX 7929 ONE FRANKLIN PLAZA PHILADELPHIA, PA 19101

**United States** 

Legal Entity Type: Corporation

State or Country of Incorporation: Pennsylvania

#### **GOODS AND/OR SERVICES**

International Class: 021 Class Status: Active toothbrushes **Basis:** 1(a)

First Use Date: 1990-07-15

First Use in Commerce Date: 1990-07-15

## **ADDITIONAL INFORMATION**

Prior Registration Number(s):

1006820

### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### **PROSECUTION HISTORY**

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2007-11-28 - Case File In TICRS

2001-08-11 - First renewal 10 year

2001-08-11 - Section 8 (10-year) accepted/ Section 9 granted

2001-05-18 - Combined Section 8 (10-year)/Section 9 filed

1997-09-12 - Section 8 (6-year) accepted & Section 15 acknowledged

1997-08-21 - Section 8 (6-year) and Section 15 Filed

1993-11-02 - Section 7 amendment issued

1992-10-09 - Response received for Post Registration action

1992-04-10 - Post Registration action mailed - Section 7

1992-02-03 - Section 7 amendment filed

1991-10-29 - Registered - Principal Register

1991-08-09 - Allowed for Registration - Principal Register (SOU accepted)

1991-07-11 - Statement of use processing complete

1991-05-28 - Amendment to Use filed

1991-02-12 - Notice of allowance - mailed

1990-11-20 - Published for opposition

1990-10-22 - Notice of publication

1990-09-19 - Approved for Pub - Principal Register (Initial exam)

1990-09-14 - Assigned To Examiner

## ATTORNEY/CORRESPONDENT INFORMATION

Attorney of Record

CHRISTOPHER A SIDOTI

Correspondent CHRISTOPHER A SIDOTI GLAXOSMITHKLINE 709 SWEDELAND ROAD

KING OF PRUSSIA, PA 19406

## Exhibit B

Int. Cl.: 3

Prior U.S. Cl.: 51

# United States Patent Office

Reg. No. 1,006,821 Registered Mar. 18, 1975

# TRADEMARK Principal Register

# AQUAFRESH

Beecham Inc. (New Jersey corporation) 65 Industrial S. Clifton, N.J. 07012

For: TOOTHPASTE, in CLASS 3 (U.S. CL. 51). First use Aug. 25, 1972; in commerce Aug. 25, 1972. Owner of Reg. Nos. 881,389, 975,620, and others.

Ser. No. 25,592, filed June 28, 1974.

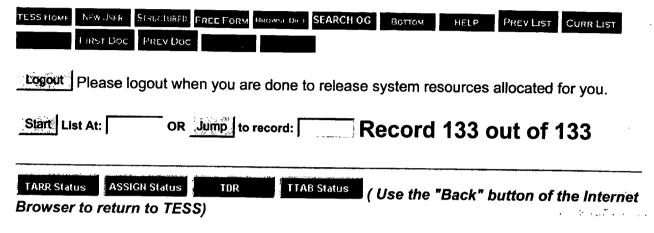


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# Trademarks > Trademark Electronic Search System (TESS)

TESS was last updated on Fri Apr 4 04:12:56 EDT 2008



#### **Typed Drawing**

Word Mark

**AQUAFRESH** 

Goods and Services IC 003. US 051. G & S: TOOTHPASTE. FIRST USE: 19720825. FIRST USE IN COMMERCE:

19720825

Mark Drawing

Code

(1) TYPED DRAWING

Serial Number

73025592 June 28, 1974

Filing Date

**Current Filing** 

1A

**Original Filing** 

Basis Origin Basis

1A

Registration

Registration Number

1006821

Registration Date

March 18, 1975

Owner

(REGISTRANT) BEECHAM INC. CORPORATION NEW JERSEY 65 INDUSTRIAL S. CLIFFSIDE

**NEW JERSEY 07012** 

(LAST LISTED OWNER) SMITHKLINE BEECHAM CORPORATION CORPORATION BY MERGER WITH PENNSYLVANIA ONE FRANKLIN PLAZA P.O. BOX 7929 PHILADELPHIA

PENNSYLVANIA 19101

Assignment Recorded

ASSIGNMENT RECORDED

Attorney of Record

CHRISTOPHER A. SIDOTI

Prior Registrations

0881389;0975620;AND OTHERS

Type of Mark

**TRADEMARK** 

Register

**PRINCIPAL** 

Affidavit Text

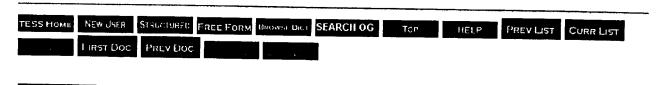
SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20050201.

Renewal

2ND RENEWAL 20050201

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Serial Number: 73025592 Assignment Information Trademark Document Retrieval

Registration Number: 1006821

Mark (words only): AQUAFRESH

Standard Character claim: No

Current Status: This registration has been renewed.

Date of Status: 2005-02-01

Filing Date: 1974-06-28

Transformed into a National Application: No

Registration Date: 1975-03-18

Register: Principal

Law Office Assigned: (NOT AVAILABLE)

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at <a href="mailto:TrademarkAssistanceCenter@uspto.gov">TrademarkAssistanceCenter@uspto.gov</a>

Current Location: 40S -Scanning On Demand

Date In Location: 2007-10-26

## LAST APPLICANT(S)/OWNER(S) OF RECORD

## 1. SMITHKLINE BEECHAM CORPORATION

#### Address:

SMITHKLINE BEECHAM CORPORATION ONE FRANKLIN PLAZA P.O. BOX 7929 PHILADELPHIA, PA 19101

**United States** 

Legal Entity Type: Corporation

State or Country of Incorporation: Pennsylvania

#### GOODS AND/OR SERVICES

International Class: 003 Class Status: Active TOOTHPASTE

Basis: 1(a)

First Use Date: 1972-08-25

First Use in Commerce Date: 1972-08-25

## ADDITIONAL INFORMATION

Prior Registration Number(s):

881389 975620

## MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

## **PROSECUTION HISTORY**

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2007-10-26 - Case File In TICRS

2005-02-01 - Second renewal 10 year

2005-02-01 - Section 8 (10-year) accepted/ Section 9 granted

2004-11-01 - Combined Section 8 (10-year)/Section 9 filed

2004-11-01 - Combined Section 8 (10-year)/Section 9 filed

2004-11-01 - TEAS Section 8 & 9 Received

1995-06-01 - First renewal 10 year

1995-03-01 - Section 9 filed/check record for Section 8

1980-09-30 - Section 8 (6-year) accepted & Section 15 acknowledged

## ATTORNEY/CORRESPONDENT INFORMATION

Attorney of Record

CHRISTOPHER A. SIDOTI

Correspondent

CHRISTOPHER A. SIDOTI SMITHKLINE BEECHAM CORPORATION UW2221, 709 Swedeland Road

## **Exhibit C**

Int. Cl.: 3

Prior U.S. Cl.: 52

# United States Patent and Trademark Office Reg. No. 1,805,051 Registered Nov. 16, 1993

# TRADEMARK PRINCIPAL REGISTER

## **AQUAFRESH SENSITIVE**

SMITHKLINE BEECHAM CORPORATION (PENNSYLVANIA CORPORATION) ONE FRANKLIN PLAZA, P.O. BOX 7929 PHILADELPHIA, PA 19101

FOR: TOOTHPASTE, IN CLASS 3 (U.S. CL. 52).
FIRST USE 8-0-1992; IN COMMERCE 8-0-1992.

OWNER OF U.S. REG. NOS. 1,038,376, 1,097,151, AND OTHERS.
NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "SENSITIVE", APART FROM THE MARK AS SHOWN.

SN 74-289,730, FILED 6-26-1992.

LYNN A. LUTHEY, EXAMINING ATTORNEY

1. .

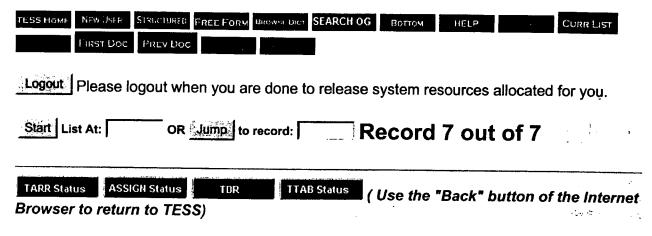


#### **United States Patent and Trademark Office**

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## Trademarks > Trademark Electronic Search System (TESS)

TESS was last updated on Fri Apr 4 04:12:56 EDT 2008



#### Typed Drawing

Word Mark

**AQUAFRESH SENSITIVE** 

Goods and

IC 003. US 052. G & S: toothpaste. FIRST USE: 19920800. FIRST USE IN COMMERCE:

Services

19920800

Mark Drawing Code (1) TYPED DRAWING

Serial Number

74289730

Filing Date

June 26, 1992

**Current Filing** 

**1A** 

**Basis Original Filing** 

Basis

**1B** 

**Published for** 

Opposition

December 29, 1992

Registration

Number

1805051

Registration Date

November 16, 1993

**Owner** 

(REGISTRANT) SmithKline Beecham Corporation CORPORATION PENNSYLVANIA One

Franklin Plaza, P.O. Box 7929 Philadelphia PENNSYLVANIA 19101

Attorney of Record Teresa M. Hechmer

Prior Registrations 1038376;1097151;AND OTHERS

Disclaimer

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "SENSITIVE" APART FROM THE

MARK AS SHOWN

Type of Mark

TRADEMARK

Register

**PRINCIPAL** 

**Affidavit Text** 

SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20040123.

Renewal

1ST RENEWAL 20040123

Live/Dead Indicator LIVE

1.

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Thank you for your request. Here are the latest results from the TARR web server.

This page was generated by the TARR system on 2008-04-04 15:44:50 ET

Serial Number: 74289730 Assignment Information Trademark Document Retrieval

Registration Number: 1805051

Mark (words only): AQUAFRESH SENSITIVE

Standard Character claim: No

Current Status: This registration has been renewed.

Date of Status: 2004-01-23

Filing Date: 1992-06-26

Transformed into a National Application: No

Registration Date: 1993-11-16

Register: Principal

Law Office Assigned: LAW OFFICE 5

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at <a href="mailto:TrademarkAssistanceCenter@uspto.gov">TrademarkAssistanceCenter@uspto.gov</a>

Current Location: 40S -Scanning On Demand

Date In Location: 2007-09-11

## LAST APPLICANT(S)/OWNER(S) OF RECORD

1. SmithKline Beecham Corporation

Address:

SmithKline Beecham Corporation One Franklin Plaza, P.O. Box 7929 Philadelphia, PA 19101

**United States** 

Legal Entity Type: Corporation

State or Country of Incorporation: Pennsylvania

#### GOODS AND/OR SERVICES

International Class: 003 Class Status: Active toothpaste **Basis:** 1(a)

First Use Date: 1992-08-00

First Use in Commerce Date: 1992-08-00

## ADDITIONAL INFORMATION

Disclaimer: "SENSITIVE"

#### Prior Registration Number(s):

1038376 1097151

### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

## **PROSECUTION HISTORY**

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2007-09-11 - Case File In TICRS

2004-01-23 - First renewal 10 year

2004-01-23 - Section 8 (10-year) accepted/ Section 9 granted

2003-11-14 - Combined Section 8 (10-year)/Section 9 filed

2003-11-14 - TEAS Section 8 & 9 Received

1999-08-20 - Section 8 (6-year) accepted & Section 15 acknowledged

1999-03-16 - Section 8 (6-year) and Section 15 Filed

1993-11-16 - Registered - Principal Register

1993-08-21 - Allowed for Registration - Principal Register (SOU accepted)

1993-08-20 - Assigned To Examiner

1993-08-05 - Statement of use processing complete

1993-08-05 - Extension 1 granted

1993-06-14 - Amendment to use informal response received

1993-06-14 - Extension 1 filed

1993-05-28 - Amendment to use held informal - letter mailed

1993-05-28 - Extension 1 Denial letter mailed

1993-05-28 - Extension 1 Denial letter

1993-04-15 - Extension 1 filed

1993-04-15 - Amendment to Use filed

1993-03-23 - Notice of allowance - mailed

1992-12-29 - Published for opposition

1992-11-27 - Notice of publication

1992-10-05 - Approved for Pub - Principal Register (Initial exam)

1992-09-29 - Examiner's amendment mailed

1992-09-28 - Assigned To Examiner

1992-09-24 - Assigned To Examiner

#### ATTORNEY/CORRESPONDENT INFORMATION

#### Attorney of Record

Teresa M. Hechmer

#### Correspondent

CHRISTOPHER SIDOTI SMITHKLINE BEECHAM CORPORATION CORP IP TRADEMARKS (UW2221) 709 SWEDELAND RD KING OF PRUSSIA PA 19406

## **Exhibit D**

Int. Cls.: 3 and 21

Prior U.S. Cls.: 1, 2, 4, 6, 13, 23, 29, 30, 33, 40,

50, 51, and 52

Reg. No. 2,304,437

United States Patent and Trademark Office

Registered Dec. 28, 1999

### TRADEMARK PRINCIPAL REGISTER

## **AQUA**

SMITHKLINE BEECHAM CORPORATION (PENNSYLVANIA CORPORATION) ONE FRANKLIN PLAZA PHILADELPHIA, PA 19101

FOR: TOOTHPASTE, IN CLASS 3 (U.S. CLS. 1, 4, 6, 50, 51 AND 52).

FIRST USE 8-1-1999; IN COMMERCE 8-1-1999.

FOR: TOOTHBRUSHES, IN CLASS 21 (U.S. CLS. 2, 13, 23, 29, 30, 33, 40 AND 50).

FIRST USE 8-1-1999; IN COMMERCE 8-1-1999.

OWNER OF U.S. REG. NOS. 1,006,820, 1,662,981, AND OTHERS.

SN 75-095,460, FILED 4-29-1996.

STEVEN R. FINE, EXAMINING ATTORNEY

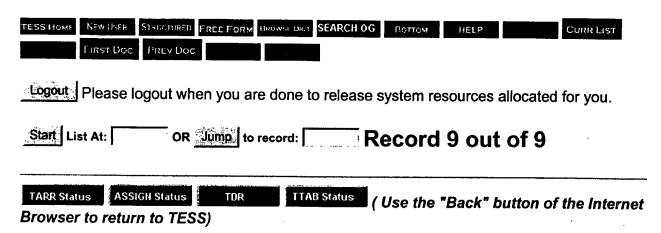


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#### Typed Drawing

**Word Mark** 

**AQUA** 

Goods and Services

IC 003. US 001 004 006 050 051 052. G & S: toothpaste. FIRST USE: 19990801. FIRST USE

IN COMMERCE: 19990801

IC 021. US 002 013 023 029 030 033 040 050. G & S: toothbrushes. FIRST USE: 19990801.

FIRST USE IN COMMERCE: 19990801

Mark Drawing Code (1) TYPED DRAWING

Serial Number

75095460

Filing Date

April 29, 1996

**Current Filing Basis 1A** 

**Original Filing Basis** 

1B

**Published for** 

Opposition

December 3, 1996

Registration

Number

2304437

**Registration Date** 

December 28, 1999

**Owner** 

(REGISTRANT) SmithKline Beecham Corporation CORPORATION PENNSYLVANIA One

Franklin Plaza Philadelphia PENNSYLVANIA 19101

**Prior Registrations** 

1006820;1038376;1662981;AND OTHERS

Type of Mark

TRADEMARK

Register

**PRINCIPAL** 

**Affidavit Text** 

SECT 15. SECT 8 (6-YR).

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Serial Number: 75095460 Assignment Information Trademark Document Retrieval

Registration Number: 2304437

Mark (words only): AQUA

Standard Character claim: No

Current Status: Section 8 and 15 affidavits have been accepted and acknowledged.

Date of Status: 2006-08-04

Filing Date: 1996-04-29

Transformed into a National Application: No

Registration Date: 1999-12-28

Register: Principal

Law Office Assigned: LAW OFFICE 107

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the Trademark Assistance Center at TrademarkAssistanceCenter@uspto.gov

Current Location: 830 -Post Registration

Date In Location: 2006-08-04

## LAST APPLICANT(S)/OWNER(S) OF RECORD

#### 1. SmithKline Beecham Corporation

#### Address:

SmithKline Beecham Corporation One Franklin Plaza Philadelphia, PA 19101 United States

Legal Entity Type: Corporation

State or Country of Incorporation: Pennsylvania

#### GOODS AND/OR SERVICES

International Class: 003 Class Status: Active toothpaste **Basis:** 1(a)

First Use Date: 1999-08-01

First Use in Commerce Date: 1999-08-01

International Class: 021 Class Status: Active

toothbrushes **Basis:** 1(a)

First Use Date: 1999-08-01

First Use in Commerce Date: 1999-08-01

#### ADDITIONAL INFORMATION

#### Prior Registration Number(s):

1006820 1038376 1662981

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### **PROSECUTION HISTORY**

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2006-08-04 - Section 8 (6-year) accepted & Section 15 acknowledged

2006-06-02 - Case File In TICRS

2006-05-12 - Section 8 (6-year) and Section 15 Filed

2006-05-12 - TEAS Section 8 & 15 Received

1999-12-28 - Registered - Principal Register

1999-11-02 - Allowed for Registration - Principal Register (SOU accepted)

1999-10-29 - Assigned To Examiner

1999-10-28 - Statement of use processing complete

1999-09-30 - Amendment to Use filed

1999-08-25 - Extension 5 granted

1999-07-27 - Extension 5 filed

1999-02-19 - Extension 4 granted

1999-01-27 - Extension 4 filed

1998-07-30 - Extension 3 granted

1998-07-15 - Extension 3 filed

1998-03-24 - Extension 2 granted

1998-02-11 - Extension 2 filed

1997-07-31 - Extension 1 granted

1997-07-10 - Extension 1 filed

1997-02-25 - Notice of allowance - mailed

1996-12-03 - Published for opposition

1996-11-01 - Notice of publication

1996-10-07 - Approved for Pub - Principal Register (Initial exam)

1996-10-07 - Assigned To Examiner

# ATTORNEY/CORRESPONDENT INFORMATION

CUDISTORUED

CHRISTOPHER A SISOTI GLAXOSMITHKLINE 709 SWEDELAND RD. KING OF PRUSSIA, PA 19406-0939

# Exhibit E

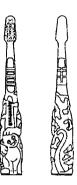
Int. Cl.: 21

Prior U.S. Cls.: 2, 13, 23, 29, 30, 33, 40 and 50

United States Patent and Trademark Office

Reg. No. 2,706,176 Registered Apr. 15, 2003

# TRADEMARK PRINCIPAL REGISTER



SMITHKLINE BEECHAM CORPORATION (PENNSYLVANIA CORPORATION) ONE FRANKLIN PLAZA PHILADELPHIA, PA 19101

FOR: TOOTHBRUSHES, IN CLASS 21 (U.S. CLS. 2, 13, 23, 29, 30, 33, 40 AND 50).

FIRST USE 9-30-2000; IN COMMERCE 9-30-2000.

OWNER OF U.S. REG. NOS. 1,006,821, 2,276,630 AND OTHERS.

THE MARK CONSISTS OF THE WORD "AQUAFRESH" AND THE CONFIGURATION OF A TOOTHBRUSH WHICH HAS A HANDLE IN THE SHAPE OF A CAT WITH A BABY CAT STANDING IN FRONT; THE DRAWING DEPICTS BOTH THE FRONT AND BACK VIEWS OF THE DESIGN MARK.

SER. NO. 76-242,063, FILED 4-17-2001.

SAMUEL E. SHARPER JR., EXAMINING ATTORNEY



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Word Mark

**AQUAFRESH** 

Goods and Services IC 021. US 002 013 023 029 030 033 040 050. G & S: Toothbrushes. FIRST USE: 20000930.

FIRST USE IN COMMERCE: 20000930

Mark Drawing Code

(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS

Design Search Code

10.05.01 - Tooth brushes

Serial Number

76242063

Filing Date

April 17, 2001

Current Filing Basis

1A

Original Filing

4.6

Basis

1A

Published for Opposition

January 21, 2003

Registration Number

2706176

Registration

Registration Date April 15, 2003

Owner

(REGISTRANT) SmithKline Beecham Corporation CORPORATION PENNSYLVANIA One

Franklin Plaza Philadelphia PENNSYLVANIA 19101

Attorney of Record

Christopher A. Sidoti

Prior

1006821;1662981;2276630;AND OTHERS

Registrations
Description of

The mark consists of the word "AQUAFRESH" and the configuration of a toothbrush which has a

Mark

handle in the shape of a cat with a baby cat standing in front; the drawing depicts both the front

and back views of the design mark.

Type of Mark

TRADEMARK

Register

**PRINCIPAL** 

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Serial Number: 76242063 Assignment Information Trademark Document Retrieval

Registration Number: 2706176

Mark

(words only): AQUAFRESH

Standard Character claim: No

Current Status: Registered.

Date of Status: 2003-04-15

Filing Date: 2001-04-17

Transformed into a National Application: No

Registration Date: 2003-04-15

Register: Principal

Law Office Assigned: LAW OFFICE 108

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at <u>TrademarkAssistanceCenter@uspto.gov</u>

Current Location: 40S -Scanning On Demand

Date In Location: 2008-03-31

# LAST APPLICANT(S)/OWNER(S) OF RECORD

1. SmithKline Beecham Corporation

Address:

SmithKline Beecham Corporation

One Franklin Plaza

Philadelphia, PA 19101

United States

Legal Entity Type: Corporation

State or Country of Incorporation: Pennsylvania

**Phone Number:** (610) 270-4472 **Fax Number:** (610) 270-4440

#### **GOODS AND/OR SERVICES**

International Class: 021 Class Status: Active

Toothbrushes **Basis:** 1(a)

First Use Date: 2000-09-30

First Use in Commerce Date: 2000-09-30

#### **ADDITIONAL INFORMATION**

**Description of Mark:** The mark consists of the word "AQUAFRESH" and the configuration of a toothbrush which has a handle in the shape of a cat with a baby cat standing in front; the drawing depicts both the front and back views of the design mark.

#### Design Search Code(s):

10.05.01 - Tooth brushes

#### Prior Registration Number(s):

1006821

1662981

2276630

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### **PROSECUTION HISTORY**

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2008-03-31 - Case File In TICRS

2003-04-15 - Registered - Principal Register

2003-01-21 - Published for opposition

2003-01-01 - Notice of publication

2002-11-14 - Approved for Pub - Principal Register (Initial exam)

2002-11-07 - Petition To Director - Granted

2002-08-26 - Petition To Director Received

2002-08-26 - PAPER RECEIVED

2002-05-02 - Final refusal mailed

2002-01-31 - Communication received from applicant

2001-07-31 - Non-final action mailed

2001-07-17 - Assigned To Examiner

2001-06-25 - Assigned To Examiner

# ATTORNEY/CORRESPONDENT INFORMATION

### **Attorney of Record**

Christopher A. Sidoti

#### Correspondent

CHRISTOPHER A. SIDOTI GLAXOSMITHKLINE 709 SWEDELAND ROAD KING OF PRUSSIA PA 19406 Phone Number: (610) 270-4472

Fax Number: (610) 270-4440

# **Exhibit F**

Int. Cl.: 21

Prior U.S. Cls.: 2, 13, 23, 29, 30, 33, 40 and 50

Reg. No. 2,699,482

# United States Patent and Trademark Office

Registered Mar. 25, 2003

# TRADEMARK PRINCIPAL REGISTER



SMITHKLINE BEECHAM CORPORATION (PENNSYLVANIA CORPORATION) ONE FRANKLIN PLAZA PHILADELPHIA, PA 19101

FOR: TOOTHBRUSHES, IN CLASS 21 (U.S. CLS. 2, 13, 23, 29, 30, 33, 40 AND 50).

FIRST USE 9-30-2000; IN COMMERCE 9-30-2000.

OWNER OF U.S. REG. NOS. 1,006,821, 2,276,630 AND OTHERS.

THE MARK CONSISTS OF THE WORD "AQUAFRESH" AND THE CONFIGURATION OF A TOOTHBRUSH WHICH HAS A HANDLE IN THE SHAPE OF A PENGUIN WITH A BABY PENGUIN STANDING IN FRONT; THE DRAWING DEPICTS BOTH THE FRONT AND BACK VIEWS OF THE DESIGN MARK.

SER. NO. 76-241,924, FILED 4-17-2001.

SAMUEL E. SHARPER JR., EXAMINING ATTORNEY



# **United States Patent and Trademark Office**

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	ASSIGN Status TDR TTAB Status ( Use the "Back" button of eturn to TESS)	f the Internet
		•
Word Mark Goods and Services	AQUAFRESH IC 021. US 002 013 023 029 030 033 040 050. G & S: Toothbrushes. FIRST USE: 20000930. FIRST USE IN COMMERCE: 20000930	
Mark Drawing Code Design Search Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS  03.01.26 - Costumed cats, dogs, wolves, foxes, bears and those with human attrib 03.03.05 - Giraffes 10.05.01 - Tooth brushes	outes
Serial Number Filing Date	76241924 April 17, 2001	
Current Filing Basis	1A	
Original Filing Basis	1A	
Published for Opposition	December 31, 2002	
Registration Number	2699482	
Registration Date	March 25, 2003	NIA One
Owner	(REGISTRANT) SmithKline Beecham Corporation CORPORATION PENNSYLVA Franklin Plaza Philadelphia PENNSYLVANIA 19101	IMIA ONE
Attorney of		

Record

Christopher A. Sidoti

Prior

1006821;1662981;2276630;AND OTHERS

Registrations
Description of
Mark

The mark consists of the word "AQUAFRESH" and the configuration of a toothbrush which has a handle in the shape of a penguin with a baby penguin standing in front; the drawing depicts both

the front and back views of the design mark.

Type of Mark

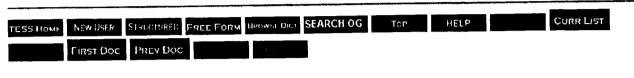
TRADEMARK

Register

PRINCIPAL

Live/Dead Indicator

LIVE



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Serial Number: 76241924 Assignment Information

Trademark Document Retrieval

Registration Number: 2699482

Mark

(words only): AQUAFRESH

Standard Character claim: No

Current Status: Registered.

Date of Status: 2003-03-25

Filing Date: 2001-04-17

Transformed into a National Application: No

Registration Date: 2003-03-25

Register: Principal

Law Office Assigned: LAW OFFICE 108

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at <u>TrademarkAssistanceCenter@uspto.gov</u>

Current Location: 40S -Scanning On Demand

Date In Location: 2008-03-31

# LAST APPLICANT(S)/OWNER(S) OF RECORD

1. SmithKline Beecham Corporation

#### Address:

SmithKline Beecham Corporation One Franklin Plaza Philadelphia, PA 19101

**United States** 

Legal Entity Type: Corporation

State or Country of Incorporation: Pennsylvania

**Phone Number:** (610) 270-4472 **Fax Number:** (610) 270-4440

#### GOODS AND/OR SERVICES

International Class: 021 Class Status: Active

Toothbrushes **Basis:** 1(a)

First Use Date: 2000-09-30

First Use in Commerce Date: 2000-09-30

#### ADDITIONAL INFORMATION

**Description of Mark:** The mark consists of the word "AQUAFRESH" and the configuration of a toothbrush which has a handle in the shape of a penguin with a baby penguin standing in front; the drawing depicts both the front and back views of the design mark.

Design Search Code(s):

03.01.26 - Costumed cats, dogs, wolves, foxes, bears and those with human attributes

03.03.05 - Giraffes

10.05.01 - Tooth brushes

#### Prior Registration Number(s):

1006821

1662981

2276630

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### PROSECUTION HISTORY

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2008-03-31 - Case File In TICRS

2003-03-25 - Registered - Principal Register

2002-12-31 - Published for opposition

2002-12-11 - Notice of publication

2002-10-24 - Approved for Pub - Principal Register (Initial exam)

2002-10-21 - Petition To Director - Granted

2002-08-26 - Petition To Director Received

2002-05-02 - Final refusal mailed

2002-01-31 - Communication received from applicant

2001-07-31 - Non-final action mailed

2001-07-12 - Assigned To Examiner

2001-06-25 - Assigned To Examiner

#### ATTORNEY/CORRESPONDENT INFORMATION

#### **Attorney of Record**

Christopher A. Sidoti

#### Correspondent

CHRISTOPHER A. SIDOTI GLAXOSMITHKLINE 709 SWEDELAND ROAD CIP TRADEMARKS (UW2221)

KING OF PRUSSIA PA 19406 Phone Number: (610) 270-4472 Fax Number: (610) 270-4440

# **Exhibit G**

Int. Cl.: 21

Prior U.S. Cls.: 2, 13, 23, 29, 30, 33, 40 and 50

Reg. No. 2,706,175

# United States Patent and Trademark Office

Registered Apr. 15, 2003

# TRADEMARK PRINCIPAL REGISTER





SMITHKLINE BEECHAM CORPORATION (PENNSYLVANIA CORPORATION) ONE FRANKLIN PLAZA PHILADELPHIA, PA 19101

FOR: TOOTHBRUSHES, IN CLASS 21 (U.S. CLS. 2, 13, 23, 29, 30, 33, 40 AND 50).

FIRST USE 9-30-2000; IN COMMERCE 9-30-2000.

OWNER OF U.S. REG. NOS. 1,006,821, 2,276,630 AND OTHERS.

SER. NO. 76-241,913, FILED 4-17-2001.

SAMUEL E. SHARPER JR., EXAMINING ATTORNEY



#### **United States Patent and Trademark Office**

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Browser to return to TESS)

**Word Mark** 

**AQUAFRESH** 

Goods and

IC 021. US 002 013 023 029 030 033 040 050. G & S: Toothbrushes. FIRST USE: 20000930.

Services

FIRST USE IN COMMERCE: 20000930

Design Search

Mark Drawing Code (3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS

03.03.01 - Elephants; Mammoths; Mastodons

Code

03.03.24 - Stylized Elephants, hippopotami, rhinoceri, giraffes, alpacas, camels, llamas

10.05.01 - Tooth brushes

**Serial Number** 

76241913

**Filing Date** 

April 17, 2001

Current Filing Basis 1A

**Original Filing Basis** 

1A

Published for

Opposition

January 21, 2003

Registration

Number

2706175

**Registration Date** 

April 15, 2003

Owner

(REGISTRANT) SmithKline Beecham Corporation CORPORATION PENNSYLVANIA One

Franklin Plaza Philadelphia PENNSYLVANIA 19101

Attorney of Record Christopher A. Sidoti

Prior Registrations 1006821;1662981;2276630;AND OTHERS

Type of Mark

TRADEMARK

Register

**PRINCIPAL** 

Live/Dead Indicator LIVE

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Serial Number: 76241913 Assignment Information

Trademark Document Retrieval

Registration Number: 2706175

Mark

(words only): AQUAFRESH

Standard Character claim: No

Current Status: Registered.

Date of Status: 2003-04-15

Filing Date: 2001-04-17

Transformed into a National Application: No

Registration Date: 2003-04-15

Register: Principal

Law Office Assigned: LAW OFFICE 108

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at Trademark Assistance Center@uspto.gov

Current Location: 40S -Scanning On Demand

**Date In Location: 2008-04-02** 

# LAST APPLICANT(S)/OWNER(S) OF RECORD

1. SmithKline Beecham Corporation

#### Address:

SmithKline Beecham Corporation One Franklin Plaza

Philadelphia, PA 19101

United States

Legal Entity Type: Corporation

State or Country of Incorporation: Pennsylvania

**Phone Number:** (610) 270-4472 **Fax Number:** (610) 270-4440

# GOODS AND/OR SERVICES

International Class: 021 Class Status: Active

Toothbrushes **Basis:** 1(a)

First Use Date: 2000-09-30

First Use in Commerce Date: 2000-09-30

# ADDITIONAL INFORMATION

Design Search Code(s):

03.03.01 - Elephants; Mammoths; Mastodons

03.03.24 - Stylized Elephants, hippopotami, rhinoceri, giraffes, alpacas, camels, llamas

10.05.01 - Tooth brushes

# Prior Registration Number(s):

1006821

1662981

2276630

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### PROSECUTION HISTORY

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2008-04-02 - Case File In TICRS

2003-04-15 - Registered - Principal Register

2003-01-21 - Published for opposition

2003-01-01 - Notice of publication

2002-11-14 - Approved for Pub - Principal Register (Initial exam)

2002-11-07 - Petition To Director - Granted

2002-08-26 - Petition To Director Received

2002-08-26 - Petition To Director Received

2002-08-26 - PAPER RECEIVED

2002-05-02 - Final refusal mailed

2002-01-31 - Communication received from applicant

2001-07-31 - Non-final action mailed

2001-07-12 - Assigned To Examiner

2001-06-25 - Assigned To Examiner

#### ATTORNEY/CORRESPONDENT INFORMATION

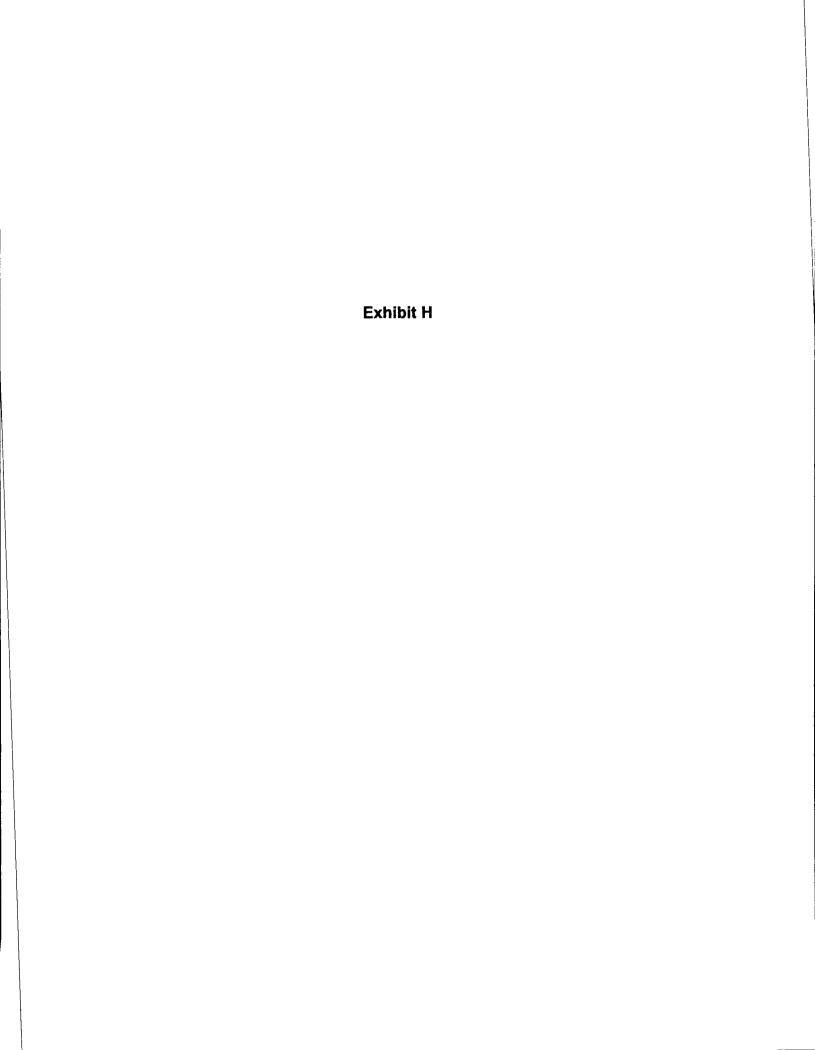
# **Attorney of Record**

Christopher A. Sidoti

#### Correspondent

CHRISTOPHER A. SIDOTI GLAXOSMITHKLINE 709 SWEDELAND ROAD CIP TRADEMARKS (UW2221) KING OF PRUSSIA PA 19406 Phana Number: (610) 270, 4472

Phone Number: (610) 270-4472 Fax Number: (610) 270-4440

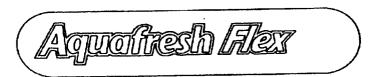


Int. Cl.: 21

Prior U.S. Cls.: 2, 13, 23, 29, 30, 33, 40 and 50

United States Patent and Trademark Office Registered July 2, 2002

# TRADEMARK PRINCIPAL REGISTER



SMITHKLINE BEECHAM CORPORATION (PENNSYLVANIA CORPORATION) ONE FRANKLIN PLAZA PHILADELPHIA, PA 19101

FOR: TOOTHBRUSHES, IN CLASS 21 (U.S. CLS. 2, 13, 23, 29, 30, 33, 40 AND 50).

FIRST USE 6-30-1999; IN COMMERCE 6-30-1999.

OWNER OF U.S. REG. NOS. 1,006,821, 1,906,569 AND OTHERS.

THE MARK CONSISTS OF THE STYLIZED WORDS "AQUAFRESH FLEX" IN COLOR DARK BLUE WITH WHITE OUTLINING; THESE WORDS APPEAR ON A BLUISH-GREEN BACKGROUND IN AN ELONGATED OVAL SHAPE.

SER. NO. 76-157,182, FILED 10-31-2000.

SAMUEL E. SHARPER JR., EXAMINING ATTORNEY



# United States Patent and Trademark Office

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# Trademarks > Trademark Electronic Search System (TESS)

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# Record 1 out of 1

TTAB Status ASSIGN Status TARR Status TDR ( Use the "Back" button of the Internet

Browser to return to TESS)



Word Mark

**AQUAFRESH FLEX** 

Goods and Services

IC 021. US 002 013 023 029 030 033 040 050. G & S: Toothbrushes. FIRST USE: 19990630.

FIRST USE IN COMMERCE: 19990630

Mark Drawing

Code

(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS

Design Search

Code

26.11.26 - Oblongs as carriers for words, letters or designs

Serial Number

76157182

**Filing Date** 

October 31, 2000

**Current Filing** 

**Basis** 

1A

**Original Filing** 

**Basis** 

1A

**Published for** 

April 9, 2002

Opposition

Registration Number

2587675

Registration Date July 2, 2002

Owner

(REGISTRANT) SmithKline Beecham Corporation CORPORATION PENNSYLVANIA One

Franklin Plaza Philadelphia PENNSYLVANIA 19101

Attorney of

Record **Prior** 

Christopher A. Sidoti

Registrations

1006821:1662981:1906569;AND OTHERS

**Description of** 

Mark

The mark consists of the stylized words "AQUAFRESH FLEX" in color dark blue with white outlining; these words appear on a bluish-green background in an elongated oval shape.

Type of Mark

TRADEMARK

Register

PRINCIPAL

Live/Dead Indicator

LIVE

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Serial Number: 76157182 Assignment Information

Trademark Document Retrieval

**Registration Number: 2587675** 

Mark



(words only): AQUAFRESH FLEX

Standard Character claim: No

Current Status: Registered.

**Date of Status: 2002-07-02** 

Filing Date: 2000-10-31

Transformed into a National Application: No

Registration Date: 2002-07-02

Register: Principal

Law Office Assigned: LAW OFFICE 101

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at TrademarkAssistanceCenter@uspto.gov

Current Location: 40S -Scanning On Demand

Date In Location: 2007-10-19

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

1. SmithKline Beecham Corporation

Address:

SmithKline Beecham Corporation

One Franklin Plaza

Section 1

Philadelphia, PA 19101

**United States** 

Legal Entity Type: Corporation

State or Country of Incorporation: Pennsylvania

#### GOODS AND/OR SERVICES

International Class: 021 Class Status: Active

Toothbrushes **Basis:** 1(a)

First Use Date: 1999-06-30

First Use in Commerce Date: 1999-06-30

#### ADDITIONAL INFORMATION

**Description of Mark:** The mark consists of the stylized words "AQUAFRESH FLEX" in color dark blue with white outlining; these words appear on a bluish-green background in an elongated oval shape.

#### Design Search Code(s):

26.11.26 - Oblongs as carriers for words, letters or designs

#### Prior Registration Number(s):

1006821

1662981

1906569

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### **PROSECUTION HISTORY**

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2007-10-19 - Case File In TICRS

2002-07-02 - Registered - Principal Register

2002-04-09 - Published for opposition

2002-03-20 - Notice of publication

2001-11-08 - Approved for Pub - Principal Register (Initial exam)

2001-04-19 - Non-final action mailed

2001-04-18 - Assigned To Examiner

2001-03-23 - Assigned To Examiner

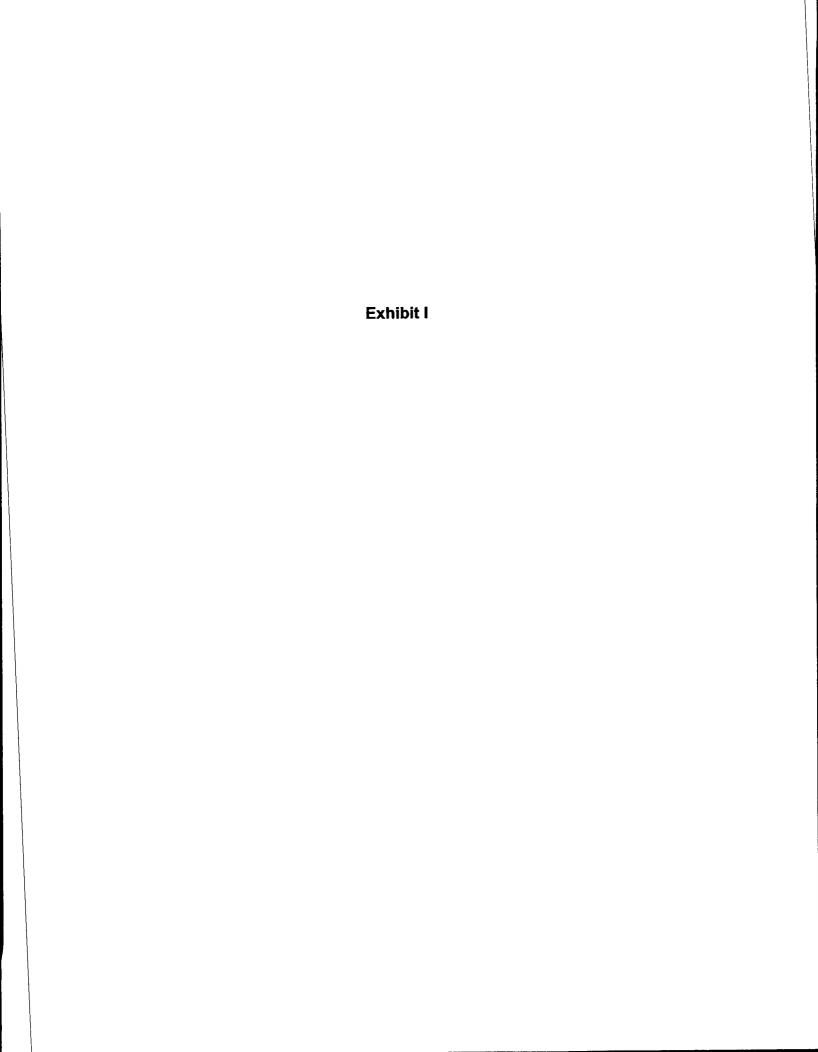
# ATTORNEY/CORRESPONDENT INFORMATION

### **Attorney of Record**

Christopher A. Sidoti

Correspondent

CHRISTOPHER A. SIDOTI SMITHKLINE BEECHAM CORP 709 SWEDELAND RD KING OF PRUSSIA PA 19406-2799



Int. Cl.: 21

Prior U.S. Cls.: 2, 13, 23, 29, 30, 33, 40, and 50

United States Patent and Trademark Office

Reg. No. 2,759,361 Registered Sep. 2, 2003

### TRADEMARK PRINCIPAL REGISTER

# AQUAFRESH FLEX TIP MAX-ACTIVE

SMITHKLINE BEECHAM CORPORATION (PENNSYLVANIA CORPORATION) ONE FRANKLIN PLAZA, P.O. BOX 7929 PHILADELPHIA, PA 19101

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "TIP", APART FROM THE MARK AS SHOWN.

FOR: TOOTHBRUSHES, IN CLASS 21 (U.S. CLS. 2, 13, 23, 29, 30, 33, 40 AND 50).

SN 76-052,171, FILED 5-19-2000.

FIRST USE 9-30-2000; IN COMMERCE 9-30-2000.

OWNER OF U.S. REG. NOS. 1,662,981, 2,005,754, AND OTHERS.

SAMUEL E. SHARPER JR., EXAMINING ATTORNEY

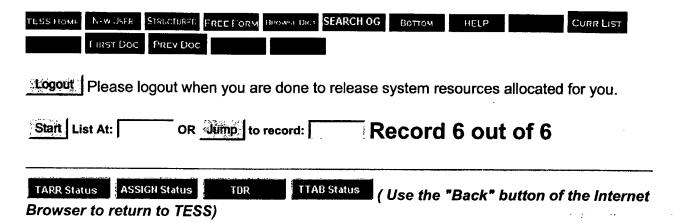


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### **Typed Drawing**

**Word Mark** 

AQUAFRESH FLEX TIP MAX-ACTIVE

Goods and Services

IC 021. US 002 013 023 029 030 033 040 050. G & S: toothbrushes. FIRST USE: 20000930.

FIRST USE IN COMMERCE: 20000930

**Mark Drawing** 

Code

(1) TYPED DRAWING

Serial Number Filing Date

76052171 May 19, 2000

**Current Filing** 

Basis

1A

**Original Filing** 

Basis

1B

Published for Opposition

January 22, 2002

Registration

Number

2759361

**Registration Date** 

September 2, 2003

Owner

(REGISTRANT) SmithKline Beecham Corporation CORPORATION PENNSYLVANIA One

Franklin Plaza, P.O. Box 7929 Philadelphia PENNSYLVANIA 19101

Attorney of Record Christopher A. Sidoti

Prior Registrations 1662981;1992930;2005754;AND OTHERS

Disclaimer

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "TIP" APART FROM THE MARK

AS SHOWN

Type of Mark

TRADEMARK PRINCIPAL

Register Live/Dead

Indicator

LIVE

Latest Status Info Page 1 of 3

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This page was generated by the TARR system on 2008-04-04 15:52:15 ET

Serial Number: 76052171 Assignment Information Trademark Document Retrieval

Registration Number: 2759361

Mark (words only): AQUAFRESH FLEX TIP MAX-ACTIVE

Standard Character claim: No

Current Status: Registered.

**Date of Status: 2003-09-02** 

Filing Date: 2000-05-19

Transformed into a National Application: No

Registration Date: 2003-09-02

Register: Principal

Law Office Assigned: LAW OFFICE 108

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at <a href="mailto:TrademarkAssistanceCenter@uspto.gov">TrademarkAssistanceCenter@uspto.gov</a>

Current Location: 40S -Scanning On Demand

Date In Location: 2007-07-05

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

1. SmithKline Beecham Corporation

Address:

SmithKline Beecham Corporation One Franklin Plaza, P.O. Box 7929 Philadelphia, PA 19101

**United States** 

Legal Entity Type: Corporation

State or Country of Incorporation: Pennsylvania

#### **GOODS AND/OR SERVICES**

International Class: 021 Class Status: Active toothbrushes **Basis:** 1(a)

First Use Date: 2000-09-30

First Use in Commerce Date: 2000-09-30

#### ADDITIONAL INFORMATION

Disclaimer: "TIP"

Prior Registration Number(s):

1662981 1992930 2005754

# MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

# **PROSECUTION HISTORY**

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2007-07-05 - Case File In TICRS

2003-09-02 - Registered - Principal Register

2003-06-30 - Allowed for Registration - Principal Register (SOU accepted)

2003-06-19 - Statement of use processing complete

2002-10-15 - Amendment to Use filed

2003-04-02 - TEAS Extension Received

2002-12-10 - Extension 1 granted

2002-10-15 - Extension 1 filed

2002-10-15 - PAPER RECEIVED

2002-04-16 - Notice of allowance - mailed

2002-01-22 - Published for opposition

2002-01-02 - Notice of publication

2001-09-10 - Approved for Pub - Principal Register (Initial exam)

Latest Status Info Page 3 of 3

2001-09-07 - Examiner's amendment mailed

2001-03-14 - Communication received from applicant

2000-11-17 - Non-final action mailed

2000-11-07 - Assigned To Examiner

2000-10-31 - Assigned To Examiner

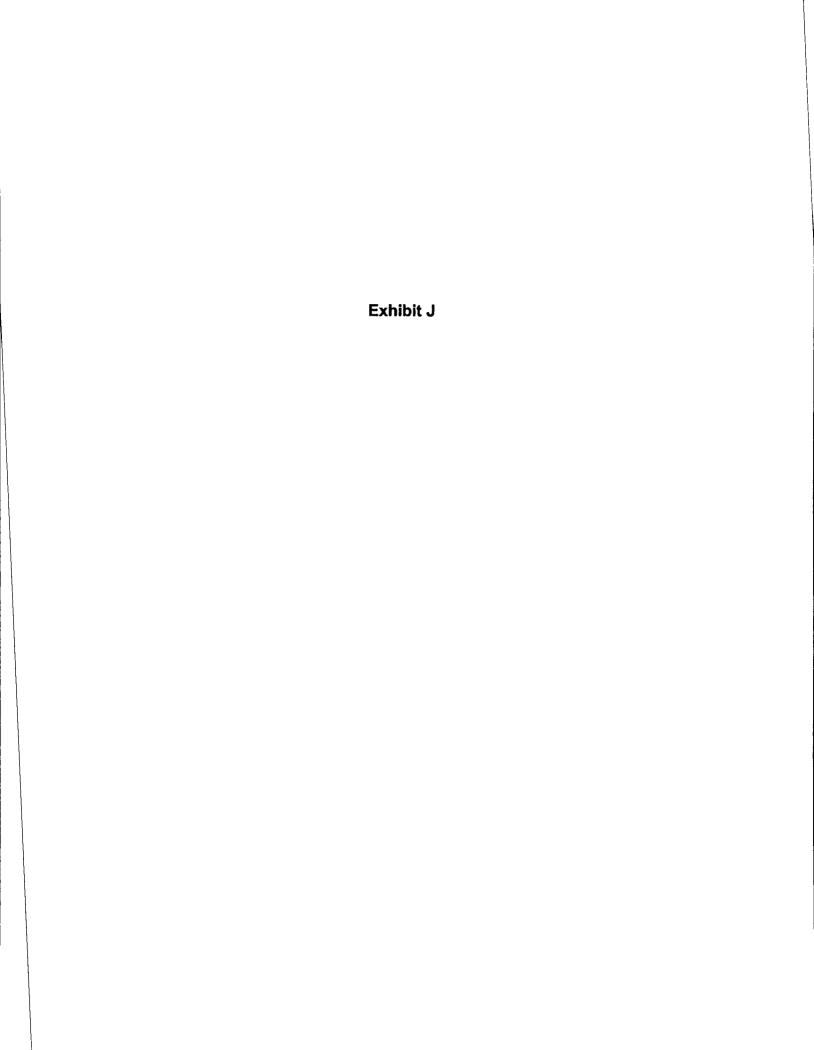
#### ATTORNEY/CORRESPONDENT INFORMATION

# **Attorney of Record**

Christopher A. Sidoti

#### Correspondent

CHRISTOPHER A. SIDOTI SMITHKLINE BEECHAM CORP CORP INTELLECTUAL PROPERTY TRADEMARKS US 709 SWEDELAND ROAD - UW2221 KING OF PRUSSIA, PENNSYLVANIA 19406



Int. Cl.: 21

Prior U.S. Cls.: 2, 13, 23, 29, 30, 33, 40 and 50

Reg. No. 2,754,841

### United States Patent and Trademark Office

Registered Aug. 26, 2003

### TRADEMARK PRINCIPAL REGISTER



SMITHKLINE BEECHAM CORPORATION (PENNSYLVANIA CORPORATION) ONE FRANKLIN PLAZA P.O. BOX 7929 PHILADELPHIA, PA 19101

FOR: TOOTHBRUSHES, IN CLASS 21 (U.S. CLS. 2, 13, 23, 29, 30, 33, 40 AND 50).

FIRST USE 7-15-1990; IN COMMERCE 7-15-1990.

OWNER OF U.S. REG. NOS. 1,662,981, 2,299,454 AND OTHERS.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE THE REPRESENTATION OF THE FUNCTIONALITY OF THE FLEXIBLE HEAD, APART FROM THE MARK AS SHOWN.

THE STIPPLING IN THE MARK IS INTENDED TO REPRESENT A COLOR OTHER THAN WHITE.

THE MARK IS CONSISTS OF "AQUAFRESH" AND THE THREE-DIMENSIONAL, OVERALL APPEARANCE OF A TOOTHBRUSH FEATURING A WHITE BACKGROUND COLOR, A COLORED

BAND JUST BELOW THE BRISTLES, AND ON THE FRONT: COLORED INSERTS, THE LARGER OF WHICH HAS RAISED CIRCULAR BUMPS ON THE TOP AND BOTTOM, THREE WHITE STRIPES SEPARATED BY TWO THINNER COLORED STRIPES; ON THE BACK: COLORED INSERTS, THE LARGER OF WHICH HAS RAISED CIRCULAR BUMPS ON THE TOP AND BOTTOM, TWO WHITE STRIPES SEPARATED BY THREE THINNER CO-LORED STRIPES, A VERTICAL COLORED LINE RUNNING FROM THE LARGE INSERT, THROUGH THE THREE STRIPES TO THE BOTTOM OF THE SMALLER INSERTS; AND, ON THE SIDE: A SERPENTINE, REPEATED "S" DESIGN ON THE SIDE OF THE TOOTHBRUSH (TOWARDS THE TOP OF THE HANDLE) WITH A COLORED STRIPE RUNNING THROUGH THE "S" DESIGN, AND A BOWED HANDLE.

SEC. 2(F).

SER. NO. 76-035,013, FILED 4-21-2000.

SAMUEL E. SHARPER JR., EXAMINING ATTORNEY



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# Trademarks > Trademark Electronic Search System (TESS)

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### Record 1 out of 1

( Use the "Back" button of the Internet TARR Status ASSIGN Status **TTAB Status** TDR

Browser to return to TESS)



**Word Mark** 

**AQUAFRESH** 

Goods and

IC 021. US 002 013 023 029 030 033 040 050. G & S: toothbrushes. FIRST USE: 19900715. FIRST

USE IN COMMERCE: 19900715 Services

**Mark Drawing** 

Code

(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS

Design

10.05.01 - Tooth brushes Search Code

Serial Number 76035013

Filing Date

April 21, 2000

**Current Filing** 

**Basis** 

Original Filing 1A

**Basis** 

Published for Opposition

January 8, 2002

Registration

Number

2754841

Registration

Date

August 26, 2003

Owner

(REGISTRANT) SmithKline Beecham Corporation CORPORATION PENNSYLVANIA One Franklin Plaza P.O. Box 7929 Philadelphia PENNSYLVANIA 19101

Attorney of

Record

**GARY D KRUGMAN** 

**Prior** 

Registrations

1662981;2276630;2299454;AND OTHERS

Disclaimer

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE the representation of the functionality of

#### the flexible head APART FROM THE MARK AS SHOWN

Mark

Description of The mark is consists of "AQUAFRESH" and the three-dimensional, overall appearance of a toothbrush featuring a white background color, a colored band just below the bristles, and on the FRONT: colored inserts, the larger of which has raised circular bumps on the top and bottom, three white stripes separated by two thinner colored stripes; on the BACK: colored inserts, the larger of which has raised circular bumps on the top and bottom, two white stripes separated by three thinner colored stripes, a vertical colored line running from the large insert, through the three stripes to the bottom of the smaller inserts; and, on the SIDE: a serpentine, repeated "S" design on the side of the toothbrush (towards the top of the handle) with a colored stripe running through the "S" design, and a bowed handle. The stippling in the mark is intended to represent a color other than white.

Type of Mark TRADEMARK

Register

PRINCIPAL-2(F)

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LIVE

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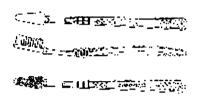
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Serial Number: 76035013 Assignment Information Trademark Document Retrieval

**Registration Number: 2754841** 

Mark



(words only): AQUAFRESH

Standard Character claim: No

Current Status: Registered.

Date of Status: 2003-08-26

Filing Date: 2000-04-21

Transformed into a National Application: No

Registration Date: 2003-08-26

Register: Principal

Law Office Assigned: LAW OFFICE 108

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at TrademarkAssistanceCenter@uspto.gov

Current Location: 40S -Scanning On Demand

Date In Location: 2007-07-02

### LAST APPLICANT(S)/OWNER(S) OF RECORD

1. SmithKline Beecham Corporation

Address:

SmithKline Beecham Corporation One Franklin Plaza P.O. Box 7929 Philadelphia, PA 19101

United States

Legal Entity Type: Corporation

State or Country of Incorporation: Pennsylvania

### GOODS AND/OR SERVICES

International Class: 021 Class Status: Active

toothbrushes **Basis:** 1(a)

First Use Date: 1990-07-15

First Use in Commerce Date: 1990-07-15

### ADDITIONAL INFORMATION

Disclaimer: the representation of the functionality of the flexible head

Description of Mark: The mark is consists of "AQUAFRESH" and the three-dimensional, overall appearance of a toothbrush featuring a white background color, a colored band just below the bristles, and on the FRONT: colored inserts, the larger of which has raised circular bumps on the top and bottom, three white stripes separated by two thinner colored stripes; on the BACK: colored inserts, the larger of which has raised circular bumps on the top and bottom, two white stripes separated by three thinner colored stripes, a vertical colored line running from the large insert, through the three stripes to the bottom of the smaller inserts; and, on the SIDE: a serpentine, repeated "S" design on the side of the toothbrush (towards the top of the handle) with a colored stripe running through the "S" design, and a bowed handle.

Lining and Stippling: The stippling in the mark is intended to represent a color other than white.

Section 2(f)

Design Search Code(s):

10.05.01 - Tooth brushes

Prior Registration Number(s):

1662981 2276630 2299454

MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

PROSECUTION HISTORY

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2007-07-02 - Case File In TICRS

2003-08-26 - Registered - Principal Register

2002-01-17 - Extension Of Time To Oppose Received

2002-01-08 - Published for opposition

2001-12-19 - Notice of publication

2001-08-23 - Approved for Pub - Principal Register (Initial exam)

2001-08-16 - Examiner's amendment mailed

2001-01-29 - Communication received from applicant

2000-07-21 - Non-final action mailed

2000-07-13 - Assigned To Examiner

2000-05-24 - Petition To Director - Granted

2000-04-21 - Petition To Director Received

### ATTORNEY/CORRESPONDENT INFORMATION

# **Attorney of Record**

GARY D KRUGMAN

### Correspondent

GARY D KRUGMAN SUGHRUE MION ZINN MACPEAK & SEAS 2100 PENNSYLVANIA AVE NW WASHINGTON DC 20037-3202

# Exhibit K

Int. Cl.: 3

Prior U.S. Cls.: 1, 4, 6, 50, 51, and 52

Reg. No. 2,314,839

United States Patent and Trademark Office

Registered Feb. 1, 2000

# TRADEMARK PRINCIPAL REGISTER

### **AQUAFRESH ALL**

SMITHKLINE BEECHAM CORPORATION (PENN-SYLVANIA CORPORATION) ONE FRANKLIN PLAZA, P.O. BOX 7929 PHILADELPHIA, PA 19101

FOR: TOOTHPASTE, IN CLASS 3 (U.S. CLS. I, 4, 6, 50, 51 AND 52).

FIRST USE 5-24-1999; IN COMMERCE 5-24-1999. OWNER OF U.S. REG. NOS. 1,006,821, 1,038,376, AND OTHERS.

SN 75-191,007, FILED 11-1-1996.

MARY ROSSMAN, EXAMINING ATTORNEY



### **United States Patent and Trademark Office**

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# Trademarks > Trademark Electronic Search System (TESS)

TESS was last updated on Fri Apr 4 04:12:56 EDT 2008

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# AQUAFRESH-ALL

Word Mark

**AQUAFRESH-ALL** 

Goods and

IC 003. US 001 004 006 050 051 052. G & S: toothpaste. FIRST USE: 19990524. FIRST USE

Services IN COMMERCE: 19990524

Mark Drawing Code (5) WORDS, LETTERS, AND/OR NUMBERS IN STYLIZED FORM

Serial Number

75191007

**Filing Date** 

November 1, 1996

**Current Filing** 

UNKNOWN

Basis **Original Filing** 

Basis

**1B** 

**Published for** 

Opposition

March 25, 1997

Change In

Registration

CHANGE IN REGISTRATION HAS OCCURRED

Registration

Number

2314839

**Registration Date** 

February 1, 2000

**Owner** 

(REGISTRANT) SmithKline Beecham Corporation CORPORATION PENNSYLVANIA One

Franklin Plaza, P.O. Box 7929 Philadelphia PENNSYLVANIA 19101

Attorney of Record CHRISTOPHER A SIDOTI

Prior Registrations 1006821;1038376;AND OTHERS

Type of Mark

**TRADEMARK** 

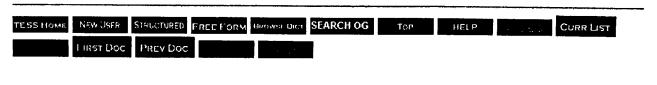
Register

**PRINCIPAL** 

**Affidavit Text** 

SECT 15. SECT 8 (6-YR).

Live/Dead Indicator LIVE



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Latest Status Info Page 1 of 4

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Serial Number: 75191007 Assignment Information Trademark I

Trademark Document Retrieval

**Registration Number: 2314839** 

Mark

# **AQUAFRESH-ALL**

(words only): AQUAFRESH- ALL

Standard Character claim: No

Current Status: Section 8 and 15 affidavits have been accepted and acknowledged.

**Date of Status: 2005-12-16** 

Filing Date: 1996-11-01

Transformed into a National Application: No

Registration Date: 2000-02-01

Register: Principal

Law Office Assigned: LAW OFFICE 109

If you are the applicant or applicant's attorney and have questions about this file, please contact

the Trademark Assistance Center at TrademarkAssistanceCenter@uspto.gov

Current Location: 40S -Scanning On Demand

Date In Location: 2006-05-27

### LAST APPLICANT(S)/OWNER(S) OF RECORD

1. SmithKline Beecham Corporation

#### Address:

SmithKline Beecham Corporation One Franklin Plaza, P.O. Box 7929 Latest Status Info Page 2 of 4

Philadelphia, PA 19101

United States

Legal Entity Type: Corporation

State or Country of Incorporation: Pennsylvania

### **GOODS AND/OR SERVICES**

International Class: 003 Class Status: Active

toothpaste

No Filing Basis Claimed

First Use Date: 1999-05-24

First Use in Commerce Date: 1999-05-24

### ADDITIONAL INFORMATION

### **Prior Registration Number(s):**

1006821 1038376

### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

### **PROSECUTION HISTORY**

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2006-05-27 - Case File In TICRS

2005-12-16 - Section 8 (6-year) accepted & Section 15 acknowledged

2005-12-16 - Assigned To Paralegal

2005-08-30 - Section 8 (6-year) and Section 15 Filed

2005-08-30 - TEAS Section 8 & 15 Received

2001-09-29 - Section 7 amendment issued

2001-07-24 - Section 7 amendment issued

2001-05-31 - Section 7 amendment issued

2001-05-09 - Response received for Post Registration action

2001-04-16 - Post Registration action mailed - Section 7

2001-03-21 - Section 7 amendment filed

2000-02-01 - Registered - Principal Register

1999-11-15 - Allowed for Registration - Principal Register (SOU accepted)

1999-11-12 - Assigned To Examiner

1999-10-20 - Statement of use processing complete

1999-09-20 - Amendment to Use filed

1999-06-21 - Extension 4 granted

1999-05-27 - Extension 4 filed

1998-11-25 - Extension 3 granted

1998-11-25 - Extension 3 filed

1998-06-03 - Extension 2 granted

1998-05-14 - Extension 2 filed

1998-01-14 - Extension 1 granted

1997-12-02 - Extension 1 filed

1997-06-17 - Notice of allowance - mailed

1997-03-25 - Published for opposition

1997-02-21 - Notice of publication

1997-01-21 - Approved for Pub - Principal Register (Initial exam)

1997-01-21 - Assigned To Examiner

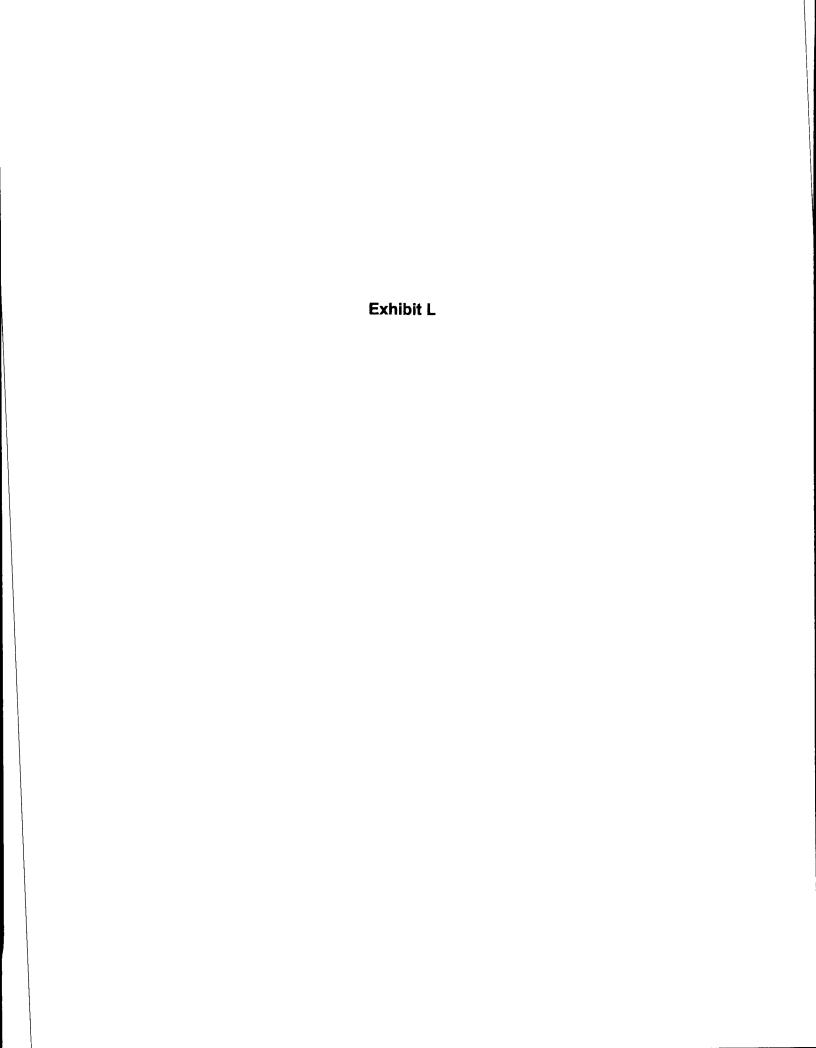
### ATTORNEY/CORRESPONDENT INFORMATION

# Attorney of Record

CHRISTOPHER A SIDOTI

### Correspondent

CHRISTOPHER A SIDOTI GLAXOSMITHKLINE UW2221 709 SWEDELAND ROAD



Int. Cls.: 3 and 30

Prior U.S. Cls.: 1, 4, 6, 46, 50, 51, and 52

United States Patent and Trademark Office

Reg. No. 2,615,649 Registered Sep. 3, 2002

# TRADEMARK PRINCIPAL REGISTER

# AQUAFRESH EXTREME CLEAN

SMITHKLINE BEECHAM CORPORATION (PENNSYLVANIA CORPORATION) ONE FRANKLIN PLAZA P.O. BOX 7929 PHILADELPHIA, PA 19101

OWNER OF U.S. REG. NOS. 1,006,821, 1,954,329, AND OTHERS.

FOR: TOOTHPASTE, IN CLASS 3 (U.S. CLS. 1, 4, 6, 50, 51 AND 52).

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "CLEAN", APART FROM THE MARK AS SHOWN.

FIRST USE 5-20-2002; IN COMMERCE 5-20-2002.

SN 75-567,009, FILED 10-7-1998.

FOR: CHEWING GUM, IN CLASS 30 (U.S. CL. 46).

FIRST USE 5-20-2002; IN COMMERCE 5-20-2002.

MARY ROSSMAN, EXAMINING ATTORNEY

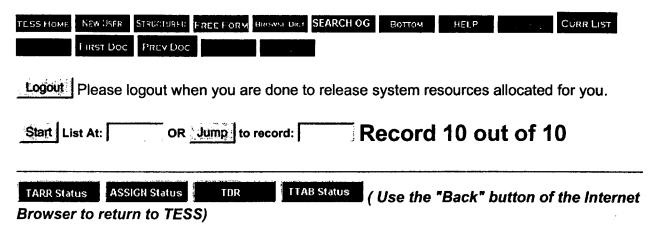


### **United States Patent and Trademark Office**

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### Trademarks > Trademark Electronic Search System (TESS)

TESS was last updated on Fri Apr 4 04:12:56 EDT 2008



### Typed Drawing

**Word Mark** 

AQUAFRESH EXTREME CLEAN

Goods and Services

IC 003, US 001 004 006 050 051 052, G & S; toothpaste, FIRST USE; 20020520, FIRST USE

IN COMMERCE: 20020520

IC 030, US 046, G & S: chewing gum. FIRST USE: 20020520, FIRST USE IN COMMERCE:

20020520

Mark Drawing

Code

(1) TYPED DRAWING

Serial Number

75567009

**Filing Date** 

October 7, 1998

**Current Filing** 

Basis

1A

Original Filing

**Basis** 

1B

**Published for** Opposition

June 22, 1999

Registration

Number

2615649

Registration Date

September 3, 2002

Owner

(REGISTRANT) SmithKline Beecham Corporation CORPORATION PENNSYLVANIA One

Franklin Plaza P.O. Box 7929 Philadelphia PENNSYLVANIA 19101

Attorney of Record Christopher A. Sidoti

Prior Registrations 1006821;1951495;1954329;AND OTHERS

Disclaimer

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "CLEAN" APART FROM THE

MARK AS SHOWN

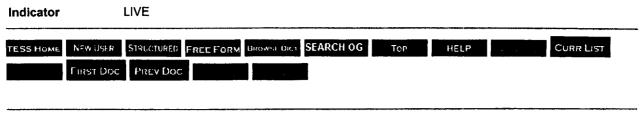
Type of Mark

TRADEMARK

Register

**PRINCIPAL** 

Live/Dead



Thank you for your request. Here are the latest results from the TARR web server.

This page was generated by the TARR system on 2008-04-04 15:55:51 ET

Serial Number: 75567009 Assignment Information Trademark Document Retrieval

**Registration Number: 2615649** 

Mark (words only): AQUAFRESH EXTREME CLEAN

Standard Character claim: No

Current Status: Registered.

Date of Status: 2002-09-03

Filing Date: 1998-10-07

Transformed into a National Application: No

Registration Date: 2002-09-03

Register: Principal

Law Office Assigned: LAW OFFICE 109

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at <a href="mailto:TrademarkAssistanceCenter@uspto.gov">TrademarkAssistanceCenter@uspto.gov</a>

Current Location: 40S -Scanning On Demand

Date In Location: 2008-01-15

### LAST APPLICANT(S)/OWNER(S) OF RECORD

### 1. SmithKline Beecham Corporation

#### Address:

SmithKline Beecham Corporation One Franklin Plaza P.O. Box 7929 Philadelphia, PA 19101

United States

Legal Entity Type: Corporation

State or Country of Incorporation: Pennsylvania

#### GOODS AND/OR SERVICES

International Class: 003 Class Status: Active toothpaste

Basis: 1(a)

First Use Date: 2002-05-20

First Use in Commerce Date: 2002-05-20

International Class: 030 Class Status: Active

chewing gum
Basis: 1(a)

First Use Date: 2002-05-20

First Use in Commerce Date: 2002-05-20

### ADDITIONAL INFORMATION

Disclaimer: "CLEAN"

Prior Registration Number(s):

1006821 1951495 1954329

### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

### PROSECUTION HISTORY

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2008-01-15 - Case File In TICRS

2002-09-03 - Registered - Principal Register

2002-06-26 - Allowed for Registration - Principal Register (SOU accepted)

2002-06-17 - Statement of use processing complete

2002-05-17 - Amendment to Use filed

2002-05-22 - PAPER RECEIVED

2002-02-28 - Extension 5 granted

2002-02-15 - Extension 5 filed

2001-09-14 - Extension 4 granted

2001-09-04 - Extension 4 filed

2001-03-14 - Extension 3 granted

2001-02-15 - Extension 3 filed

2000-10-22 - Extension 2 granted

2000-08-28 - Extension 2 filed

2000-04-27 - Extension 1 granted

2000-03-02 - Extension 1 filed

1999-09-14 - Notice of allowance - mailed

1999-06-22 - Published for opposition

1999-05-21 - Notice of publication

1999-03-12 - Approved for Pub - Principal Register (Initial exam)

1999-03-04 - Examiner's amendment mailed

1999-03-03 - Assigned To Examiner

1999-02-12 - Assigned To Examiner

### ATTORNEY/CORRESPONDENT INFORMATION

### **Attorney of Record**

Christopher A. Sidoti

### Correspondent

CHRISTOPHER A. SIDOTI SMITHKLINE BEECHAM CORPORATION L-CORP INTELLECTUAL PROP (TRADEMARK) US 709 SWEDELAND ROAD - UW2221 KING OF PRUSSIA PA 19406

# Exhibit M

Int. Cl.: 3

Prior U.S. Cls.: 1, 4, 6, 50, 51, and 52

United States Patent and Trademark Office

Reg. No. 2,811,892 Registered Feb. 3, 2004

### TRADEMARK PRINCIPAL REGISTER

# AQUAFRESH AQUABLAST

SMITHKLINE BEECHAM CORPORATION (PENNSYLVANIA CORPORATION) ONE FRANKLIN PLAZA PHILADELPHIA, PA 19101

FOR: TOOTHBRUSH CLEANERS AND ORAL APPLIANCE CLEANERS, IN CLASS 3 (U.S. CLS. 1, 4, 6, 50, 51 AND 52).

FIRST USE 8-18-2003; IN COMMERCE 8-18-0203.

OWNER OF U.S. REG. NOS. 1,006,820, 2,304,437, AND OTHERS.

SN 78-230,080, FILED 3-26-2003.

APRIL L. RADEMACHER, EXAMINING ATTORNEY



# **United States Patent and Trademark Office**

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TARR Status AS  Browser to retu	SIGN Status TDR TTAB Status ( Use the "Back" button of the Interne							
Typed Drawing								
Word Mark	AQUAFRESH AQUABLAST							
Goods and Services	IC 003. US 001 004 006 050 051 052. G & S: toothbrush cleaners and oral appliance cleaners. FIRST USE: 20030818. FIRST USE IN COMMERCE: 20030818							
Mark Drawing Code	(1) TYPED DRAWING							
Serial Number	78230080							
Filing Date	March 26, 2003							
Current Filing Basis	1A							
Original Filing Basis	1B							
Published for Opposition	July 29, 2003							
Registration Number	2811892							
Registration Date	February 3, 2004							
Owner	(REGISTRANT) SmithKline Beecham Corporation CORPORATION PENNSYLVANIA One Franklin Plaza Philadelphia PENNSYLVANIA 19101							
Attorney of Record	Christopher A. Sidoti							
<b>Prior Registrations</b>	1006820;1006821;2304437;AND OTHERS							
Type of Mark	TRADEMARK							
Register	PRINCIPAL							
Live/Dead Indicator	LIVE							
TESS HOME NEW USER	STRUCTURED FREE FORM BROWN DICT SEARCH OG TOP HELP CURR LIST							

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This page was generated by the TARR system on 2008-04-04 15:56:22 ET

Serial Number: 78230080 Assignment Information Trademark Document Retrieval

Registration Number: 2811892

Mark (words only): AQUAFRESH AQUABLAST

Standard Character claim: No

Current Status: Registered.

Date of Status: 2004-02-03

Filing Date: 2003-03-26

Transformed into a National Application: No

Registration Date: 2004-02-03

Register: Principal

Law Office Assigned: LAW OFFICE 102

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at <a href="mailto:TrademarkAssistanceCenter@uspto.gov">TrademarkAssistanceCenter@uspto.gov</a>

Current Location: 900 -File Repository (Franconia)

Date In Location: 2004-02-11

# LAST APPLICANT(S)/OWNER(S) OF RECORD

### 1. SmithKline Beecham Corporation

### Address:

SmithKline Beecham Corporation One Franklin Plaza Philadelphia, PA 19101 United States

Legal Entity Type: Corporation

State or Country of Incorporation: Pennsylvania

**Phone Number:** (610) 270-6173 **Fax Number:** (610) 270-7177

### GOODS AND/OR SERVICES

Latest Status into Page 2 of 3

International Class: 003 Class Status: Active

toothbrush cleaners and oral appliance cleaners

Basis: 1(a)

First Use Date: 2003-08-18

First Use in Commerce Date: 2003-08-18

### ADDITIONAL INFORMATION

### Prior Registration Number(s):

1006820 1006821 2304437

### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

### **PROSECUTION HISTORY**

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2004-02-03 - Registered - Principal Register

2003-12-12 - Allowed for Registration - Principal Register (SOU accepted)

2003-11-21 - Assigned To Examiner

2003-11-20 - Statement of use processing complete

2003-11-07 - Amendment to Use filed

2003-11-07 - TEAS Statement of Use Received

2003-11-07 - TEAS Extension Received

2003-10-21 - Notice of allowance - mailed

2003-07-29 - Published for opposition

2003-07-09 - Notice of publication

2003-06-02 - Approved for Pub - Principal Register (Initial exam)

2003-06-02 - Assigned To Examiner

### ATTORNEY/CORRESPONDENT INFORMATION

### **Attorney of Record**

Christopher A. Sidoti

### Correspondent

Christopher A. Sidoti GlaxoSmithKline 709 Swedeland Road UW2221 King of Prussia PA USA 19406 Phone Number: (610) 270-6173 Fax Number: (610) 270-7177

# Exhibit N

Int. Cl.: 21

Prior U.S. Cls.: 2, 13, 23, 29, 30, 33, 40, and 50

Reg. No. 3,058,216

United States Patent and Trademark Office

Registered Feb. 7, 2006

### **TRADEMARK** PRINCIPAL REGISTER

# AQUAFRESH XTENSIVE

SMITHKLINE BEECHAM CORPORATION (PENNSYLVANIA CORPORATION) ONE FRANKLIN PLAZA PHILADELPHIA, PA 19101

FOR: TOOTHBRUSHES, IN CLASS 21 (U.S. CLS. 2, 13, 23, 29, 30, 33, 40 AND 50).

FIRST USE 2-1-2005; IN COMMERCE 2-1-2005.

THE MARK CONSISTS OF STANDARD CHAR-ACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT, STYLE, SIZE, OR COLOR.

OWNER OF U.S. REG. NOS. 2,699,482, 2,814,290, AND OTHERS.

SN 78-393,676, FILED 3-31-2004.

DANIEL BRODY, EXAMINING ATTORNEY



### **United States Patent and Trademark Office**

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# AQUAFRESH XTENSIVE

**Word Mark** 

**AQUAFRESH XTENSIVE** 

**Goods and Services** 

IC 021. US 002 013 023 029 030 033 040 050. G & S: Toothbrushes, FIRST USE:

20050201. FIRST USE IN COMMERCE: 20050201

**Standard Characters** 

Claimed

Mark Drawing Code

(4) STANDARD CHARACTER MARK

Serial Number

78393676

Filing Date

March 31, 2004

**Current Filing Basis** 

1A

**Original Filing Basis** 

**Published for** 

March 15, 2005

Opposition

Registration Number 3058216

**Registration Date** 

February 7, 2006

Owner

(REGISTRANT) SmithKline Beecham Corporation CORPORATION PENNSYLVANIA One

Franklin Plaza Philadelphia PENNSYLVANIA 19101

Attorney of Record

Christopher A. Sidoti

**Prior Registrations** 

2699482;2759361;2814290;AND OTHERS

Type of Mark

**TRADEMARK** 

Register

**PRINCIPAL** 

Live/Dead Indicator

LIVE

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Serial Number: 78393676 Assignment Information

Trademark Document Retrieval

**Registration Number: 3058216** 

Mark

# **AQUAFRESH XTENSIVE**

(words only): AQUAFRESH XTENSIVE

Standard Character claim: Yes

Current Status: Registered.

Date of Status: 2006-02-07

Filing Date: 2004-03-31

Transformed into a National Application: No

Registration Date: 2006-02-07

Register: Principal

Law Office Assigned: LAW OFFICE 115

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at <u>TrademarkAssistanceCenter@uspto.gov</u>

Current Location: 650 - Publication And Issue Section

Date In Location: 2005-12-27

### LAST APPLICANT(S)/OWNER(S) OF RECORD

1. SmithKline Beecham Corporation

### Address:

SmithKline Beecham Corporation One Franklin Plaza Philadelphia, PA 19101

**United States** 

Legal Entity Type: Corporation

State or Country of Incorporation: Pennsylvania

### **GOODS AND/OR SERVICES**

International Class: 021 Class Status: Active

Toothbrushes **Basis:** 1(a)

First Use Date: 2005-02-01

First Use in Commerce Date: 2005-02-01

### ADDITIONAL INFORMATION

### **Prior Registration Number(s):**

2699482 2759361 2814290

### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

### PROSECUTION HISTORY

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2006-02-07 - Registered - Principal Register

2005-12-23 - Law Office Registration Review Completed

2005-12-09 - Assigned To LIE

2005-12-04 - Allowed for Registration - Principal Register (SOU accepted)

2005-12-02 - Statement of use processing complete

2005-12-02 - Extension 1 granted

2005-11-16 - Amendment to Use filed

2005-11-16 - Extension 1 filed

2005-11-16 - TEAS Extension Received

2005-11-16 - TEAS Statement of Use Received

2005-06-07 - Notice of allowance - mailed

2005-03-15 - Published for opposition

2005-02-23 - Notice of publication

2004-12-08 - Law Office Publication Review Completed

2004-11-01 - Assigned To LIE

2004-10-22 - Approved for Pub - Principal Register (Initial exam)

2004-10-20 - Assigned To Examiner

2004-04-08 - New Application Entered In Tram

### ATTORNEY/CORRESPONDENT INFORMATION

### **Attorney of Record**

Christopher A. Sidoti

### Correspondent

Christopher A. Sidoti GlaxoSmithKline UW2221 709 Swedeland Road King of Prussia, PA 19406-0939 Phone Number: 610-270-6173 Fax Number: 610-270-7177

# **Exhibit O**

Int. Cl.: 3

Prior U.S. Cls.: 1, 4, 6, 50, 51, and 52

United States Patent and Trademark Office

Reg. No. 3,218,965 Registered Mar. 13, 2007

### TRADEMARK PRINCIPAL REGISTER

### AQUAFRESH WHITE & SHINE

SMITHKLINE BEECHAM CORPORATION (PENNSYLVANIA CORPORATION) ONE FRANKLIN PLAZA PHILADELPHIA, PA 19102

FOR: ORAL CARE PREPARATIONS, NAMELY DENTAL GELS, DENTIFRICES, IN CLASS 3 (U.S. CLS. 1, 4, 6, 50, 51 AND 52).

FIRST USE 2-28-2006; IN COMMERCE 2-28-2006.

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT, STYLE, SIZE, OR COLOR.

OWNER OF U.S. REG. NOS. 1,006,821, 2,985,544, AND OTHERS.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "WHITE", APART FROM THE MARK AS SHOWN.

SN 78-742,205, FILED 10-28-2005.

STEPHANIE DAVIS, EXAMINING ATTORNEY



### **United States Patent and Trademark Office**

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# AQUAFRESH WHITE & SHINE

**Word Mark** 

AQUAFRESH WHITE & SHINE

Goods and Services IC 003. US 001 004 006 050 051 052. G & S: Oral care preparations, namely dental gels,

dentifrices. FIRST USE: 20060228. FIRST USE IN COMMERCE: 20060228

Standard Characters

Claimed

Mark Drawing Code (4) STANDARD CHARACTER MARK

Serial Number

78742205

**Filing Date** 

October 28, 2005

Current Filing Basis 1A Original Filing Basis 1B

**Published for** 

July 4, 2006

Opposition

Registration Number 3218965

**Registration Date** 

March 13, 2007

Owner

(REGISTRANT) SmithKline Beecham Corporation CORPORATION PENNSYLVANIA One

Franklin Plaza Philadelphia PENNSYLVANIA 19102

Attorney of Record

Terence A. Dixon

**Prior Registrations** 

1006821;2503053;2985544;AND OTHERS

Disclaimer

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "WHITE" APART FROM THE

MARK AS SHOWN

Type of Mark

**TRADEMARK** 

Register

**PRINCIPAL** 

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Serial Number: 78742205 Assignment Information

Trademark Document Retrieval

Registration Number: 3218965

Mark

# AQUAFRESH WHITE & SHINE

(words only): AQUAFRESH WHITE & SHINE

Standard Character claim: Yes

Current Status: Registered.

Date of Status: 2007-03-13

Filing Date: 2005-10-28

Transformed into a National Application: No

Registration Date: 2007-03-13

Register: Principal

Law Office Assigned: LAW OFFICE 109

If you are the applicant or applicant's attorney and have questions about this file, please contact

the Trademark Assistance Center at TrademarkAssistanceCenter@uspto.gov

Current Location: 650 - Publication And Issue Section

Date In Location: 2007-02-06

### LAST APPLICANT(S)/OWNER(S) OF RECORD

1. SmithKline Beecham Corporation

#### Address:

SmithKline Beecham Corporation One Franklin Plaza

Page 2 of 3

Philadelphia, PA 19102

**United States** 

Legal Entity Type: Corporation

State or Country of Incorporation: Pennsylvania

#### **GOODS AND/OR SERVICES**

International Class: 003 Class Status: Active

Oral care preparations, namely dental gels, dentifrices

Basis: 1(a)

First Use Date: 2006-02-28

First Use in Commerce Date: 2006-02-28

#### ADDITIONAL INFORMATION

Disclaimer: "WHITE"

#### Prior Registration Number(s):

1006821 2503053 2985544

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### **PROSECUTION HISTORY**

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2007-03-13 - Registered - Principal Register

2007-02-19 - Attorney Revoked And/Or Appointed

2007-02-19 - TEAS Revoke/Appoint Attorney Received

2007-02-01 - Law Office Registration Review Completed

2007-02-01 - Assigned To LIE

2006-12-30 - Allowed for Registration - Principal Register (SOU accepted)

2006-12-16 - Statement of use processing complete

2006-10-30 - Amendment to Use filed

- 2006-11-28 Attorney Revoked And/Or Appointed
- 2006-11-28 TEAS Revoke/Appoint Attorney Received
- 2006-10-30 TEAS Statement of Use Received
- 2006-09-26 Notice of allowance mailed
- 2006-07-04 Published for opposition
- 2006-06-14 Notice of publication
- 2006-05-16 Law Office Publication Review Completed
- 2006-05-12 Assigned To LIE
- 2006-05-03 Approved for Pub Principal Register (Initial exam)
- 2006-05-03 Examiner's Amendment Entered
- 2006-05-03 EXAMINERS AMENDMENT E-MAILED
- 2006-05-03 Examiners Amendment -Written
- 2005-12-14 Non-final action e-mailed
- 2005-12-14 Non-Final Action Written
- 2005-12-14 Assigned To Examiner
- 2005-11-01 New Application Entered In Tram

#### ATTORNEY/CORRESPONDENT INFORMATION

#### **Attorney of Record**

Terence A. Dixon

#### Correspondent

Terence A. Dixon GlaxoSmithKline UW2221

709 Swedeland Road King of Prussia PA 19406

Phone Number: 610-270-4121 Fax Number: 610-270-7177

010 270 7177

## Exhibit P

Int. Cl.: 3

Prior U.S. Cls.: 1, 4, 6, 50, 51, and 52

United States Patent and Trademark Office

Reg. No. 3,110,249 Registered June 27, 2006

#### TRADEMARK PRINCIPAL REGISTER

## **AQUAFRESH IMPACT**

SMITHKLINE BEECHAM CORPORATION (PENNSYLVANIA CORPORATION) ONE FRANKLIN PLAZA PHILADELPHIA, PA 19101

FOR: TOOTHPASTE, IN CLASS 3 (U.S. CLS. 1, 4, 6, 50, 51 AND 52).

FIRST USE 2-7-2005; IN COMMERCE 2-7-2005.

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT, STYLE, SIZE, OR COLOR.

OWNER OF U.S. REG. NOS. 1,006,821, 2,820,508, AND OTHERS.

SN 78-440,441, FILED 6-24-2004.

JAMES GRIFFIN, EXAMINING ATTORNEY



#### United States Patent and Trademark Office

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## **AQUAFRESH IMPACT**

**Word Mark** 

**AQUAFRESH IMPACT** 

**Goods and Services** 

IC 003. US 001 004 006 050 051 052. G & S: Toothpaste. FIRST USE: 20050207. FIRST

USE IN COMMERCE: 20050207

Standard Characters

Claimed

Mark Drawing Code

(4) STANDARD CHARACTER MARK

Serial Number

78440441

**Filing Date** 

June 24, 2004

**Current Filing Basis** 

**Original Filing Basis** 

**1B** 

**Published for** 

Opposition

Registration Number 3110249

June 27, 2006

**Registration Date** 

**Owner** 

(REGISTRANT) SmithKline Beecham Corporation CORPORATION PENNSYLVANIA One

Franklin Plaza Philadelphia PENNSYLVANIA 19101

**Attorney of Record** 

Christopher A. Sidoti

September 6, 2005

**Prior Registrations** 

1006821;2811892;2820508;AND OTHERS

Type of Mark

**TRADEMARK** 

Register

**PRINCIPAL** 

Live/Dead Indicator

LIVE

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Serial Number: 78440441 Assignment Information Trademark Document Retrieval

Registration Number: 3110249

Mark

## **AQUAFRESH IMPACT**

(words only): AQUAFRESH IMPACT

Standard Character claim: Yes

Current Status: Registered.

**Date of Status: 2006-06-27** 

Filing Date: 2004-06-24

Transformed into a National Application: No

Registration Date: 2006-06-27

Register: Principal

Law Office Assigned: LAW OFFICE 103

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at TrademarkAssistanceCenter@uspto.gov

Current Location: 650 - Publication And Issue Section

Date In Location: 2006-05-18

## LAST APPLICANT(S)/OWNER(S) OF RECORD

1. SmithKline Beecham Corporation

Address:

SmithKline Beecham Corporation One Franklin Plaza Philadelphia, PA 19101

**United States** 

Legal Entity Type: Corporation

State or Country of Incorporation: Pennsylvania

#### **GOODS AND/OR SERVICES**

International Class: 003 Class Status: Active

Toothpaste **Basis:** 1(a)

First Use Date: 2005-02-07

First Use in Commerce Date: 2005-02-07

#### ADDITIONAL INFORMATION

Prior Registration Number(s):

1006821 2811892 2820508

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### **PROSECUTION HISTORY**

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2006-06-27 - Registered - Principal Register

2006-05-16 - Law Office Registration Review Completed

2006-05-12 - Assigned To LIE

2006-05-05 - Allowed for Registration - Principal Register (SOU accepted)

2006-05-04 - Statement of use processing complete

2006-05-04 - Extension 1 granted

2006-04-20 - Amendment to Use filed

2006-04-20 - Extension 1 filed

2006-04-20 - TEAS Extension Received

2006-04-20 - TEAS Statement of Use Received

2005-11-29 - Notice of allowance - mailed

2005-09-06 - Published for opposition

2005-08-17 - Notice of publication

2005-05-10 - Law Office Publication Review Completed

2005-05-06 - Assigned To LIE

2005-05-05 - Approved for Pub - Principal Register (Initial exam)

2005-04-14 - Amendment From Applicant Entered

2005-04-05 - Communication received from applicant

2005-04-05 - PAPER RECEIVED

2004-12-13 - Non-final action e-mailed

2004-12-13 - Non-Final Action Written

2004-12-13 - Assigned To Examiner

2004-06-30 - New Application Entered In Tram

#### ATTORNEY/CORRESPONDENT INFORMATION

#### Attorney of Record

Christopher A. Sidoti

#### Correspondent

Christopher A. Sidoti GlaxoSmithKline UW2221 709 Swedeland Road King of Prussia, PA 19406-0939 Phone Number: 610-270-6173

Fax Number: 610-270-7177

## Exhibit Q

Int. Cl.: 21

Prior U.S. Cls.: 2, 13, 23, 29, 30, 33, 40 and 50

United States Patent and Trademark Office

Reg. No. 2,985,548 Registered Aug. 16, 2005

#### TRADEMARK PRINCIPAL REGISTER

## **AQUAFRESH DIRECT**

SMITHKLINE BEECHAM CORPORATION (PENNSYLVANIA CORPORATION)

ONE FRANKLIN PLAZA

PHILADELPHIA, PA 19101

FOR: TOOTHBRUSHES, IN CLASS 21 (U.S. CLS. 2, 13, 23, 29, 30, 33, 40 AND 50).

FIRST USE 9-30-2002; IN COMMERCE 9-30-2002.

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT, STYLE, SIZE, OR COLOR.

OWNER OF U.S. REG. NOS. 1,006,821, 2,326,773 AND OTHERS.

SER. NO. 78-453,790, FILED 7-21-2004.

JANICE L. MCMORROW, EXAMINING ATTORNEY



#### **United States Patent and Trademark Office**

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#### Trademarks > Trademark Electronic Search System (TESS)

TESS was last updated on Fri Apr 4 04:12:56 EDT 2008

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#### Record 1 out of 1

TARR Status **ASSIGN Status**  TDR

**TTAB Status** 

( Use the "Back" button of the Internet

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## **AQUAFRESH DIRECT**

**Word Mark** 

AQUAFRESH DIRECT

**Goods and Services** 

IC 021. US 002 013 023 029 030 033 040 050. G & S: Toothbrushes. FIRST USE:

20020930. FIRST USE IN COMMERCE: 20020930

Standard Characters

Claimed

**Mark Drawing Code** 

(4) STANDARD CHARACTER MARK

**Serial Number** 

**Filing Date** 

78453790 July 21, 2004

**Current Filing Basis** 

1A **1A** 

**Original Filing Basis** 

**Published for** 

Opposition

May 24, 2005

Registration Number

2985548

**Registration Date** 

August 16, 2005

Owner

(REGISTRANT) SmithKline Beecham Corporation CORPORATION PENNSYLVANIA One

Franklin Plaza Philadelphia PENNSYLVANIA 19101

**Attorney of Record** 

Christopher A. Sidoti

**Prior Registrations** 

1006821;1906569;2326773;AND OTHERS

Type of Mark Register

TRADEMARK

**PRINCIPAL** 

Live/Dead Indicator

LIVE

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Serial Number: 78453790 Assignment Information

Trademark Document Retrieval

**Registration Number: 2985548** 

Mark

## **AQUAFRESH DIRECT**

(words only): AQUAFRESH DIRECT

Standard Character claim: Yes

Current Status: Registered.

Date of Status: 2005-08-16

Filing Date: 2004-07-21

Transformed into a National Application: No

Registration Date: 2005-08-16

Register: Principal

Law Office Assigned: LAW OFFICE 115

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at <u>TrademarkAssistanceCenter@uspto.gov</u>

Current Location: 650 - Publication And Issue Section

**Date In Location: 2005-08-16** 

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

1. SmithKline Beecham Corporation

#### Address:

SmithKline Beecham Corporation

One Franklin Plaza

Philadelphia, PA 19101

**United States** 

Legal Entity Type: Corporation

State or Country of Incorporation: Pennsylvania

#### **GOODS AND/OR SERVICES**

International Class: 021 Class Status: Active

Toothbrushes **Basis:** 1(a)

First Use Date: 2002-09-30

First Use in Commerce Date: 2002-09-30

#### ADDITIONAL INFORMATION

#### **Prior Registration Number(s):**

1006821 1906569 2326773

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### PROSECUTION HISTORY

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2005-08-16 - Registered - Principal Register

2005-05-24 - Published for opposition

2005-05-04 - Notice of publication

2005-02-02 - Law Office Publication Review Completed

2005-01-31 - Assigned To LIE

2005-01-27 - Approved for Pub - Principal Register (Initial exam)

2005-01-27 - Assigned To Examiner

2004-07-29 - New Application Entered In Tram

#### ATTORNEY/CORRESPONDENT INFORMATION

## **Attorney of Record** Christopher A. Sidoti

#### Correspondent

CHRISTOPHER A. SIDOTI GLAXOSMITHKLINE 709 SWEDELAND RD KING OF PRUSSIA PA 19406-2711

Phone Number: 610-270-6173 Fax Number: 610-270-7177

## Exhibit R

Int. Cl.: 10

Prior U.S. Cl.: 44

Reg. No. 1,660,337

## United States Patent and Trademark Office Registered Oct. 8, 1991

#### TRADEMARK PRINCIPAL REGISTER

#### **AQUA FLOSS**

TOCAD CO., LTD. (JAPAN CORPORATION) 4-6, 1-CHOME KITASENZOKU, OTA-KU, TOKYO 145, JAPAN

FOR: WATER JET ORAL HYGIENE DEVICE FOR CLEANING AND IRRIGATING GUMS AND SPACES BETWEEN TEETH, IN CLASS 10 (U.S. CL. 44).

USE 6-1-1990; IN COMMERCE FIRST 6-1-1990.

SN 74-025,271, FILED 2-2-1990.

CARYN HINES, EXAMINING ATTORNEY



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#### Trademarks > Trademark Electronic Search System (TESS)

TESS was last updated on Fri Apr 4 04:12:56 EDT 2008

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Logout | Please logout when you are done to release system resources allocated for you.

### Record 1 out of 1

TARR Status **ASSIGN Status** TTAB Status TOR ( Use the "Back" button of the Internet

Browser to return to TESS)

#### **Typed Drawing**

**Word Mark** 

**AQUA FLOSS** 

Goods and **Services** 

IC 010. US 044. G & S: water jet oral hygiene device for cleaning and irrigating gums and spaces

between teeth. FIRST USE: 19900601. FIRST USE IN COMMERCE: 19900601

Mark Drawing

Code

(1) TYPED DRAWING

Serial Number

74025271

Filing Date

February 2, 1990

**Current Filing** 

1A

**Basis** 

**Original Filing** 

**Basis** 

1B

**Published for** Opposition

September 11, 1990

Registration

Number

1660337

Registration Date October 8, 1991

Owner

(REGISTRANT) TOCAD CO., LTD. CORPORATION JAPAN 1-Chome, Kitasenzoku 4-6, 1-

Chome OTA-KU TOKYO JAPAN

(LAST LISTED OWNER) SMITHKLINE BEECHAM CORPORATION CORPORATION PENNSYLVANIA 709 SWEDELAND ROAD UW2221 KING OF PRUSSIA PENNSYLVANIA

19406

**Assignment** Recorded

ASSIGNMENT RECORDED

Type of Mark

**TRADEMARK** 

Register

**PRINCIPAL** SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20020124.

Affidavit Text Renewal

1ST RENEWAL 20020124

Live/Dead Indicator

LIVE

Latest Status Info Page 1 of 3

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Serial Number: 74025271 Assignment Information Trademark Document Retrieval

**Registration Number: 1660337** 

Mark (words only): AQUA FLOSS

Standard Character claim: No

Current Status: The Trademark Trial and Appeal Board has terminated a cancellation proceeding.

**Date of Status: 2007-11-06** 

Filing Date: 1990-02-02

Transformed into a National Application: No

Registration Date: 1991-10-08

Register: Principal

Law Office Assigned: LAW OFFICE 7

If you are the applicant or applicant's attorney and have questions about this file, please contact

the Trademark Assistance Center at TrademarkAssistanceCenter@uspto.gov

Current Location: 40S -Scanning On Demand

Date In Location: 2007-11-26

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

#### 1. SMITHKLINE BEECHAM CORPORATION

#### Address:

SMITHKLINE BEECHAM CORPORATION 709 SWEDELAND ROAD UW2221 KING OF PRUSSIA, PA 19406 United States

Legal Entity Type: Corporation

State or Country of Incorporation: Pennsylvania

#### GOODS AND/OR SERVICES

International Class: 010 Class Status: Active

water jet oral hygiene device for cleaning and irrigating gums and spaces between teeth

Basis: 1(a)

First Use Date: 1990-06-01

First Use in Commerce Date: 1990-06-01

#### ADDITIONAL INFORMATION

(NOT AVAILABLE)

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### **PROSECUTION HISTORY**

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2007-11-26 - Case File In TICRS

2007-11-06 - Cancellation terminated for Proceeding

2007-11-06 - Cancellation dismissed for Proceeding

2007-10-03 - Automatic Update Of Assignment Of Ownership

2007-09-26 - Automatic Update Of Assignment Of Ownership

2007-03-19 - Cancellation Instituted No. 999999

2002-01-24 - First renewal 10 year

2002-01-24 - Section 8 (10-year) accepted/ Section 9 granted

2002-01-24 - Section 15 acknowledged

2001-09-25 - Combined Section 8 (10-year)/Section 9 filed

2001-09-25 - Section 15 affidavit received

2000-03-28 - Cancellation terminated for Proceeding

1998-09-18 - Cancellation dismissed for Proceeding

1998-07-22 - Section 8 (6-year) accepted

1998-07-23 - Post Registration action mailed Section 8 & 15

1997-10-06 - Section 8 (6-year) and Section 15 Filed

- 1995-04-26 Cancellation Instituted No. 999999
- 1991-10-08 Registered Principal Register
- 1991-08-08 Allowed for Registration Principal Register (SOU accepted)
- 1991-07-24 Assigned To Examiner
- 1991-07-11 Statement of use processing complete
- 1991-05-24 Amendment to Use filed
- 1990-12-04 Notice of allowance mailed
- 1990-09-11 Published for opposition
- 1990-08-11 Notice of publication
- 1990-07-10 Approved for Pub Principal Register (Initial exam)
- 1990-05-30 Assigned To Examiner

#### ATTORNEY/CORRESPONDENT INFORMATION

#### Correspondent

James L. Vana Perkins Cole 12301 Third Avenue Suite 4800 Seattle, WA 98101-3099

#### Certificate of Mailing by Express Mail

I hereby certify that this Second Amended Notice of Opposition is being deposited with the United States Postal Service as Express Mail, Post Office to Addressee in an envelope addressed to: Commissioner for Trademarks, P.O. Box 1451, Alexandria, Virginia 22313-1451, on April 8, 2008.

Erik Bertin Person Signing Certificate April 8, 2008 Date of Signature

Enh Berting

Eule Bertin

EB 303226528 US Express Mail Number

Signature

#### Certificate of Service

I hereby certify that a true and correct copy of this Second Amended Notice of Opposition has been duly served by mailing such copy first class, postage prepaid, to Erik M. Pelton, P.O. Box 100637, Arlington, VA 22210 on April 8, 2008.

Erik Bertin

#### Certificate of Mailing by Express Mail

I hereby certify that this Second Amended Notice of Opposition is being deposited with the United States Postal Service as Express Mail, Post Office to Addressee in an envelope addressed to: Commissioner for Trademarks, P.O. Box 1451, Alexandria, Virginia 22313-1451, on April 8, 2008.

Erik Bertin Person Signing Certificate April 8, 2008 Date of Signature

Eule Bertin

EB 303226528 US Express Mail Number

Enl Bertin

Signature

#### Certificate of Service

I hereby certify that a true and correct copy of this Second Amended Notice of Opposition has been duly served by mailing such copy first class, postage prepaid, to Erik M. Pelton, P.O. Box 100637, Arlington, VA 22210 on April 8, 2008.

Erik Bertin

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In re Application of Omnisource DDS, LLC

Application Serial No.: 78/893,144

Filed; May 25, 2006 Mark: AQUAJETT

SMITHKLINE BEECHAM CORPORATION

:

Opposer,

:

: Opposition No. 91/178,539

:

OMNISOURCE DDS, LLC

v.

:

Applicant.

#### **DECLARATION OF ERIK BERTIN**

I, Erik Bertin, declare and state as follows:

I am an attorney with the law firm of Dechert LLP, counsel of record for Opposer SmithKline Beecham Corporation. I make this Declaration in support of Opposer's Motion for Summary Judgment Based on the Issue of Applicant's *Bona Fide* Intent, which was filed in this proceeding on April 8, 2008. The following facts are within my personal knowledge and, if called and sworn as a witness, I could and would testify competently thereto:

- 1. Attached hereto as Exihibit A is a true and correct copy of relevant portions of the discovery deposition of Dr. William R. Weissman, DDS, President of Applicant, Omnisource DDS, LLC, taken February 27, 2008, together with the relevant portions of exhibits 2 through 10 thereto.
- 2. Attached hereto as <u>Exhibit B</u> is a true and correct copy of relevant portions of Applicant's Responses to Opposer's First Set of Interrogatories to Applicant.
- 3. Attached hereto as <u>Exhibit C</u> is a true and correct copy of relevant portions of Applicant's Responses to Opposer's First Set of Requests for Production of Documents and Things.

- 4. Attached hereto as <u>Exhibit D</u> is a true and correct copy of relevant portions of Applicant's Supplemental Responses to Opposer's First Set of Interrogatories to Applicant.
- 5. Attached hereto as <u>Exhibit E</u> is a true and correct copy of relevant portions of Applicant's Supplemental Responses to Opposer's First Set of Requests for Production of Documents and Things.
- 6. Attached hereto as Exhibit F is a true and correct copy of relevant portions of Applicant's Responses to Opposer's Second Set of Interrogatories to Applicant.
- 7. Attached hereto as <u>Exhibit G</u> is a true and correct copy of relevant portions of Applicant's Responses to Opposer's Second Request for Production of Documents and Things.
- 8. Attached hereto as Exhibit H is a true and correct copy of relevant portions of Opposer's Requests for Admissions to Applicant.
- 9. Attached hereto as <u>Exhibit I</u> is a true and correct copy of relevant portions of Applicant's Responses to Opposer's Requests for Admissions.
- 10. Attached hereto as <u>Exhibit J</u> is a certified status and title copy of Opposer's valid and subsisting U.S. Trademark Registration for the mark AQUAFRESH for "toothpaste" (Reg. No. 1,006,821.
- 11. Attached hereto as Exhibit K is a certified status and title copy of Opposer's valid and subsisting U.S. Trademark Registration for the mark AQUA FLOSS for a "water jet oral hygiene device for cleaning and irrigating gums and spaces between teeth" (Reg. No. 1,660,337), and a certified copy of an assignment transferring this registration to Opposer, which has been recorded at Reel/Frame 2376/0573-0576.
- 12. Attached hereto as <u>Exhibit L</u> are true and correct copies of print-outs from the Trademark Office's TESS and TARR database for U.S. Application Serial No. 78/797,498 for the mark OMNIFRESH for "oral irrigators, dental picks, tongue scrapers" in Class 10.
- 12. Attached hereto as <u>Exhibit M</u> are true and correct copies of a document numbered OMNISOURCE 000002, which was produced by Applicant Omnisource DDS, LLC in response to Opposer's First Set of Document Requests

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Dated: April 8, 2008

Respectfully submitted,

Erik Bertin DECHERT LLP

Eule Bertin

1775 I Street NW Washington, DC 20006

(202) 261-3407

Glenn A. Gundersen Jacob R. Bishop DECHERT LLP Cira Centre, 2929 Arch Street Philadelphia, PA 19104-2808 (215) 994-2183

Attorneys for Opposer SMITHKLINE BEECHAM CORPORATION

#### Declaration of Erik Bertin - Exhibit A

A true and correct copy of relevant portions of the discovery deposition of Dr. William R. Weissman, DDS, President of Applicant, Omnisource DDS, LLC, taken February 27, 2008, together with the relevant portions of exhibits 2 through 10 thereto.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

SMITHKLINE BEECHAM CORPORATION,

Opposer,

vs.

No. 91/178,539

OMNISOURCE, DDS, LLC,

Applicant.

COPY

DEPOSITION OF WILLIAM R. WEISSMAN

North Hollywood, California

Wednesday, February 27, 2008

Reported by: MARIA ELLERSICK CSR No. 10531

Job No. 82408

1	IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
2	BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD
3	
4	SMITHKLINE BEECHAM CORPORATION,
5	CORPORATION,
6	Opposer,
٠	vs. No. 91/178,539
7	OMNISOURCE, DDS, LLC,
8	
9	Applicant.
10	
11	
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13	Deposition of WILLIAM R. WEISSMAN,
14	taken on behalf of Opposer, at 10902
15	Riverside Drive, North Hollywood,
16	California, beginning at 9:17 a.m. and
17	ending at 10:40 a.m. on Wednesday,
18	February 27, 2008, before MARIA ELLERSICK,
19	Certified Shorthand Reporter No. 10531.
20	
21	
22	
23	
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25	

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1	APPEARANCES:
2	
3	For Opposer:
4	DECHERT, LLP BY: ERIK BERTIN
5	Attorney at Law 1775 I Street, N.W.
6	Washington, D.C. 20006-2401
7	(202) 261-3300
8	For Applicant:
9	ERIK M. PELTON & ASSOCIATES BY: ERIK M. PELTON
10	Attorney at Law 120 South Fayette Street, Suite B Alexandria, Virginia 22314
11	(703) 525-8009
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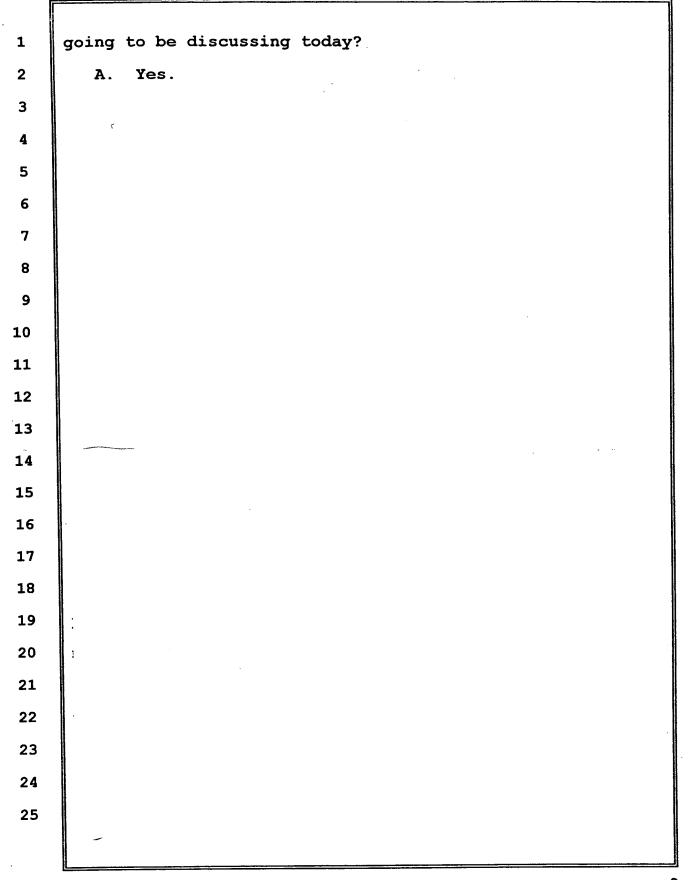
	INDEX		
	WITNES		ATION
	WILLIA	M R. WEISSMAN	
		DI 160 DEDETTI	•
,		BY MR. BERTIN	6
,			
<b>'</b>		EXHIBITS	
)	02200	m I d	PAGE
	OPPOSE	K. 2	FAGE
	1	Opposer's Amended Notice of	
.		Deposition for Dr. William	_
2		Weissman, DDS	7
	2	United States Patent	•
3	_	No. 5,511,693.	18
4	3	United States Patent	
_		No. 5,564,629	18
5	4	United States Patent	
6	<b>4</b>	No. 5,556,001	18
7	5	Printout from the U.S. Trademark	
		Office re application for the	
В		mark Aquajett	24
9	6	Printout from the U.S. Trademark	
0		Office re application for the mark Omnijet	24
1	7	Printout from the U.S. Trademark	
•	'	Office re application for the	
2		mark Omnipik	24
3	8	Printout from the U.S. Trademark	
		Office re application for the	24
4		mark Showerjet	24
5			

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1	EXHIBITS (Continued):		
2	OPPOSE	R'S	PAGE
3	9	Printout from the U.S. Trademark Office re application for the	24
4		mark Aquapik	24
5	10	Applicant's Supplemental Responses to Opposer's First Set of Interrogatories to Applicant	45
7	11	Applicant's Objections and Responses to Opposer's First Responses for Production of	
8		Requests for Production of Documents and Things	50
9	10	Omnicours monting minutes	
10	12	Omnisource meeting minutes, dated 6/1/06	51
11	13	Omnisource meeting minutes, dated 6/14/07	52
12			
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1	North Hollywood, California
2	Wednesday, February 27, 2008
3	9:17 a.m 10:40 a.m.
4	
5	WILLIAM R. WEISSMAN,
6	having been administered an oath, was examined and
7	testified as follows:
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11	
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14	· A _
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15 16	
15 16 17	
15 16 17 18	
15 16 17 18 19	
15 16 17 18 19 20	Again, if you could state your name for the
15 16 17 18 19 20 21	Again, if you could state your name for the record, please.
15 16 17 18 19 20 21 22	
15 16 17 18 19 20 21 22 23	record, please.

1	Omniso	urce, LLC, the applicant in this case?
2	A.	I'm the president of Omnisource.
3	Q.	And you're appearing today in response to our
4	deposi	tion notice; correct?
5	A.	Correct.
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understand what it is that I'm asking. If you don't 1 understand, please let me know and I'll try to rephrase 2 it in a better way. But otherwise, I'll just go ahead. 3 And if you at any time feel that you need a 4 break, please let me know. 5 And the same goes for Mr. Pelton. If you for any 6 reason need to ring off, let us know, please. 7 MR. PELTON: Thank you. 8 9 BY MR. BERTIN: 10 11 12 13 14 15 Does Omnisource have any other employees? 16 Q. No other employees. 17 A. 18 19 20 21 22 Q. Are you the best person to speak today on behalf 23 of Omnisource with respect to its business, and in 24 particular, with respect to the trademarks that we're 25



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18	Have you ever recommend to your patients that
19	they use an oral irrigator?
20	A. Yes.
21	Q. And for what purposes have you recommended them?
22	A. To help them maintain a cleaner and healthier
23	mouth.
24	Q. And doing so by removing particles between teeth
25	and within the mouth?

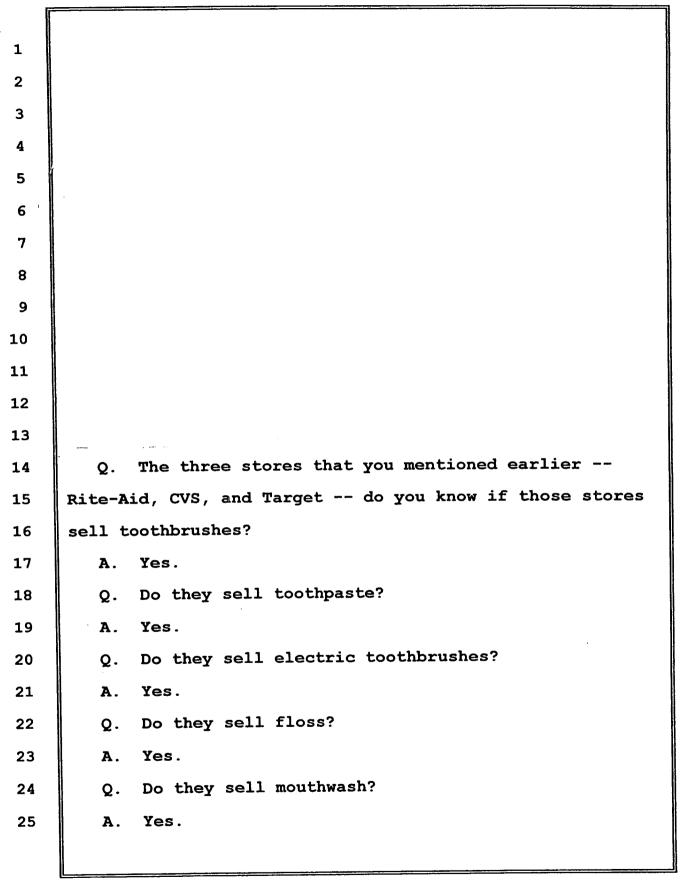
## **WILLIAM R. WEISSMAN**

11		
1	A.	Correct.
2	Q.	You mentioned earlier toothpaste, toothpicks,
3	floss,	and toothbrushes. Those oral care goods could be
4	used fo	or the same purpose?
5	A.	Correct.
6	Q.	Cleaning teeth, cleaning gums?
7	A.	Correct.
8	Q.	Cleaning spaces between teeth?
9	A.	Correct.
10		
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Q. Would you agree that oral irrigators is a product that would be used by ordinary consumers; in other words, anyone on the street? 

1	A. Yes.
2	en e
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4	
5	
6	
7	and the second second
8	Q. Are you aware of any oral irrigator products that
9	are currently on the market?
10	A. Yes.
11	Q. And could you identify those for me?
12	
13	
14	A. Interplaque puts out an oral irrigator. WaterPik
15	puts out an oral irrigator. Oral-B has an irrigator,
16	and those are the ones that come to my mind.
17	
18	
19	Q. Do you know where those products are sold?
20	A. In stores.
21	Q. And specifically, what types of stores?
22	A. Here in California, the types of places that I
23	would recommend my patients to go to would be places
24	like Rite-Aid, Target and CVS Pharmacy.
25	Q. Just to clarify, Rite-Aid is a pharmacy?

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1	A.	It's a pharmacy with other items.
2	Q.	And Target is a general retail store?
3	A.	Correct.
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1	Q. Do you have any sense as to how much an oral
2	irrigator costs?
3	A. Yes.
4	Q. How much?
5	A. Anywhere between \$29 to \$65.
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10	Q. Dr. Weissman, do you own any patents?
11	A. Yes.
12	Q. How many patents do you own?
13	A. Three.
14	MR. BERTIN: I'd like to mark for the record
15	three items.
16	The first is being marked as Exhibit 2. This is
17	United States Patent No. 5,511,693.
18	Exhibit 3 is United States Patent No. 5,564,629.
19	And Exhibit 4 is United States Patent
20	No. 5,556,001.
21	(Opposer's Exhibits 2 through 4
22	were marked for identification by
23	the court reporter.)
24	BY MR. BERTIN:
25	Q. Dr. Weissman, I'd like you to take a look at

these three exhibits. Are these the patents in question that you mentioned just a moment ago? A. Yes. Q. Have you ever assigned the patents to any third-party? A. No. Q. Have you ever licensed the patents to any third-parties? A. No. 

Q. When we started talking earlier this morning, you indicated that Omnisource plans to or is hoping to sell a number of oral care goods, including oral irrigators. Are the oral irrigators that are described in these patents, the products Omnisource -- specifically the oral irrigators that Omnisource is working on or that you intend to sell?

Q. Aside from the products that are described in these three patents, does Omnisource intend to sell any other oral irrigators?

A. No.

A.

Yes.

- Q. What is the target audience or the target market for the oral irrigators that Omnisource intends to sell?
- A. To the general consuming public who's interested in oral care goods.
  - Q. Ordinary consumers?
  - A. Ordinary consumers.
- Q. What about dental professionals such as dentists, orthodontists, endodontists?
  - A. They could potentially purchase these.

1	
2	Q. Are you intending
3	to sell this product to dental professionals
4	specifically for use in their profession?
5	A. I haven't really thought about exactly who or
6	when these would be sold or to whom.
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16	MR. BERTIN: Let's mark a few exhibits.	
17	Opposer's Exhibits 5, 6, 7, 8, 9 are printouts	
18	from the U.S. Trademark Office, TESS and TARR databases,	
19	and these are for applications for the marks Aquajett,	
20	Omnijet, Omnipik, Showerjet, and Aquapik.	
21	(Opposer's Exhibits 5 through 9	
22	were marked for identification by	
23	the court reporter.)	
24	BY MR. BERTIN:	
25	Q. If you could take a moment to look these over,	
	II	

1	Dr. Weissman.
2	Are these the marks that you were referring to a
3	moment ago?
4	A. Yes.
5	
6	
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10	Q. Are you planning to use all of these names or
11	only one?
12	A. That hasn't been determined yet.
13	Q. So you haven't decided yet whether you would use
14	as a brand name for this product just one name or
15	possibly five names?
16	A. Correct.
17	
18	
19	
20	
21	
22	Q. When are you planning on making your final
23	decision as to what the name of this product will be?
24	A. That hasn't been thought about yet.
25	Q. What would need to happen in order for you to

make that decision?

A. Once I see the choices of which names I have at my disposal to use, then I would make that decision based on whichever one either I felt were appropriate or if some company were interested in one of these names to use for an irrigator as to what they felt appropriate.

- Q. You said when -- you would make the decision when you were able to make the choice as to which name was available to you. Is it your understanding that these names are not available to you right now?
  - A. No. These names are available to me right now.
- Q. That being the case then, why is it that you can't decide which one of the names is the one that you want to use?
- A. Because I haven't at this point specifically focused on which name to use.
- Q. You also said that you wouldn't be able to make your decision as to which name to use until another company decided which name they liked?
- A. That would be if the product were licensed to another company, then they would have an option as to which of these marks they might be interested in using.
- Q. All of the applications that you filed with the Trademark Office, the ones that are numbered Opposer's Exhibit 5 through 9, those applications are all for oral

1	irrigators; correct?	
2	A. Correct.	
3	Q. And are they for the same product that is	
4	described in your three U.S. patents, Opposer's	
5	Exhibits 4, 5, and 6?	
6	A. Yes.	
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25	Q. Does Omnisource have any web sites?	

1	A.	Yes.
2	Q.	And what are those web sites?
3	A.	It has several web sites or several ownership of
4	severa	l web sites.
5	Q.	Does Omnisource have any web sites that are
6	curren	tly active?
7	A.	No.
8	Q.	Is Omnisource currently selling any oral care
9	produc	ets?
10	А.	No.
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3	Q. Omnisource isn't selling oral irrigators at this
4	time?
5	A. Correct.
6	Q. Has Omnisource ever sold oral irrigators?
7	A. No.
8	Q. Was Omnisource selling oral irrigators at the
9	time that you filed your trademark applications?
10	A. No.
11	
12	
13	
14	
15	Q. These patents were issued in 1996. What have you
16	done since then to bring the oral irrigator products
17	that are described in these patents to market?
18	A. Nothing.
19	Q. So I'm correct in assuming that you have no
20	current inventory of oral irrigators?
21	A. Correct.
22	Q. No prototypes?
23	A. Just the original prototype from the 1996 patent.
24	Q. Is that in your possession?
25	A. No.

1	Q.	In whose possession is that prototype?
2	A.	My brother.
3		
4		
5	Q.	Is that something he has at his dental practice?
6	A.	I haven't asked him where he is storing that.
7		
8		
9		
10	Q.	Do you have any schematics for this product, how
11	it wou	ld be built?
12	A.	No.
13	Q.	Have you identified what types of materials would
	11	
14	be use	ed to construct this product?
14 15		ed to construct this product?
		-
15	A. Q.	No.
15 16	A. Q.	No.  Have you entered into any agreements to
15 16 17	A. Q. manufa	No.  Have you entered into any agreements to acture this product?
15 16 17 18	A. Q. manufa A. Q.	No.  Have you entered into any agreements to acture this product?  No.
15 16 17 18 19	A. Q. manufa A. Q.	No.  Have you entered into any agreements to acture this product?  No.  Have you given any thought to where these
15 16 17 18 19 20	A. Q. manufa A. Q. produc	No.  Have you entered into any agreements to acture this product?  No.  Have you given any thought to where these acts would be made, manufactured?
15 16 17 18 19 20 21	A. Q. manufa A. Q. produc A.	No.  Have you entered into any agreements to acture this product?  No.  Have you given any thought to where these ets would be made, manufactured?  No.
15 16 17 18 19 20 21 22	A. Q. manufa A. Q. produc A.	No.  Have you entered into any agreements to acture this product?  No.  Have you given any thought to where these ets would be made, manufactured?  No.  Do you plan to manufacture them yourself or do
15 16 17 18 19 20 21 22 23	A. Q. manufa A. Q. produc A. Q. you pl them?	No.  Have you entered into any agreements to acture this product?  No.  Have you given any thought to where these ets would be made, manufactured?  No.  Do you plan to manufacture them yourself or do

- 1		
1	Q.	Have you identified any potential third-parties
2	to man	ufacture the product for you?
3	A.	No.
4	Q.	Have you done anything to identify any potential
5	third-	parties?
6	A.	No.
7	Q.	Are you planning to sell oral irrigators
8	yourse	lf, or are you planning to license them to
9	third-	parties who would then sell them to consumers?
10	A.	Both ways have been contemplated, but no decision
11	has be	en made.
12	Q.	You haven't decided one way or the other?
13	A.	Correct.
14	Q.	And am I correct in assuming that you obviously
15	had no	ot made that decision at the time that you filed
16	your t	rademark applications either?
17	A.	Correct.
18	Q.	Have you given any thought to what types of
19	third-	-parties that you would license this product to?
20	A.	Generally, companies which would be in the oral
21	care :	industry.
22	Q.	If I could clarify that answer a little bit, what
23	segme	nt of the oral care industry, if any?
24	A.	That would probably be any companies that would
25	be in	the oral care industry who are in the preventative

1 oral care field. 2 3 7 8 9 10 11 12 13 Have you identified any specific companies to 14 15 license your product to? 16 A. No. What, if anything, have you done to identify any 17 18 potential licensees? A. Being a dentist, I'm aware of the different 19 companies that are involved with dental care. So those 20 would just be general companies that would come to my 21 22 mind. Q. But you have not contacted any specific 23 24 companies? A. Back in 1990, back when this was done, I remember 25

ding the oral irrigator.  So at the time that your patient was issued or time early '90s or mid '90s?
time early '90s or mid '90s?
_ , , ,
Anywhere between 1990 and 1996, '97.
You would have made these contacts?
Correct.
And do you remember what you did in that regard?
To my recollection, I sent off letters to the
erent companies.
Do you have a copy of those letters?
, <b>No.</b>
Do you remember what companies they were that you
the letters to?
. Not specifically.
. Have you made any attempts to contact any of
e companies since 1996?
. No.
-

Q. Am I correct in assuming that you have not sent
any marketing materials to any third-parties concerning
your oral irrigator products?
A. Correct.
Q. Have you developed any marketing materials for
your oral irrigator products?
A. No.
Q. Did you have any marketing materials for these
products at the time that you filed your application?
A. No.
Q. Have you given any thought to what types of
marketing materials you might develop for this product?
A. No.

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4	Q. Have you purchased liability insurance
5	for personal injuries that might be caused by your oral
6	irrigator product?
7	A. No.
8	Q. Have you contacted any insurance brokers to
9	discuss this issue?
10	A. No.
11	
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14	Let's start with the assumption that Omnisource
15	decides to sell this product itself as opposed to
16	licensing it to a third-party. Have you given any
17	thought to how Omnisource would go about doing that, how
18	it would go about selling this product?
19	A. No.
20	·
21	
22	
23	Q. Earlier you said that you've given some thought
24	that maybe this product would be sold to ordinary
25	consumers, maybe it would be sold to dental

1	professionals?
2	A. Correct.
3	Q. But you haven't given any thought to how you
4	would specifically go about doing that?
5	A. Correct.
6	Q. So you don't know whether you would sell it, for
7	example, to ordinary consumers through retail stores?
8	A. That would be one potential avenue.
9	Q. But you haven't have you done anything to
10	pursue that idea?
11	A. No.
12	Q. Are there any other potential avenues where you
13	might sell this product to ordinary consumers?
14	A. It hasn't been thought about yet.
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1	Q. Aside from retail stores, have you thought about
2	any other place where this product might be sold?
3	A. Potentially to dental offices.
4	Q. If Omnisource was to sell the product to dental
5	offices, how would you go about doing that?
6	A. That hasn't been thought about yet.
7	Q. As a dentist, am I correct in assuming that you
8	purchase supplies for your practice?
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Q. You said that the product could conceivably be sold in retail stores. Do you have any specific types of retail stores in mind? A. No. Q. Am I correct in assuming that you have not hired any salespeople to market your oral irrigator product? Correct. 

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7	Q. Since you don't have any actual oral irrigator
8	products in your inventory, you have no inventory of
9	these products, and you have no prototypes, am I correct
10	in assuming that you have not created any user manuals
11	or instructions on how this product might be used?
12	A. Correct.
13	Q. Am I correct in assuming that you have not set
14	any prices for your product?
15	A. Correct.
16	Q. Am I correct in assuming you have not created any
17	packaging for this product?
18	A. Correct.
19	Q. You have not created any labels?
20	A. Correct.
21	Q. You have not created any tags for the product?
22	A. Correct.
23	Q. Have you designed any logos that would be used on
24	this product?
25	A. No.

1 Have you created any logos for any of the Q. 2 trademarks that you filed applications for? Α. 3 No. 4 Q. Have you hired anyone to create packaging for 5 you? 6 Α. No. 7 Q. Hired anyone to create labels for you? 8 Α. No. 9 Q. Tags? 10 Α. No. 11 Logos? **Q**. 12 Α. No. Have you entered into any agreements with any 13 Q. third-parties to do any of these things for you? 14 15 Α. No. 16 Have you identified any third-parties who might 17 be in a position to do these things for you? 18 A. No. Do you have any plans as to how you might go 19 about creating your packaging for this product? 20 21 A. No. 22 Any plans for how you might go about creating a 23 label for this product? 24 A. No. 25 Any plans for how you might go about creating a 0.

1 logo for this product? 2 Α. No. 3 And that's true today and it was true at the time Q. that you filed your applications? 5 Α. Correct. That's true for the mark Aquajett? 6 Q. 7 A. Correct. 8 Q. Is it true for all of the other marks that you 9 filed applications for? 10 A. Correct. Q. Have you offered or shown this product at any 11 trade shows? 12 13 A. No. Have you attended any trade shows where oral 14 Q. 15 irrigators are marketed? 16 A. Yes. What trade shows? 17 0. A. California Dental Association. 18 Q. And what type of trade show is that? 19 20 It's a meeting here in California for new Α. 21 products and for disseminating information about 22 progress in the dental field. 23 Q. Is that -- what types of people would go to that show? 24 25 Dentists go to that show and exhibitors from A.

1	different oral care companies go to that show.
2	Q. How often is that show held?
3	A. Once a year.
4	Q. How many times have you gone?
5	A. Usually once a year.
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10	Q. Aside from that trade show, are there any others
11	that you've attended?
12	A. No.
13	Q. You indicated that companies that sell oral care
14	products attend that particular trade show. On the
15	occasions when you've gone, have you discussed your oral
16	irrigator product with any of the companies that have
17	exhibited at that show?
18	A. No.
19	Q. Have you taken any contact information from the
20	companies that show oral care goods at that trade show?
21	A. No.
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5	Q.	Am I correct in assuming that you have not placed
6	any ad	vertisements for your oral irrigator product?
7	A.	Correct.
8	Q.	At any time?
9	A.	Correct.
10	Q.	Have you created any advertisements for this
11	produc	t?
12	A.	No.
13	Q.	You've not created any point-of-sale material for
14	this p	product?
15	A.	No.
16	Q.	No brochures?
17	A.	No.
18	Q.	No flyers?
19	A.	No.
20	Q.	No displays?
21	A.	No.
22	Q.	No internet ads?
23	A.	No.
24	Q.	No television or radio commercials?
25	Α.	No.

1 Q. No handouts? 2 Α. No. That being the case, then I'm correct in assuming 3 Q. that you have not placed your trademark, Aquajett, or any of the other marks that you've applied for on any 5 marketing materials? 6 7 A. Correct. 8 And that's true today and it was true at the time 9 you filed your applications? 10 A. Correct. Have you hired any third-parties to produce these 11 materials on your behalf? 12 13 A. No. Have you contacted anyone to discussing the 14 Q. possibility of creating these types of materials for 15 16 you? 17 A. No. And I believe you said earlier that you haven't 18 identified any specific types of materials that you 19 20 might create? 21 Α. Correct. And I'm correct in assuming you have no inventory 22 23 of marketing materials for this product? 24 A. Correct. 25 Have you ever had any inventory of marketing

materials for this product?

A. No.

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application."

MR. BERTIN: I've marked as Opposer's Exhibit 10 the Applicant's Supplement Responses to Opposer's First Set of Interrogatories to Applicant. This is a filing that was made in this case by the Applicant, Omnisource, DDS.

page. This is an interrogatory which says "State all facts and identify all documents supporting Applicant's assertion in its application Serial No. 78/893,144 that it had as of the application filing date a bona fide intention to use Applicant's mark in commerce in connection with the goods identified in the

Okay. Can I direct your attention to the second

Now, below that is the answer to this interrogatory, which was provided by Omnisource. And the last paragraph says, "See documents produced by

- Applicant. Applicant's bona fide intent to use the Aquajett mark in commerce is evidence in Applicant's patent filings and other documents indicating an intention to manufacture dental instruments." Do you see that, Dr. Weissman?
  - A. Yes.

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- Q. The reference there to "patent filings," am I correct in assuming that Omnisource is referring to opposer's Exhibits 3, 4, and 5?
  - A. Right.
- Q. 2, 3, and 4. Excuse me.
- 12 A. 2, 3, and 4.
  - Q. There's a reference here to "other documents."
  - A. Yes.
  - Q. The question is what other documents is Omnisource referring to here?
    - A. Off the top of my head, I can't place which other documents that would be referring to. The filings for the patents seem like the main reference as to the use of the potential trademark names.
    - Q. Sitting here today and aside from the patent filings that you just mentioned, can you think of any other documents that Omnisource has that relate in any way to the oral irrigator products that are described in those patents?

1 Α. The only other documents might be the other applications for the different trademarks. 3 0. The filings that were made at the trademark office? 5 Correct. 6 And again, those would include Opposer's 5 7 through 9? 8 Correct. 9 Q. Aside from those exhibits that I just mentioned 10 and the patents that we were discussing, are there any other documents that Omnisource has that would relate in 11 12 any way to your intention to use the mark Aquajett? 13 Not that I can recall at this moment. Α. 14 How about the other marks that you applied for? 0. 15 A. Same response. 16 Same answer. So I'm correct in assuming that you Ο. 17 have not created any media plans for the oral irrigator 18 products that are described in these patents? 19 Α. Correct. 20 Q. Do you understand what a media plan is? 21 Α. Yes. 22 What is a media plan? 23 An advertising plan, a marketing plan. Α. 24 Have you prepared any media plans for the 25 specific trademarks that you filed applications for?

1	A. No.
2	Q. Have you hired anyone to prepare a media plan on
3	your behalf?
4	A. No.
5	Q. Have you identified anyone who you might contact
6	to prepare a media plan for you?
7	A. No.
8	Q. Have you given any thought to when you might
9	prepare a media plan for these products or for these
10	marks?
11	A. My only thought would be when some at the
12	point that I'm ready to market these items.
13	Q. And when would that be?
14	A. Some time in the future.
15	Q. Could you be more specific?
16	A. No.
17	Q. Am I correct in assuming that these products, the
18	ones that are described in your patents, have not
19	received any coverage in the press?
20	A. Correct.
21	Q. And neither have the marks that you applied for?
22	A. Correct.
23	Q. Am I correct in assuming that you have not
24	prepared any business plans for the products that are
25	described in these patents?

1	A. Correct.
2	Q. Or for the marks that you've applied for?
3	A. Correct.
4	Q. Have you hired anyone to prepare a business plan
5	on your behalf?
6	A. No.
7	Q. Have you identified anyone who might prepare a
8	business plan on your behalf?
9	A. No.
10	Q. Have you given any thought to when you might
11	prepare a business plan for these products or for these
12	marks?
13	A. When the time is ready.
14	Q. But you don't know when that might be?
15	A. Correct.
16	
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20	Q. Am I correct in assuming that you have not set
21	any budgets for the production of the products described
22	in your patents or for the use of the marks described in
23	your applications?
24	A. Correct.
25	Q. No budgets for the sales of these products?

15	'
1	A. Correct.
2	Q. Or for marketing these products?
3	A. Correct.
4	Q. Or for the sale of marketing of products marked
5	with these the marks in these applications?
6	A. Correct.
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16	Q. Omnisource was created in 2005; is that correct?
17	A. Correct.
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1 I, the undersigned, a Certified Shorthand Reporter of the State of California, do hereby certify: 2 That the foregoing proceedings were taken 3 before me at the time and place herein set forth; that any witnesses in the foregoing proceedings, prior to testifying, were duly sworn; that a record of the 7 proceedings was made by me using machine shorthand 8 which was thereafter transcribed under my direction; that the foregoing transcript is a true record of the 10 testimony given. 11 Further, that if the foregoing pertains to 12 the original transcript of a deposition in a Federal 13 Case, before completion of the proceedings, review of the transcript [ ] was [ ] was not requested. 14 15 I further certify I am neither financially 16 interested in the action nor a relative or employee 17 of any attorney or party to this action. 18 IN WITNESS WHEREOF, I have this date 19 subscribed my name. 20 MAR 1 4 2008 21 Dated: 22 23 24 CSR No. 10531

25

# Deposition of William R. Weissman Deposition Exhibit No. 2

United States Patent No. 5,511,693

#### US005511693A

### United States Patent [19]

Weissman et al.

Patent Number:

5,511,693

Date of Patent: [45]

Apr. 30, 1996

[54]	ORAL IRRIGATION APPARATUS AND
	METHOD OPERABLE FROM A
	PRESSURIZED WATER SUPPLY FOR
	SELECTIVELY DISCHARGING A
	PLURALITY OF LIQUIDS

[75] Inventors: William R. Weissman, North Hollywood; Peter Liapis, Los Angeles; George Sanchez; Bernardo Baran,

both of Woodland Hills, all of Calif.

[73] Assignee: William R. Weissman, North Hollywood, Calif.

[21] Appl. No.: 255,928

Jun. 7, 1994 [22] Filed:

Int. Cl.6 [51] . B67D 5/56 U.S. Cl. .... .......... 222/1; 222/144.5; 222/389

...... 222/1, 144.5, 387, Field of Search ..... 222/389, 335

[56]

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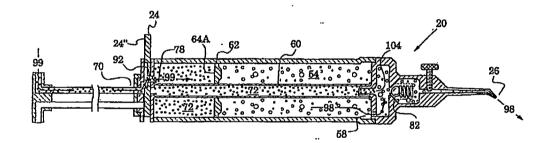
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Primary Examiner-Andres Kashnikow Assistant Examiner-Kenneth Bomberg Attorney, Agent, or Firm-Ashen, Golant & Lippman

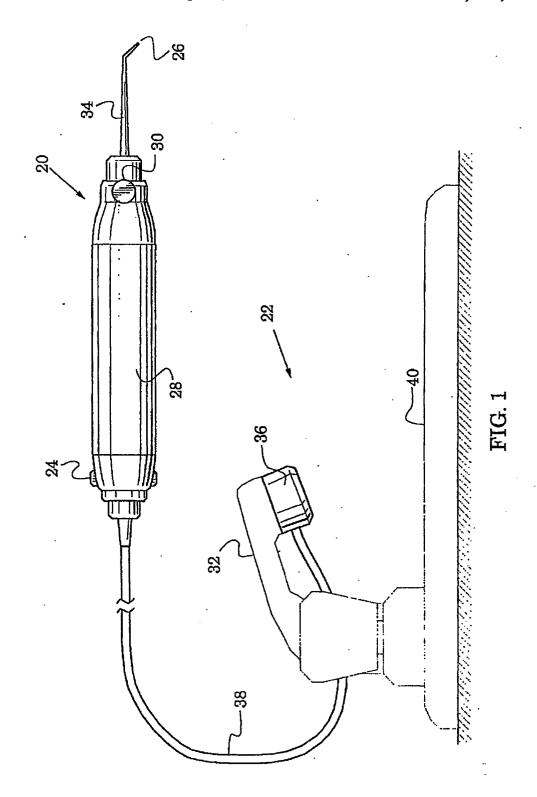
ABSTRACT

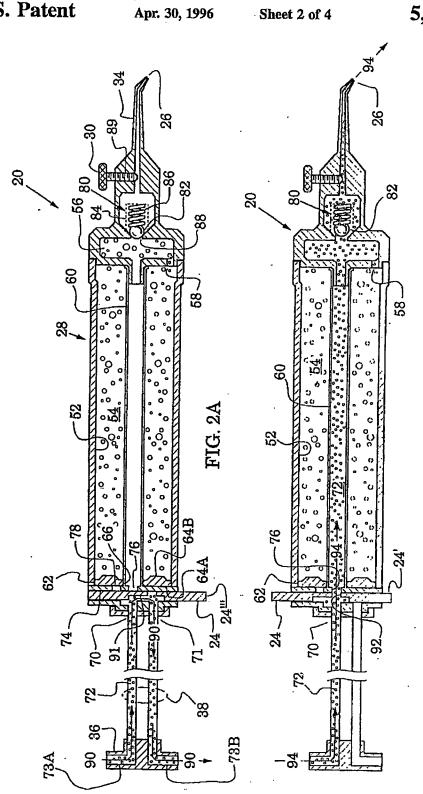
An oral irrigating liquid dispenser (20) is disclosed which operates from a liquid pressure source (22) to dispense two liquids (54, 72) from an orifice (26). A valve member (24) moves to different positions to couple the source respectively to a piston face (64A), a conduit (60) and an outlet port (71). Flow control valves (80, 89) are provided to control flow from the orifice. Since no electrical power is involved the dispenser may safely be used in the presence of liquids and electrical grounds.

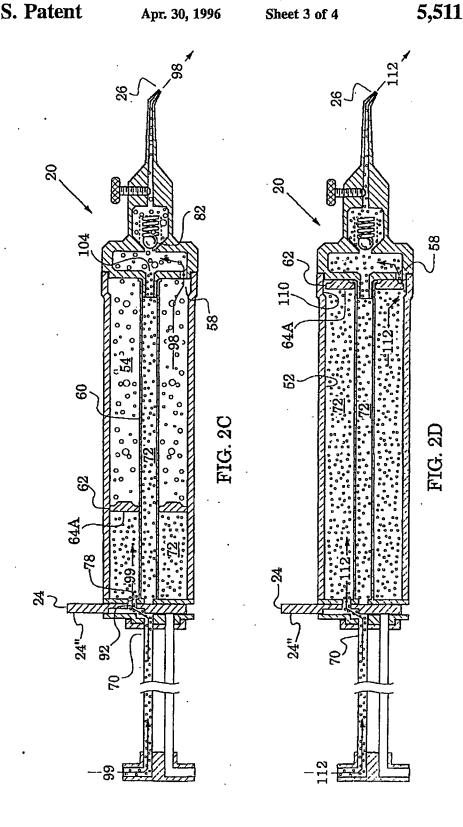
#### 18 Claims, 4 Drawing Sheets

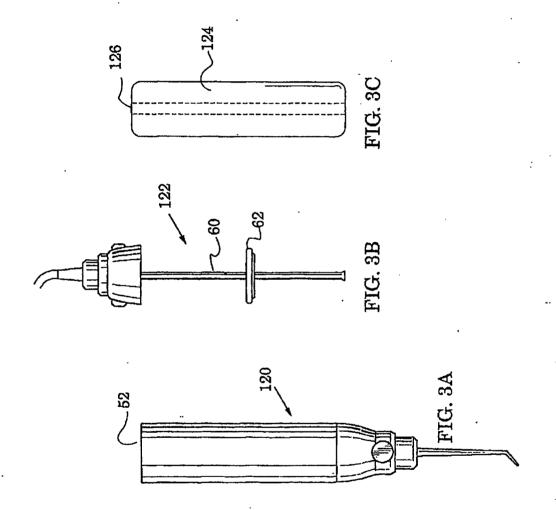


M. ELLERSICK, CSR 10531 WIT: Le Dei









#### ORAL IRRIGATION APPARATUS AND METHOD OPERABLE FROM A PRESSURIZED WATER SUPPLY FOR SELECTIVELY DISCHARGING A PLURALITY OF LIQUIDS

#### TECHNICAL FIELD

The present invention relates generally to a liquid dispensing apparatus for dental purposes and more particularly to such apparatus for mixing and applying a cleansing stream to teeth and/or gums.

#### **BACKGROUND ART**

Dental oral irrigating apparatus presently exists for generating and applying a stream of liquid to areas of a person's mouth. Some such apparatus are electrically powered and present potential danger to the user.

Other such apparatus are powered and controlled by the liquid pressure from a water line. In particular prior U.S. Pat. Nos. to Drapen, 3,225,759 and to Halem, et al., 5,004,158 (embodiment of FIG. 2) disclose dental oral irrigating apparatus powered and controlled by water line pressure and having movable pistons for discharging a secondary or 25 supplemental liquid. These prior art devices do not however disclose a selectively operable valve to selectively couple an inlet port from the water supply to either (1) the outlet orifice of the irrigating apparatus or (2) the face of the piston opposed to the secondary liquid.

#### DISCLOSURE OF INVENTION

The present invention is directed to oral irrigating apparatus for liquid dispensing.

Apparatus in accordance with the invention are characterized by an inlet port for receiving a liquid 1 from a pressurized supply, a chamber for holding a liquid 2, a piston disposed therein, a conduit, an orifice communicating with the chamber and conduit and a valve for selectively coupling the inlet port to either the conduit or the piston thereby respectively dispensing liquid 1 or liquid 2 from the orifice.

In a preferred embodiment the valve may also selectably couple the inlet port to an outlet port to direct the liquid 1  $_{45}$  away from the apparatus.

In a preferred embodiment the apparatus is directed especially to irrigation of teeth and gums with a liquid 1 (water) and a liquid 2 (mouthwash).

In accordance with a feature of the invention, no electrical 50 power is used so that embodiments of the invention may be safely used.

#### BRIEF DESCRIPTION OF DRAWINGS

FIG. 1 illustrates a preferred apparatus embodiment, in accordance with the present invention, coupled to a pressurized liquid 1 supply;

FIG. 2 is a sectional view of the embodiment of FIG. 1 illustrating an operational mode thereof:

FIG. 2B is a sectional view of the embodiment of FIG. 1 illustrating another operational mode thereof;

FIG. 2C is a sectional view of the embodiment of FIG. 1 illustrating another operational mode thereof;

FIG. 2D is a sectional view of the embodiment of FIG. 1 illustrating another operational mode thereof;

FIG. 3A is a side view of a disassembled portion of the apparatus of FIG. 1;

FIG. 3B is a side view of another disassembled portion of the apparatus of FIG. 1; and

FIG. 3C is a side view of a puncturable cartridge for use with the apparatus of FIG. 1.

## MODES FOR CARRYING OUT THE INVENTION

FIG. 1 is an elevation view of a preferred embodiment 20, in accordance with the present invention, of a portable oral irrigating apparatus coupled to a pressurized supply 22 of a liquid 1 such as water. In response to movement of a mode selector member 24 to first and second "On" positions from a third or "Off" position, the embodiment 20 respectively dispenses a liquid 1 and a liquid 2 from its orifice 26. The liquid 1 is that received from the supply 22 while the liquid 2 is any liquid placed in a chamber defined within the housing 28. The flow rate of either liquid from the orifice 26 is adjusted via a knob 30 of a flow rate control valve.

Embodiments of the invention generally may be directed to the dispensing of various liquids. The embodiment 20 is particularly directed to dispensing water (liquid 1) or mouthwash (liquid 2) and is configured to operate from a pressurized supply of liquid I illustrated in the form of a countertop sink tap 32. Accordingly, the orifice 26 is configured in the form of a dental syringe 34 to facilitate irrigation of teeth and gums. In other embodiments of the invention the orifice may assume other configurations to facilitate application of particular liquids 1 and 2.

The embodiment 20 is coupled to the tap 32 via a diverter 36 and bidirectional hose 38 which sends liquid 1 to the housing 28. In the "Off" position of the mode selector member 24, the liquid 1 is directed back through the hose 38 to issue from the diverter 36 into the sink 40.

In accordance with features of the invention, the embodiment 20 is portable, easily operated with one hand and requires only a pressurized liquid supply for operation. Thus, for example, it can be carried in luggage and quickly connected to a supply such as the sink tap 32. Since no electrical power is associated with its operation, it may be safely used in the presence of water and electrical grounds.

Attention is now directed to structural details of the embodiment 20 as illustrated in the sectional views of FIGS. 2A-2D. Each of these figures show an operational mode of the embodiment. In FIG. 2A, the mode control member 24 is in the above described "Off" position, n while in FIGS. 2B, 2C it is respectively in the first and second positions. FIG. 2D shows a fourth operational mode in which the inember 24 remains in the second position.

It will be understood that the nomenclature of these member positions is arbitrary and for descriptive purposes only. The sequence of the figures generally tracks the amount of liquid 2 in the chamber 52, i.e. in FIGS. 2A, 2B the chamber is filled with liquid 2, in FIG. 2C is is partly filled with liquid 2 and in FIG. 2D it contains only liquid 1.

In FIG. 2A the housing 28 defines a chamber 52 to hold the liquid 2 (reference number 54) and communicate with the orifice 26 via a subchamber 56 and vent 58. The housing also defines a conduit 60 which communicates with the orifice 26 through the subchamber 56.

A piston 62 having first and second faces 64A, 64B is slidably received in the chamber 52. The piston 62 defines an opening 66 which slidably receives the conduit 60. Inlet

port 70 and outlet port 71 are defined by the housing 28 to respectively receive the liquid I (reference number 72) from the pressurized supply via the diverter 36 and return it to the diverter (for clarity of illustration, liquid 1 distinguished from liquid 2 by having smaller air bubbles trapped therein). This exchange is conducted via first and second passages 73A, 73B defined by the diverter 36 and bidirectional hose 38 connected therefrom to ports 70, 71.

The housing 28 also defines a channel 74 to communicate with the conduit 60, the piston first face 64A, the inlet port 70 and outlet port 71. The channel 74 includes apertures 76, 78 to facilitate this communication. The mode selector member 24 shown in FIG. 1 is seen, to be a valve member which is slidably received in the channel 74.

A flow control a check valve 80, responsive to pressure 15 from the liquid 1 supply (22 in FIG. 1), is designed to block the flow of all liquids through the orifice 26 in the absence of such pressure. In the configuration 20, this valve is formed by a ball 82 within a permeable cage 84. The ball 82 is urged by a spring 86 into a sear 88. A flow control valve 20 responsive to adjustment by a user of the apparatus is formed by the screw 89 threadedly mounted in the housing 28 to restrict the flow through the orifice 26. The screw 89 terminates in the knob 30.

Although the housing 28 has been structurally described 25 above as an integral piece it should be understood that it may be comprised of various mated parts. FIG. 2A shows one possible arrangement of such parts.

Attention is now directed to operational modes of the embodiment 20. As mentioned above, FIG. 2A shows the valve member 24 in its "Off" position 24" wherein the liquid 1 is directed back to the diverter 36 as indicated by arrows 90. The liquid flow is enabled by a passage 91 defined by the valve member 24. The How control valve ball 88 is seated thereby preventing leakage of liquid 2 from the orifice 26. In FIG. 2A it is assumed that chamber 52 has been filled with liquid 2 so that the piston 62 is proximate to the valve member 24 with its second face 64B abutting the liquid 2. Although the figure shows the conduit 60 to be empty, some liquid 2 may be present depending on the method of filling and the amount of air trapped in the conduit.

In FIG. 2B, the valve member 24 has been moved to the first position 24' where a passage 92 of the valve member aligns with aperture 76 to couple the inlet port 70 with the conduit 60. The pressure thus coupled to the flow control or check valve 80 forces the ball 82 from its seat 88 and the liquid 1 flows through the conduit 60 and is discharged through the orifice 26 as indicated by arrows 94. The piston 62 and liquid 2 remain in place within the chamber 52 as pressure between the liquids is automatically equalized across the vent 58 except for diffusion and eddy mixing.

In FIG. 2C, the valve member 24 has been moved to the second position 24" where the valve member passage 92 aligns with aperture 78 to couple the inlet port 70 with the piston first face 64A. Consequently, in response to urging of the piston 62, liquid 2 forces the ball 82 from its seat and flows through the vent 58 to be discharged through orifice 26 as indicated by arrows 98. Liquid 1 flows to replace liquid 2 behind the piston first face 64A as indicated by arrows 99. Since the conduit 60 is now closed at one end, liquid 1 therein remains in place with pressure between the liquids automatically equalized across their interface 104.

In FIG. 2D, valve member 24 remains in the second position 24". All of liquid 2 has been forced from the 65 chamber 52 and discharged through orifice 26. Piston 62 has been forced to enter an enlarged portion 110 of the chamber

54. The enlarged portion 110 couples (provides passage between) the orifice 26 and the piston first face 64A. Consequently, liquid 1 flows around the piston 62 and through vent 58 to be discharged through the orifice 26 as indicated by arrows 112. It should be understood that other preferred embodiments may realize the coupling between the orifice and the piston first face in other ways, e.g., a channel cut into the chamber 52 wall.

Thus, as shown in FIGS. 2A-2D, a user of the embodiment 20 may move the mode control member 24 to command either liquid 1 or 2 to be discharged through the orifice 26 as desired when liquid 2 is commanded and the supply of liquid 2 within chamber 52 is exhausted, liquid 1 will then automatically issue from the orifice. When the member 24 is placed in the "OFF" position shown in FIG. 2A, liquid 1 flows back to the diverter 36 and into the sink 40 as shown in FIG. 1, and no liquids are discharged through orifice 26.

FIGS. 3A, 3B are side views illustrating disassembled portions 120, 122 of the embodiment 20 of FIG. 1. The portion 122 includes the conduit 60 and piston 62 as shown, for example, in FIG. 2A while portion 120 includes the chamber 52. The chamber 52 is thus exposed for refilling with liquid 2. In this embodiment, the conduit 60 is flared at one end to retain the piston 62.

Liquid 2 may be poured into the chamber 52. Alternatively, FIG. 3C shows a frangible cartridge 124 which may be filled with liquid 2 and conveniently inserted into the chamber 52. A bore 126 is defined by the cartridge 124 to receive the conduit 60. Once the portions 120, 122 are reassembled, the cartridge may be ruptured by pressure thereon. This rupture may be facilitated by the presence of a sharp extension defined inward from the walls of the chamber 52.

The diverter 36 may be formed to mate with the tap 32 (shown in FIG. 1) in ways well known in the art (e.g. threaded to match the tap threads, equipped with a quick disconnect sleeve).

From the foregoing it should now be recognized that a liquid dispenser has been disclosed herein configured to operate with pressure from a liquid 1 source. Embodiments in accordance with the invention may be configured for special applications such as irrigation of the teeth and gums. Because they do not use electrical power, apparatus in accordance with the present invention may safely be used in the presence of fluids and electrical grounds.

The preferred embodiments of the invention described herein are exemplary and numerous modifications, dimensional variations and rearrangements can be readily envisioned to achieve an equivalent result, all of which are intended to be embraced within the scope of the appended claims.

What is claimed is:

1. Liquid dispensing dental apparatus operative from a pressurized supply of a liquid 1, comprising:

- a housing defining a chamber for holding a liquid 2, said housing further defining an orifice communicating with said chamber and an inlet port for receiving said liquid 1 from said pressurized supply;
- a piston having first and second faces, said piston slidably received in said chamber with said second face abutting said liquid 2;
- a conduit defined by said housing to communicate with said orifice; and

valve means for coupling said inlet port with a selectable one of said conduit and said piston first face.

- 2. The apparatus of claim 1 wherein said housing further defines an outlet port and said valve means comprises means for selectively directing liquid 1 from said inlet port to said outlet port.
- 3. The apparatus of claim 1 wherein said chamber 5 includes an endwall; and further comprising:
  - means, responsive to said piston positioned proximate to said endwall, for coupling said orifice with said piston first face.
- The apparatus of claim 2 wherein said valve means 10 comprises:
  - a channel defined by said housing to communicate with said piston first face, said inlet port and said outlet port;
     and
- a valve member slidably received in said channel.
- 5. The apparatus of claim 3 wherein said orifice coupling means comprises an enlarged chamber portion defined by said housing proximate to said endwall.
- The apparatus of claim 1 further comprising valve means for selectively restricting said orifice.
- The apparatus of claim 1 further comprising valve means, responsive to pressure from said supply, for blocking said orifice.
  - 8. The apparatus of claim 2 further comprising:
  - a diverter defining a first and second passages; and means for coupling said diverter first and second passages respectively to said inlet port and said outlet port.
- Liquid dispensing dental apparatus operative from a pressurized supply of a liquid 1, comprising:
  - a housing defining a chamber for holding a liquid 2, said housing further defining an orifice communicating with said chamber and an inlet port for receiving said liquid
     1 from said pressurized supply;
  - a piston having first and second faces, said piston slidably received in said chamber with said second face abutting said liquid 2;
  - a conduit defined by said housing to communicate with said orifice;
  - a channel defined by said housing to communicate with said inlet port, said piston first face and said, conduit;
- a valve member slidably received in said channel for movement between first and second position, said valve 45 of: member defining a first passage to couple, in said first position, said inlet port with said conduit, and to couple, in said second position, said inlet port with said piston first face.
- 10. The apparatus of claim 9 wherein:
- said housing defines an outlet port to communicate with said channel:

- said valve member moves within said channel to a third position; and
- said valve member defines a second passage to couple, in said third position, said inlet port and said outlet port.
- 11. The apparatus of claim 9 wherein said chamber has an enlarged portion to couple said orifice with said piston first face when said piston is within said portion.
- 12. The apparatus of claim 9 wherein said piston defines an opening to slidably receive said conduit therein.
- 13. The apparatus of claim 10 further comprising a first flow control valve, responsive to pressure from said supply, arranged to block said orifice when said valve member is in said third position.
- 14. The apparatus of claim 9 further comprising a second flow control valve, responsive to adjustment by a user of said apparatus, arranged to restrict said orifice.
  - 15. The apparatus of claim 10 further comprising:
  - a diverter defining a first and second passages; and .
  - means for coupling said diverter first and second passages respectively to said inlet port and said outlet port.
  - 16. A method for dental purposes of dispensing liquids in response to a pressurized supply of a liquid 1, comprising the steps of:
  - providing a housing;
  - defining, with said housing, a chamber for holding a liquid 2, an orifice communicating with said chamber and an inlet port for receiving said liquid 1 from said pressurized supply;
  - providing a piston having first and second faces;
  - receiving said piston slidably in said chamber with said second face abutting said liquid 2;
  - defining, with said housing, a conduit to communicate with said orifice; and
  - coupling said inlet port with a selectable one of said conduit and said piston first face to respectively dispense said liquid 1 or said liquid 2 from said orifice.
  - 17. The method of claim 16 further comprising the steps
  - defining, with said housing, an outlet port; and
  - coupling said inlet port and said outlet port to direct said liquid 1 therebetween.
  - 18. The method of claim 16 further comprising the steps
  - defining, with said housing, an endwall of said chamber;
  - coupling, when said piston is proximate to said endwall, said orifice with said piston first face to dispense said liquid 1 from said orifice.

\* \* \* \* \*

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CO CTION

PATENT NO. : 5,511,693

DATED : April 30, 1996

INVENTOR(S): William R. Weissman et al.

It is certified that error appears in the above-indentified patent and that said Letters Patent is herebycorrected as shown below:

Col. 1, line 59, change "Fig. 2" to --Fig. 2A--.

Col. 2, line 49, after "position" delete --n--.

Col. 3, line 4, before "distinguish" add --is--.

Col. 3, line 13, after "seen" delete --,--.

Col. 3, line 15, after "control" change "a" to --or--.

Col. 3, line 34, change "How" to --flow--.

Col. 4, line 12, change "desired when" to --desired. When--.

Col. 5, line 42, delete "," before "conduit ".

Col. 5, line 45, change "position" to --positions--.

Signed and Sealed this

Eighteenth Day of March, 1997

Atlest

BRUCE LEHMAN

Attesting Officer.

Commissioner of Patents and Trademarks

## <u>Deposition of William R. Weissman – Deposition Exhibit No. 3</u>

United States Patent No. 5,564,629

#### 1050005554624

## United States Patent [19]

#### Weissman et al.

#### [11] Patent Number:

## 5,564,629

#### [45] Date of Patent:

#### Oct. 15, 1996

[54]	ORAL IRRIGATING APPARATUS AND METHOD FOR SELECTIVELY MIXING AND DISCHARGING A PLURALITY OF LIQUIDS
[75]	Inventors: William R. Weissman, 4418 Vineland

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		Hollywood.	Calif.	

[21]	Appl. No.:	255,7	702
7007		_	

[22]	rnea:	Jun. 7, 1994			
[51]	Int. Cl.6	************************		B05B	7/
		239			
		239/317: 239/	322: 601/	165: 60	04/

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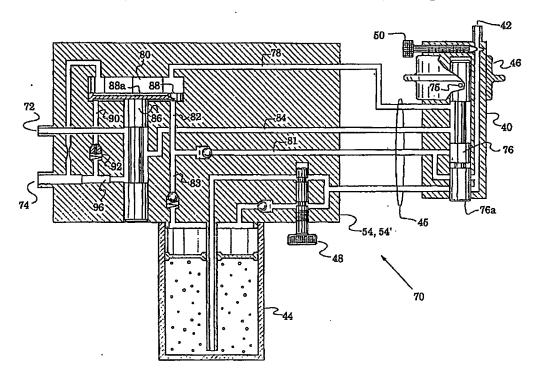
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	3,780,910 3,820,532 4,043,337 4,265,229 4,564,005 4,793,331 5,004,158 5,218,956	3,780,910 12/1973 3,820,532 6/1974 4,043,337 8/1977 4,265,229 5/1981 4,564,005 11/1988 5,004,158 4/1991 5,218,956 6/1993	3,780,910 12/1973 Wagner

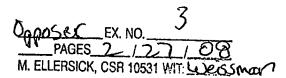
Primary Examiner—Andres Kashnikow Attorney, Agent, or Firm—Ashen, Golant & Lippman

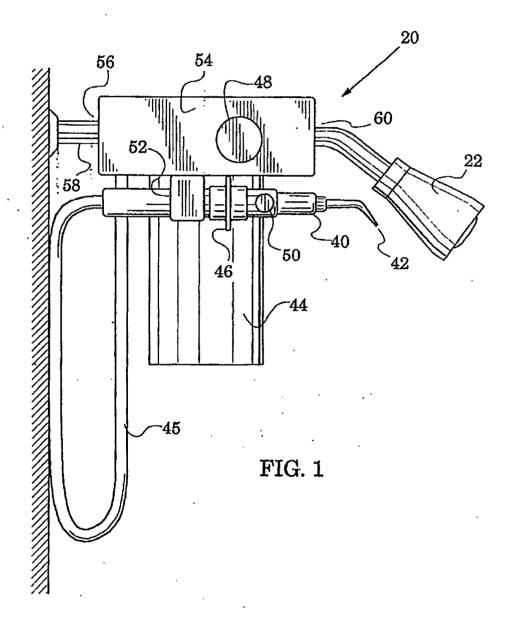
#### 57] ABSTRACT

An apparatus (20, 30) operative from a first liquid pressure only and configured to generate and selectively direct a stream of first liquid or a third liquid is disclosed. Since no electrical power is used, the apparatus can be safely operated in any moist environments (e.g. a shower). In preferred embodiments, the apparatus is configured to operate in series with a showerhead (22) and a sink tap (32) for dental arrigation. Either water or dental solution which is comprised of water mixed with dental concentrate, can be independently selected. A mixer valve (48) controls the concentration of the irrigating solution. A handheld syringe (40) contains all other controls necessary for operation.

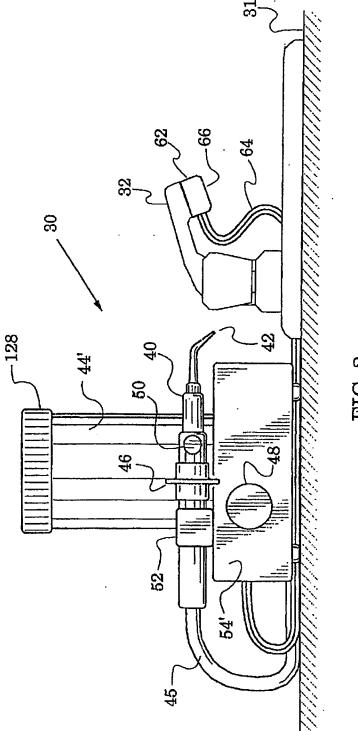
#### 23 Claims, 7 Drawing Sheets

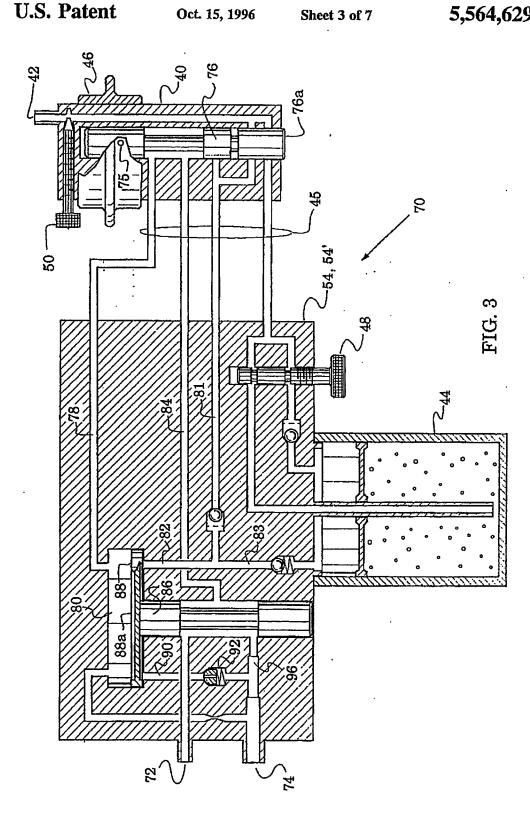


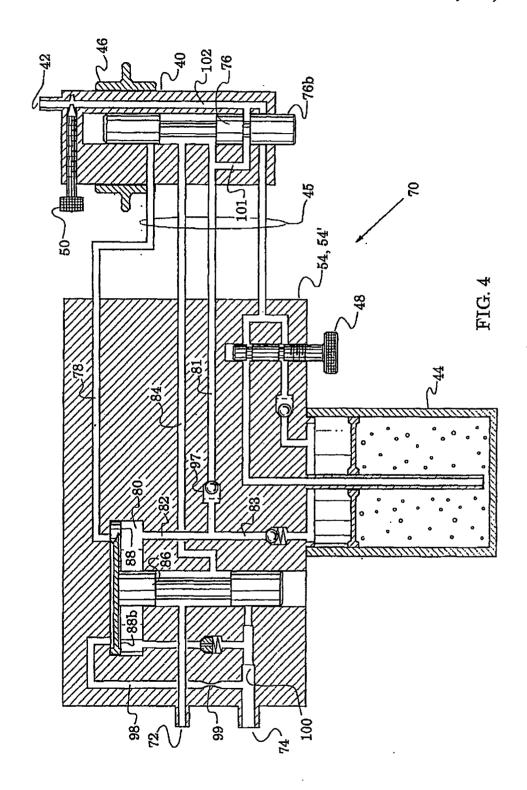


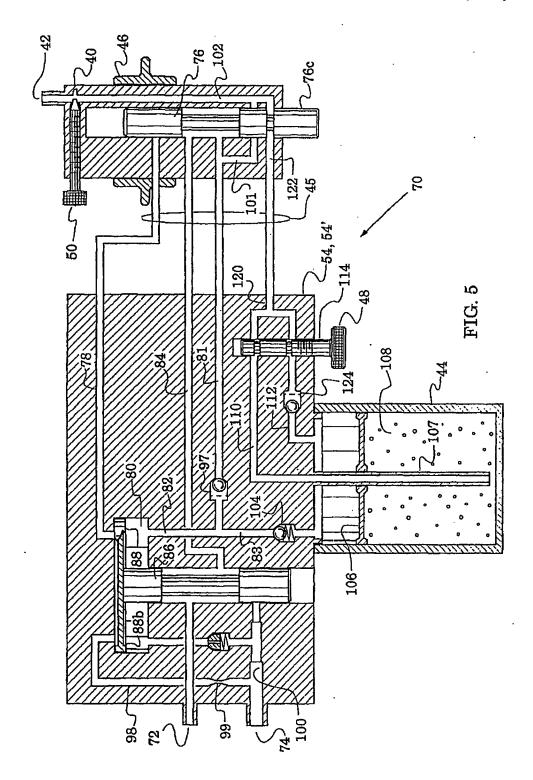


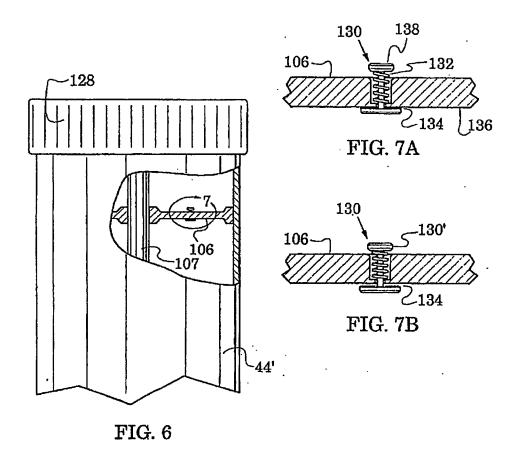
Oct. 15, 1996

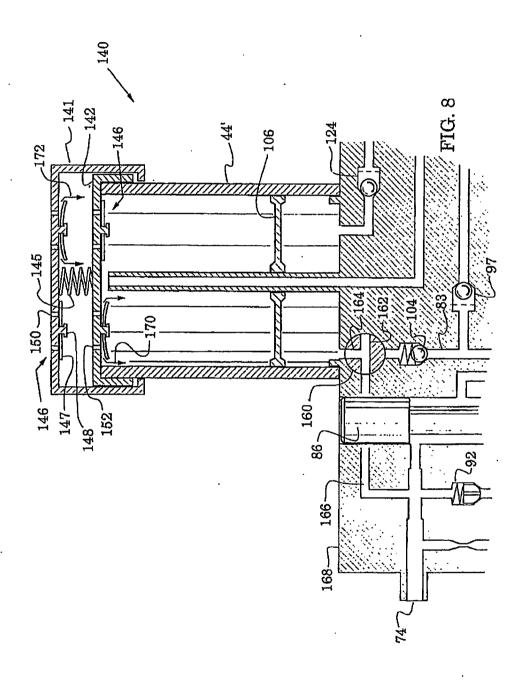












#### ORAL IRRIGATING APPARATUS AND METHOD FOR SELECTIVELY MIXING AND DISCHARGING A PLURALITY OF LIQUIDS

#### TECHNICAL FIELD

The present invention relates generally to a dental liquid mixing apparatus and more particularly to such apparatus for mixing and applying a cleansing stream to teeth and/or gums.

#### **BACKGROUND ART**

Dental oral irrigating apparatus presently exist for generating and applying a stream of liquid to areas of a person's mouth. Some such apparatus are electrically powered and present potential danger to the user. Other such apparatus are powered and controlled by the liquid pressure from a water line. Examples of such latter units are disclosed in prior U.S. patents Nos. to Handler, et al., 5,218,956, to Gilbert, 3,500, 824, to Drapen, et al., 3,225,759, to Chernack, 4,979,503. Such prior apparatus allow the user to selectively provide a discharge of only water or of water combined with a second liquid such as medication, mouthwash or the like. The apparatus disclosed by the above-noted patents all provide a 25 reservoir for the secondary liquid mounted on the handholdable control and dispensing unit, which adds substantially to the size and weight of that unit. Further, because of the limited amount of secondary liquid that can be held in such a reservoir, the reservoir would have to be refilled 30 frequently. These prior art apparatus also have various other limitations and deficiencies. Gilbert has no way to adjust the ratio of mix of the two liquids. In Handler the control of the flows of the primary and the additive liquids is controlled by two separately independently operable control levers so that 35 the desired proportioning between the two liquids has to be reestablished each time the device is turned back on. In Drapen there are two separate controls on the handheld unit, one a depressible off/on switch, the other a rotatable element for controlling flow of the second liquid and which would appear to require the second hand of the user to operate. In Chernack there are two separate flow controls on the handheld unit. One an on/off for the second liquid and the other a water input flow control, with the amount or mixture of the second liquid being fixed with relation to the water flow.

Other water-powered oral irrigating devices disclosed secondary liquid reservoirs on the base, but lacked user control over the mixing ratio: See Harlem, et al., U.S. Pat. No. 5,004,158 and Thompson,

#### DISCLOSURE OF INVENTION

The present invention involves a liquid 1 pressure powered oral irrigating apparatus which enables a method of mixing apparatus a first liquid with a second liquid concentrate to create an irrigating stream third liquid and dispenses a selectable one of the first and second.

Apparatus in accordance with the invention are characterized by a container with a dispenser piston arranged 60 therein coupled to a mixing structure to dispense a third liquid in response to pressurized first liquid received on a first side of the dispenser piston. The apparatus is further characterized by a control valve configured to selectively connect a pressurized first liquid supply to the dispenser 65 piston first side and to selectively route either the first liquid or the third liquid to an orifice defined by the control valve,

In a preferred embodiment the irrigating solution (third liquid) is formed by mixing pressurized first liquid adjoining the dispenser piston first side and a second liquid disposed between the piston second side and the container.

In a preferred embodiment a diverter valve is configured to divert first liquid from the pressurized liquid supply to a tap port in response to first liquid received from the control valve when it connects the liquid I supply to first and second control ports defined by the control valve. Thus a liquid tap such as a showerhead or sinktap can be selectively used with the apparatus.

In accordance with a feature of the invention, the apparatus is entirely powered by first liquid pressure enabling it to be Safely used in moist environments (e.g. home showers).

In accordance with another feature of the invention a mixer valve selectively restricts passages communicating with the dispenser first and second sides to adjust the concentration of the third liquid.

The novel features of the invention are set forth With particularity in the appended claims. The invention will be best understood from the following description when read in conjunction with the accompanying drawings.

#### BRIEF DESCRIPTION OF DRAWINGS

FIG. 1 is an elevation view of a preferred apparatus embodiment operative from a pressurized first liquid supply, in accordance with the present invention, installed in series with a shower head;

FIG. 2 is an elevation view of another preferred apparatus embodiment installed in series with a sink tap;

FIG. 3 is a schematic illustrating a preferred operational embodiment of the apparatus of FIGS. 1 and 2;

FIG. 4 a schematic illustrating another mode of the operational embodiment of FIG. 3;

FIG. 5 is a schematic illustrating another mode of the operational embodiment of FIG. 3;

FIG. 6 is a partial elevation view of the container in FIG.

FIG. 7A is an enlarged view of the area within line 7 of FIG. 6;

FIG. 7B is a view similar to FIG. 7A; and

FIG. 8 is an inverted, enlarged view of a portion of FIG. 3 illustrating another preferred operational embodiment.

## MODES FOR CARRYING OUT THE INVENTION

FIG. 1 is an elevation view of a preferred embodiment 20 in accordance with the present invention, installed in series with a shower head 22 and FIG. 2 is an elevation view of another preferred embodiment 30 placed on a countertop 31 and installed in series with a sink tap 32.

These figures illustrate embodiments of the invention configured for a specific application, i.e. irrigation of the spaces between the teeth and gums in a home environment.

Apparatus in accordance with the invention may be configured to mix a first liquid with a second liquid to form a third liquid and to eject a liquid stream selected to be either the first liquid or the third liquid. Water is an exemplary first liquid while examples of second liquid include liquid medicaments and mouthwashes. Thus, it should be understood that the following description of the embodiments 20, 30 is

exemplary of the variety of configurations, and uses thereof, in which the invention may be realized.

The embodiments 20, 30 each have a handheld syringe 40 defining an orifice 42 and respective containers 44, 44' which may be filled with one of various dental concentrates 6 (e.g. mouthwash). The syringe 40 is located at the end of a connecting hose 45 and configured to be held in one hand for manipulation therewith to direct a stream of first liquid or third from the orifice 42 against the teeth and gums for cleaning thereof.

A sliding sleeve 46 on the syringe 40 may be operated with thumb and fingers to select between an "off" and two "on" positions; one in which only water is directed from the orifice 42 and a second in which a mixture of water and dental concentrate (to yield third liquid) is directed from the 15 orifice: 42. The mixture proportions are controlled with a mixture knob 48 while the flow rate of the stream may be adjusted with a flow rate knob 50.

In each of the embodiments 20, 30 the syringe 40 may, when not in use, be placed in a holder 52 attached to 20 respective bases 54, 54. In the embodiment 20, the base 54 defines an input port 56 which screws onto the wall pipe 58 and an output port 60 which receives the shower head 22. In the embodiment 30, a diverter head 62 is attached to the sink tap 32 to send water through a double hose 64 to the base 54' 25 and back to issue through the diverter output 66.

In accordance with a feature of the invention, the apparatus is operative from a pressurized water supply. It is powered only by water pressure and no electricity, therefore there is no danger to a user, especially around plumbing electrical grounds. This even allows use of the apparatus in a shower as shown in FIG. 1.

The syringe 40 is conveniently located close to the tap or showerhead and can be operated with only the hand holding it except for adjusting the mixture knob 48 on an infrequent basis, and the user does not have to reach for any other controls (e.g. an on/off electrical switch).

In accordance with other features of the invention, water or a mixture of water and dental concentrate may be selected and the dental concentrate placed in the container 44 (44') may be controllably diluted by adjusting the mixture knob 48. This allows the use, in the container 44, 44', of a stronger concentrate so that the replacement period of the concentrate is extended.

Attention is now directed to the details of FIG. 3 which is a schematic illustrating a preferred operational embodiment 70 of the embodiments 20, 30 of FIGS. 1, 2 and to FIGS. 4, 5 which illustrate other modes of the embodiment 70. In these figures, as described above, the syringe 40 extends 50 from a hose 45 which is attached to a base 54 (and 54') having a mixture control knob 48 and a container 44 (and 44'). As shown, the hose 45 defines a plurality of flexible tubes to allow the syringe 40 to communicate with the base 54 (54'). The base 54 (54') defines a supply port 72 (the input 55 port 56 of FIG. 1 and a port receiving one end of the double hose 64 of FIG. 2) and a tap port 74 (the output port 60 of FIG. 1 and a port receiving the other end of the double hose 64 of FIG. 2). As also described above, the syringe 40 defines an orifice 42 and has a sliding sleeve 46 and a flow 60 control knob 50. In FIG. 3, the sleeve 46 is seen to be attached (e.g. by a pin 75) to a control valve in the form of a control spool valve 76 which is in a position 76a to supply water from a pressurized water supply attached to the water supply port 72 through a passage 84 and return passage 78 65 to a cylinder 80. This is the "off" mode referred to above. FIGS. 4, 5 illustrate respectively two other positions 76b,

76c defining two "on" modes in each of which, water from the supply port 72 is directed through passage 84 and passages 81, 82 to the other side of the cylinder 80 and through passages 81, 83 to the container 44 (44") (for clarity of illustration the sleeve 46 is shown only in section in FIGS. 4, 5).

In all three modes, the control spool valve 76 receives water from the supply port 72 through a passage 84 which communicates through a diverter spool valve 86 but it should be understood that in other embodiments of the invention the control spool valve 76 may communicate directly with the supply port 72.

In the "off" mode shown in FIG. 3, water supplied to the cylinder 80 causes a diverter piston 88, defined by the diverter spool valve 86, to go to the position 88a in which water is diverted to the tap port 74 for normal use of the water tap with which the apparatus is installed (e.g. the shower head 22 of FIG. 1, the sink tap 32 of FIG. 2). In this case, the syringe 40 would normally be placed in the holder 52 as shown in FIGS. 1, 2.

A passage 90 provides a path for water to be relieved from the back side of the piston 88 to the tap port 74. This passage 90 has a pressure control valve 92 with a restricted orifice therein. This orifice allows water flow but develops back pressure needed to move the piston 88 to the operational modes to be described in ITGS. 4, 5. The pressure control valve 92 also has spring release to protect the apparatus from damage in case of excessively high water pressure from the supply port 72. The tap port 74 defines a step 96 therein which lowers back pressure therein to enhance water flow through the passage 90. In the mode of FIG. 3, the water pressure delivered at the supply port 72 is available at the external tap connected to the tap port 74 and the external tap may be on or off as desired.

Attention is now directed to the two operational "on" modes depicted in FIGS. 4, 5. In both modes, spool valve positions 76b, 76c, shown in respectively FIGS. 4, 5, supply water through passage and passages 81, 82 to drive the diverter piston 88 from position 88a (FIG. 3) to position 88b. The diverter piston 88 is seen, therefor, to be reciprocable responsive to water supplied through passages 78, 82 connected to first and second control ports defined by the control spool valve 76. A one way valve 97 prevents reverse water flow through the control spool valve 76 as it transitions between positions 76b and 76a.

A passage 98 with a restriction 99 allows water from the upper side of the cylinder 80 to be relieved to the tap port 74. The restriction 99 develops back pressure to facilitate movement of the diverter piston 88 to the position 88a illustrated in FIG. 3. The tap port 7,1 defines a second step 100 to reduce back pressure therein and enhance flow through passage 98.

In the control spool valve position 76b of FIG. 4, water received from the supply port 72 is routed through passages. 101, 102 to exit from the orifice 42. In this operational mode water may be directed from the syringe 40 against teeth and gums and the flow rate of the water adjusted with a flow control valve in the form of a threaded needle valve (defined by the flow control knob 50) which can progressively restrict passage 102.

Finally, attention is directed to FIG. 5 illustrating the control spool valve position 76c. In this position, water received by the control spool valve 76 from the supply port 72 can no longer flow through passage 101 so that it is now directed through a spring urged pressure control valve 104 in passage 83 to urge a dispenser piston 106 to slide

downward on a tube 107 into the container 44 (44') to exert pressure on a dental concentrate 108.

Water pressure via the passage 83 thus provides water via passage 112 and dental concentrate via the tube 107 and passage 110 to a mixer spools a needle valve 114. In the 5 position shown in FIG. 5 the needle valve 114 allows substantially equal flows of water and concentrate into passage 120. It is apparent that movement of the threaded needle valve 114 by means of its knob 48 will increase the flow of one of these liquids through passage 120 at the 10 expense of the other. The mixed fluids flow through the control spool valve 76 via passages 122,102 to issue from the orifice 42.

Thus, the container 44 (44') and its associated elements (e.g. piston 106, tube 107, passages 83, 110,112, needle 15 valve 114) form a dispenser of a mixture of water and dental concentrate 108 through passage 120. A one way valve 124 in passage 112 inhibits contamination of water above the dispenser piston 106 with dental concentrate 108 during transitions between operational modes. The control spool 20 valve 76 directs water to this dispensing means and also routes water to the orifice 42 when in position 76b (FIG. 4) and diluted dental concentrate to the orifice 42 when in position 76c (FIG. 5).

Although not explicitly shown in the apparatus 20 of FIG. <sup>25</sup> 1 (or in FIGS. 3-5), the container 44 is removably attached to the base 54 by means well known in the art (e.g. threaded interface) so that it may be removed for refilling with dental concentrate 108.

In the apparatus 30 of FIG. 2, refilling is facilitated by a container removable lid 128. After the lid is removed the apparatus may be inverted to remove water in the container 44'. Because the dispenser piston 106 sealingly fits the container 44', the container all is relieved proximate the lid 128 to facilitate water flow about the dispenser piston 106 when the apparatus is inverted to eliminate the water in the container 44'. After the apparatus 30 is placed back on the countertop 31, the piston 106 is pushed to the lower end of the container 44' and the container refilled with dental concentrate. A push rod may be provided with the apparatus 30 to facilitate returning the piston downward in the container 44'. Such a push rod could be removably mounted to the base 54' when not in use.

To facilitate pressing the piston 106 downward against air trapped behind it, a small relief valve 130 is disposed in the piston as shown in FIG. 6 which is a partial elevation view of the container 44' and in FIGS. 7A, 7B which are enlarged views of the area within the line 7 of FIG. 6. The relief valve 130 is normally urged by a spring 132 to compress a rubber seal 134 against the piston 106 lower surface 136. A knob 138 is defined at the end of the valve stem and manually pressing it downward places the valve 130 in position 130' illustrated in FIG. 7B. This allows air trapped below the piston 106 to be released as the piston 106 is depressed.

Another preferred operational embodiment 140, for the apparatus 30 of FIG. 2, is shown in FIG. 8 Which is an inverted, enlarged view similar to a portion of FIG. 3. The embodiment 140 enables the removal of water in the container 44' without inversion of the apparatus as described above. In this embodiment, a cap 141 is slidably-mounted to a lid 142 (e.g. by tongue and groove engagement therebetween) and the combination is mounted to a container 44' (e.g. by threaded engagement between lid 142 and container 44'). The cap 141 and lid 142 are urged apart by a spring 145. 65 The cap 141 and lid 142 each have one way valves 146 comprising resilient washers 147 received over downward

extending bosses 148 to respectively cover cap vents 150 and lid vents 152.

A rotary valve 160 is inserted into passage 83 to exhaust water trapped behind the piston 106 to the tap port 74. For normal operation, the valve 160 is turned to connect, with its bore 162, the pressure control valve 104 and the container 44'. In this position the bore 164 is oriented to face away from a passage 166 in the base 168 leading to the tap port 74. This position of the rotary valve 160 connects the pressure relief valve 104 to the container in a manner similar to that shown for embodiment 70 of FIGS. 3, 4 and 5.

To move the piston 106 downward in the, container 44', the rotary valve 160 is turned to the position illustrated in FIG. 8 which connects the container 44' via valve bores 162, 164 to the passage 166. The passage 166 is directed past the diverter valve 86 to tap port 74.

Depression of the cap 141 against the urging of the spring 145 causes air between the cap 141 and the lid 142 to be forced through the lid valves 146 as indicated by one lid washer 147 shown in broken line and arrows 170 (during this depression, the cap valves would be closed as shown by the solid line cap valve directly above the broken line open lid valve). When the cap is allowed to rise under urging of the spring 145, air is drawn in through cap valves 146 as indicated by one cap washer 147 shown in broken line and the arrows 172 (during this rise, the lid valves would be closed solid line lid valve directly below the broken line open cap valve). Repeated depression of the cap 141 thus increases the air pressure within the container 44 to depress the piston 106 as water trapped behind it exits, via the rotary valve 160 and passage 166, to the tap port 74.

The rotary valve 160 may define a manual adjustment member (e.g. a knob) that is accessible exterior to the base member 168 for adjustment of the valve position.

For clarity of illustration, other details necessary to the operational modes illustrated in FIGS. 3, 4 and 5 (e.g. 0 ring seals associated with diverter spool valve 86 and control spool valve 76) have not been shown where they are well known to one skilled in the art.

The teachings of the invention may be extended to an apparatus having an operational embodiment similar to the embodiment 70 illustrated in FIGS. 3-5 but without the diverter spool valve 86 and tap port 74. That is, an apparatus can be configured to operate from a pressurized liquid source without the need to selectively divert liquid to a water tap such as the showerhead 22 of FIG. 1 or sink tap of FIG. 2

From the foregoing it should now be recognized that exemplary apparatus embodiments have been disclosed herein configured specifically for cleansing of teeth and gums. Generally, embodiments of the invention may be configured for generating a stream of water or cleansing solution for irrigating of any restricted access area. Although the described preferred embodiments have an orifice configured to define a fine stream of liquid it should be understood that the orifice may generally assume any shape. Apparatus in accordance with the present invention operate solely with water pressure and are, therefore, safe to use in any moist environment.

The preferred embodiments of the invention described herein are exemplary and numerous modifications, dimensional variations and rearrangements can be readily envisioned to achieve an equivalent result, all of which are intended to be embraced within the scope of the appended claims.

What is claimed is:

1. Apparatus operative from a pressurized first liquid supply, comprising:

dispension means, defining a container to hold a second liquid and an inlet and outlet communicative therewith, 5 for dispensing a third liquid through said outlet when a first liquid is received at said inlet, said third liquid being a mix of said first liquid and said second liquid; and

control valve means defining an orifice and receptive of the first liquid from said first liquid supply and the third liquid from said dispensing means outlet, for selectively directing said first liquid to said dispensing means inlet and for routing to said orifice a selected one of said first liquid and said third liquid,

mixing means for adjustably mixing said first liquid and said second liquid to form said third liquid,

said mixing means comprising a mixer spool valve.

Apparatus operative from a pressurized first liquid supply, comprising:

means, defining a container to hold a second liquid and an inlet and outlet communicative therewith, for dispensing a third liquid through said outlet when a first liquid is received at said inlet, said third liquid being a mix of 25 said first liquid and said second liquid; and

control valve means defining an orifice and receptive of the first liquid from said first liquid supply and the third liquid from said dispensing means outlet, for selectively directing said first liquid to said dispensing 30 means outlet and for routing to said orifice a selected one of said first liquid and said third liquid,

said control valve means further defining first and second control ports and comprising means for supplying said first liquid to a selectable one of said first and second <sup>35</sup> control ports; and further comprising:

valve means, defining a tap port and responsive to the first liquid received from said first and second control ports, for selectively diverting the first liquid received from said first liquid supply to said tap port.

3. The apparatus of claim 2 wherein said diverting valve means comprises a diverter piston reciprocatively responsive to first liquid received from said first and second control norts.

4. The apparatus of claim 2 wherein said diverting valve means comprises a diverter spool valve.

5. Apparatus operative from a pressurized first liquid supply, comprising; means, defining a container to hold a second liquid and an inlet and outlet communicative therewith, for dispensing a third liquid through said outlet when a first liquid is received at said inlet, said third liquid being a mix of said first liquid and said second liquid; and

control valve means defining an orifice and receptive of the first liquid from said first liquid supply and the third liquid from said dispensing means outlet, for selectively directing said first liquid to said dispensing means outlet and for routing to said orifice a selected one of said first liquid and said third liquid,

said dispensing means comprising a dispenser piston 60 slidably received in said container to be responsive to said first liquid directed there against,

said apparatus further comprising means for moving said piston within said container to facilitate filling thereof with said second liquid.

Apparatus operative from a pressurized first liquid supply, comprising: a container for holding a second liquid;  a dispenser piston defining first and second sides and slidably disposed in said container to abut said second liquid with said second side;

a base member configured to carry said container, said base member defining a supply port connectable to said pressurized first liquid supply, a container inlet passage communicating with said dispenser piston first side and a container outlet passage communicating with said dispenser piston first and second sides;

a control valve defining a first liquid inlet, a first control port, a third liquid inlet and an orifice, said control valve configured to selectively connect said first liquid inlet to said first control port and to connect a selected one of said first liquid inlet and said third liquid inlet to said orifice; and means for connecting;

 a) said base member supply port and said control valve first liquid inlet;

b) said control valve first control port and said container inlet passage; and

 said container outlet passage and said control valve third liquid inlet.

7. The apparatus of claim 6 wherein:

said base member further defines a tap port; and

said control valve further defines a second control port and is configured to connect a selected one of said first and second control ports to said control valve first liquid inlet:

and further comprising a diverter valve configured to connect said supply port to said tap port in response to first liquid received from said first and second control ports.

8. The apparatus of claim 6 further comprising: valve means for selectively communicating between said piston first side and said tap port; and means for increasing the pressure against said piston second side.

 The apparatus of claim 6 wherein said container outlet passage comprises first and second mixer passages communicating respectively with said first and second dispenser piston sides;

and further comprising a mixer valve adjustably carried by said base member to selectively restrict said first and second mixer passages.

10. The apparatus of claim 6 further comprising a flow control valve for selectively restricting said orifice.

11. A method of forming an apparatus operative from a pressurized first liquid supply to dispense a liquid stream, comprising the steps of:

configuring a container to hold a second liquid;

disposing a dispenser piston having first and second sides slidably in said container to abut said second liquid with said second side;

forming a base member defining a first liquid inlet passage communicative with said dispenser piston first side and defining an outlet passage communicative with said dispenser piston first and second sides, said outlet passage thus mixing said first liquid and said second liquid to form a third liquid;

forming a control valve to define an orifice and receive first liquid from said first liquid supply and said third liquid from said outlet passage; and

configuring said control valve to selectively direct said first liquid to said first liquid inlet passage and to route to said orifice a selected one of said first liquid and said third liquid.

12. The method of claim 11 further comprising the steps of: defining, with said control valve, first and second control ports; configuring said control valve to selectively supply said first liquid to said and second control ports; and

forming a diverter valve to define a tap port and to selectively divert first liquid received from said first liquid supply to said tap port in response to first liquid 5 received from said first and second control ports.

13. A dental liquid mixing and dispensing apparatus powered and controlled by water pressure, said apparatus

omprising:

- a) a stationary base for being connected to a pressurized 10 source of water,
- b) a reservoir for holding a quantity of a second liquid,
- c) discharging means in communication with the reservoir for delivering a flow of said second liquid,
- d) an elongated flexible hose connected at one end to the base.
- e) a hand-holdable, light-weight portable control and dispensing unit connected to the other end of the hose, and having a manually operable flow control and a dispensing outlet.
- f) a separate mixing means disposed between the dispensing outlet on the one hand, and the second liquid discharging means and the water source on the other hand, said mixing means being selectively adjustable by the user to establish a user-set ratio of the flow of the pressurized water to the flow of the second liquid to the dispensing outlet, said mixing means being independent of the flow control of said hand-holdable unit and being operable to maintain said user-set ratio regardless of the mode of operation of the apparatus,

said flow control on said hand-holdable unit being manually selectively operable to cause the apparatus to function in at least a selected one of the following two modes of operation to either 1) block all flow from said dispensing outlet, or 2) allow flow in said user-set ratio 35

from said dispensing outlet.

14. The apparatus of claim 13 wherein said second mode of operation includes (i) providing a flow of the pressurized water from the source to the reservoir so as to actuate, by virtue of the pressure of the water, the discharge means to 40 deliver a flow of the second liquid from said reservoir to the mixing means, (ii) providing a flow of the water from the source to the mixing means, (iii) passing said flows from (i) and (ii) through the user-set mixing means to provide a combined flow of the water and second liquid in the user-set 45 ratio, and (iv) directing that combined flow to and out through the dispensing outlet.

15. The apparatus of claim 14 wherein said hose contains

the following liquid carrying lines:

- a) a first line communicating with the source of the <sup>50</sup> pressurized water for providing a flow of the water to the unit.
- b) a second line for providing a bypass for a return flow of the water from the unit,
- c) a third line for providing a return control flow of the water to the second liquid discharging means, and
- d) a fourth line communicating with the discharging means for receiving a flow of the second liquid mixed with the water to the unit.
- 16. The apparatus of claim 13 wherein said flow control is selectively operable to cause the apparatus to function in the following additional mode of operation to
  - provide a flow of the water from the source on through the dispensing outlet.
- 17. The apparatus of claim 16 wherein said hand-holdable control and dispensing unit is proportioned and arranged to

be held in one hand of a user, said unit having a single movable control member movable by a finger of that one hand while the hand holds the unit to selectively cause the apparatus to operate in any one of said three modes.

18. The apparatus of claim 17 wherein said hand-holdable unit contains a single shiftable spool valve movable between three operative positions that each cause one of said modes

of operation.

- 19. A dental liquid mixing and dispensing apparatus powered and controlled by water pressure, said apparatus comprising:
  - a) a stationary base for being connected to a pressurized source of a water.
  - b) a reservoir for holding a quantity of a second liquid, said reservoir including discharging means for delivering a flow of said second liquid,
  - c) an elongated flexible hose connected at one end to the base,
  - d) a hand-holdable, light-weight portable control and dispensing unit connected to the other end of thee hose, and having a manually movable flow control and a dispensing outlet,
  - e) a separate mixing means disposed between the dispensing outlet on the one hand, and the second liquid discharging means and the water source on the other hand, said mixing means being selectively adjustable by the user to establish a user-set ratio of the flow of the pressurized water to the flow of the second liquid to the dispensing outlet, said mixing means being independent of the flow control of said hand-holdable unit and being operable to maintain said user-set ratio regardless of the mode of operation of the apparatus,
  - said flow control on said hand-holdable unit comprising a single movable control member, said control member being manually selectively movable to cause the apparatus to function in a selected one of at least the following three modes of operation to either:
    - 1) block all flow from said dispensing outlet, or

provide a flow of the water from the source out through the dispensing outlet, or

3) (i) provide a flow of the water from the source to the reservoir so as to actuate, by virtue of the pressure of the water the discharge means to deliver a flow of the second liquid from said reservoir to the mixing means, (ii) provide a flow of the first liquid from the source to the mixing means, (iii) pass said flows from (i) and (ii) through the user-set mixing means to provide a combined flow of the first and second

liquids in the user-set ratio, and (iv) direct that

combined flow to and out through the dispensing

- 20. The apparatus of claim 19 wherein said hand-holdable control and dispensing unit is proportioned and arranged to be held in one hand of a user, said movable control member being movable by a finger of that one hand while the hand holds the unit to selectively cause the apparatus to operate in any one of said three modes.
- 21. The apparatus of claim 20 wherein said hand holdable unit contains a single shiftable spool valve movable between three operative Positions that each cause one of said modes of operation.
- 22. The apparatus of claim 19 wherein said hose contains the following liquid carrying lines:
- a) a first line communicating with the source of the pressurized water for providing a flow of the water to the unit.

12

- b) a second line for providing a bypass for a return flow of the water from the unit,
- c) a third line for providing a return control flow of the water to the second liquid discharging means, and
- d) a fourth line communicating with the discharging 5 means for receiving a flow of the second liquid mixed with the water to the unit.
- 23. A method of providing selected dental liquid flows of a main carrier liquid of pressurized water along and mixed with a supplemental liquid, said method comprising the 10 steps of:
  - a) directing a flow of pressurizing water to a handholdable portable controller and dispenser unit having a dispensing outlet,
  - b) providing a supply of a supplemental liquid at a stationary base location.

- c) setting a mixing means for a desired ratio of water flow to supplemental liquid flow,
- d) operating controls on the hand-holdable unit to select between the following three modes of operation:
- 1) discharging a flow of water from the dispensing outlet, or
- 2) blocking all flow from the dispensing outlet, or 3) (i) diverting at least a portion of the flow of water to the supply of supplemental liquid and utilizing the pressure of that flow of water to discharge a flow of the supplemental liquid from the supply, (ii) mixing a flow of main water with a flow of supplemental liquid in the desired ratio by directing said liquid flows through the set mixing means, and (iii) directing the mixed liquids to the dispensing outlet.

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 5,564,629

Page 1 of 2

DATED

: October 15, 1996

INVENTOR(S):

William R. Weissman et al

It is certified that error appears in the above-indentified patent and that said Letters Patent is hereby corrected as shown below:

Col. 1 line 49, after "Thompson" insert -- 5,220,914--.

Col. 1 line 56, after "mixing" delete -- apparatus --.

Col. 2 line 36, after "Fig. 4" insert --is--.

Col. 3 line 16, after "orifice" delete --:--.

Col. 4, line 38, after "passage" insert --84--.

Col. 4, line 38, after "and" insert --return--:

Col. 4, line 50, change "7.1" to --74--.

Col. 5, line 5, change "spools a" to --spool or--.

Col. 5, line 57, change "Which" to --which--.

Col. 6, line 13, before "container" delete --,--.

Col. 6, line 25, change "145'" to --145---

Col. 6, line 28, after "closed" insert --as shown by the--.

Col. 9, line 2, after "said" insert --first--.

## UNITED STATES PATENT AND TRADEMARK OFFICE **CERTIFICATE OF CORRECTION**

PATENT NO. : 5,564,629

: October 15, 1996

Page 2 of 2

DATED

**発展に影響している。 シャルコ** 

INVENTOR(S): William R. Weissman et al

It is certified that error appears in the above-indentified patent and that said Letters Patent is hereby corrected as shown below:

Col. 10, line 59, change "hand holdable" to --hand-holdable--.

Signed and Sealed this

Eighteenth Day of February, 1997

Attest:

BRUCE LEHMAN

Attesting Officer

Commissioner of Patents and Trademarks

## <u>Deposition of William R. Weissman – Deposition Exhibit No. 4</u>

United States Patent No. 5,556,001

#### US005556001A

## United States Patent [19]

#### Weissman et al.

[56]

#### [11] Patent Number:

### 5,556,001

#### [45] Date of Patent:

Sep. 17, 1996

#### [54] MIXING APPARATUS FOR FLUIDS OPERATIVE FROM A PRESSURIZED LIQUID 1 SUPPLY-DESIGN I

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	Int. Cl. <sup>6</sup>
[58]	Field of Search 222/1, 129, 129.2, 222/133, 134, 135, 334; 128/66, 629, 200.21; 604/131, 149, 150, 151, 181, 183, 257; 239/322, 332, 329; 417/181, 264, 392; 4/628, 638

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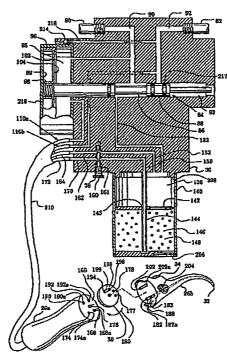
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Primary Examiner—Andres Kashnikow Assistant Examiner—Kenneth R. DeRosa

#### 7] ABSTRACT

An apparatus (20, 60) capable of dispensing a liquid 1 (e.g. water) or a liquid 1/liquid 2 mixture through a hand held syringe (26) and configured, in embodiments thereof, to be attached to a showerhead (24) or sink faucet (68) is provided. Liquid 2 (e.g. a dental concentrate) is held in a container (140) and dispensed by liquid 1 pressure on a piston (142) therein. A spool valve (84) responsive to a liquid 1 pressure bleed line (110a, 110b) diverts liquid 1 from the showerhead or sink faucet to drive the piston. The apparatus is controlled by a mode control disc (30) mounted in the syringe. Provisions for adjusting the flow rate of the dispensed liquid (34) and the proportional mix of the liquid 1/liquid 2 mixture (160) are provided.

#### 20 Claims, 3 Drawing Sheets



PAGES 7 / 27 / 08 M. ELLERSICK, CSR 10531 WIT: WELSSYMEN

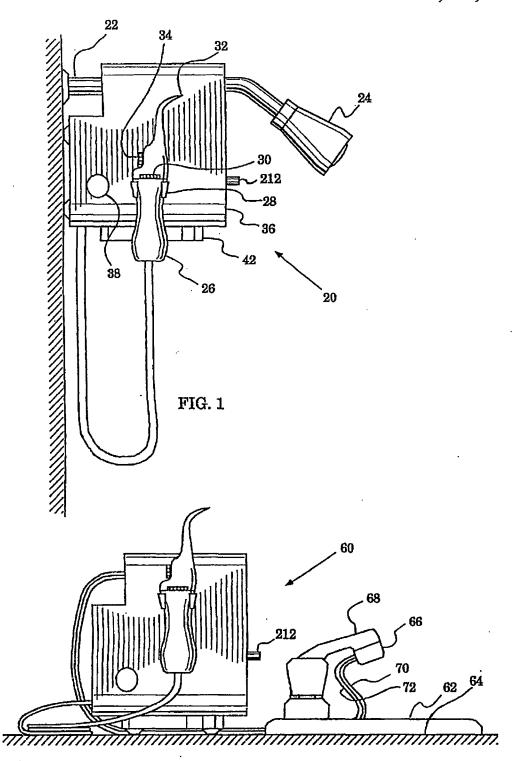
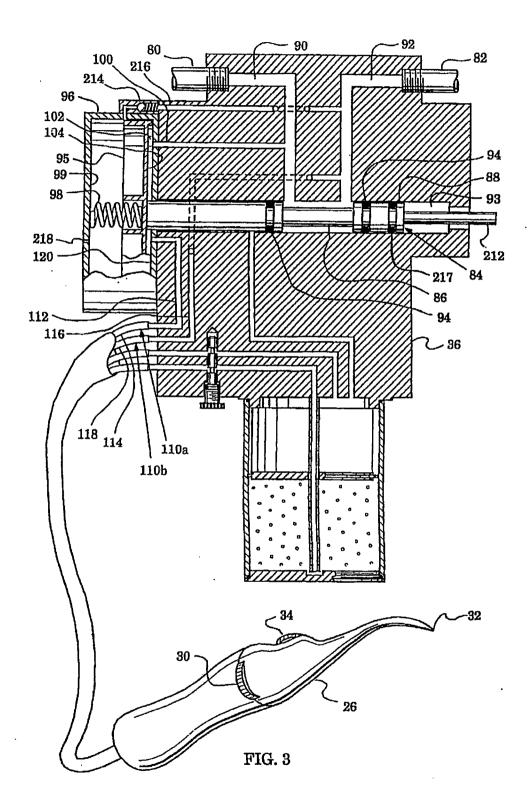
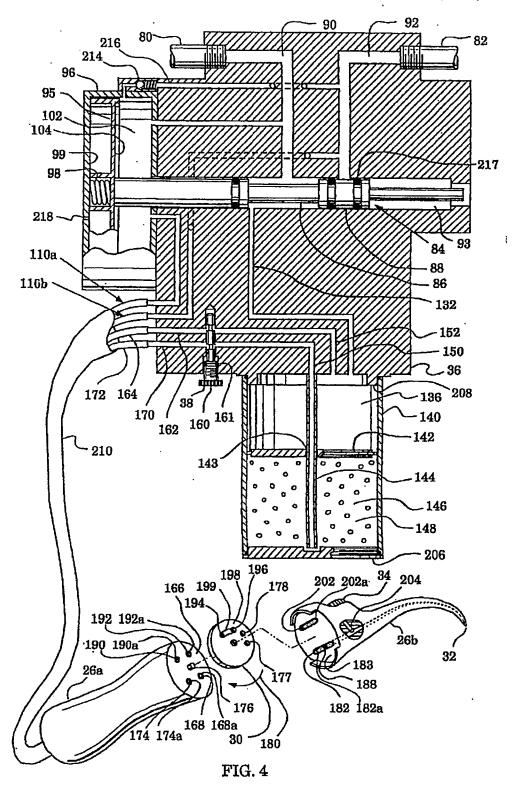


FIG. 2





10

#### TECHNICAL FIELD

The present invention relates generally to fluid mixing apparatus and more particularly to apparatus for mixing and applying an irrigating stream.

#### **BACKGROUND ART**

Mixing apparatus for generating and applying a stream of liquid (e.g. water, soap mixture, alcohol, disinfectant, industrial cleanser) find particular utility in irrigating recessed areas which are otherwise difficult to reach.

Such apparatus generally provide a handheld syringe terminating in an orifice which facilitates directing the liquid stream about the object to be irrigated. A user typically must use the other hand to operate an electrical switch to energize 20 the generator. They typically are capable of applying only one liquid, that being one placed in an internal container, and do not allow the concentration of this liquid to be adjusted, i.e. it cannot be adjustably diluted (e.g. with water) to reduce consumption.

An exemplary application of such apparatus is the irrigating of spaces between teeth and gums at home or in a dental office. Apparatus configured specifically for this application typically employ electrically powered pumps which introduces the presence of a high voltage apparatus into an environment having excellent electrical grounds close at hand (e.g. sink taps, shower pipes) which is a combination dangerous to the user.

#### DISCLOSURE OF INVENTION

The present invention is directed to liquid 1 pressure powered apparatus for generating and directing an irrigating stream.

Apparatus in accordance with the invention are characterized by a liquid 2 dispenser configured to be responsive to a diverter valve interposed between a liquid supply inlet and outlet. A syringe is configured to control the diverter valve and control flow of liquids 1 and 2 from the dispenser and diverter valve.

In accordance with a feature of the invention the apparatus is configured to mix liquids 1 and 2 to a proportion selected by the user and to dispense either liquid 1 or a liquid 3 which is a liquid 1 and 2 mixture as selected by the user.

In accordance with another feature of the invention, the apparatus is entirely powered by liquid 1 pressure enabling it to be safely used in moist environments (e.g. home showers, industrial cleaning booths).

In a preferred embodiment a spool valve responsive to a 55 liquid 1 pressure bleed line is used to divert liquid 1 to a piston actuated dispenser.

The novel features of the invention are set forth with particularity in the appended claims. The invention will be best understood from the following description when read in 60 conjunction with the accompanying drawings.

#### BRIEF DESCRIPTION OF DRAWINGS

FIG. 1 is an elevation view of a preferred apparatus 65 embodiment, in accordance with the present invention, mounted at a showerhead;

2

FIG. 2 is an elevation view of another preferred embodiment mounted at a bathroom faucet;

FIG. 3 is a schematic of the apparatus of FIGS. 1 and 2 illustrating a non-dispensing mode; and

FIG. 4 is a schematic of the apparatus of FIGS. 1 and 2 illustrating a dispensing mode.

## MODES FOR CARRYING OUT THE INVENTION

FIG. 1 is an elevation view of an apparatus 20, in accordance with the present invention, interposed between a liquid 1 (e.g. water) supply inlet 22 and a showerhead 24. The apparatus 20 has a hand held syringe 26 which may be removed from a holder 28. A mode control disc 30 is used to control the apparatus between a non-dispensing and a dispensing mode. In the non-dispensing mode liquid 1 issues from the showerhead 24 and the syringe 26 may be left in the holder 28. In the dispensing mode the mode control disc 30 may be set to positions in which liquid 1 or a mixture (liquid 3) of liquid 1 and a liquid 2 concentrate issues from the showerhead 24.

Also located on the syringe 26 is an flow adjustment knob 34 which is used to adjust the flow rate of the liquid 1 or 3 issuing from the orifice 32. Disposed on the apparatus body 36 is a mixture adjustment knob 38 which changes the proportional mix of the liquid 3 that is dispensed from the orifice 32.

The flow and mixture adjustments (knobs 34, 38) are made only occasionally. Once they have been set to the user's satisfaction, the use of the apparatus 20 is simple. For normal use of the showerhead 24, the syringe 26 is left in the holder 28 with the mode control disc 30 in the non-dispensing setting. To use the syringe 26, it is lifted from the holder 28 and set in either the liquid 1 dispensing position or the liquid 3 dispensing position by moving the mode control disc 30 appropriately. One can alternate between use of the showerhead 24 and the syringe 26 by simple movement of the mode control disc 30.

Thus, for example, teeth and gums may be effectively irrigated without the handling of tubes or other concentrate containers. Irrigating with the syringe 26 is a simple maneuver accomplished while taking a shower or bath and cleanup is almost nonexistent. Only occasionally is it necessary to unscrew a concentrate container 42 from the bottom of the body 36 and refill it with liquid concentrate.

FIG. 2 is an elevation view of another apparatus 60 in association with a bathroom sink 62 and countertop 64. The apparatus 60 is similar to the apparatus 20 and differs primarily in its disposition with the liquid 1 supply. A standard faucet adaptor 66 is used at the faucet head 68 to interpose the apparatus 60 between a liquid 1 supply inlet 70 and a liquid 1 supply outlet 72. In other respects the functioning of the apparatus 60 is the same as that of the apparatus 20.

FIGS. 1 and 2 illustrate embodiments of the invention configured for a specific application, i.e. irrigating the teeth and gums in a home environment. Generally, however, apparatus in accordance with the invention will find application and utility for irrigating hard to access areas in a variety of environments, e.g. home, factory, medical office, veterinarian office. Examples of such applications include cleaning of ears, electrical circuit board cleaning, surgical cleaning, and use as a douche.

Apparatus in accordance with the invention may be configured to generate a stream of liquid 1 or a liquid 3 comprising a liquid 2 concentrate and the liquid 1. Examples of a liquid concentrate include alcohol, industrial cleaner, disinfectant and concentrates directed to dental irrigating. Thus it should be understood that the description of the embodiments 20, 60 are exemplary of the variety of configurations, and uses thereof, in which the invention may be realized. In these specific embodiments, liquid 1 is water and liquid 2 is a dental dentifrice.

Attention is now directed in detail to FIG. 3 which is a schematic of the apparatus 20, 60 illustrating the structure and functioning thereof in the non-dispensing mode. The body 36 is represented in section and shows a liquid I supply inlet 80 and a liquid I supply outlet 82 inserted therein. A diverter spool valve 84 is shown in the non-dispensing mode in which the recessed portion 86 of the spool 88 allows communication between the supply inlet 80 and the supply outlet 82 by way of bores 90, 92 and 93.

The spool 88 slides within bore 93 and is sealed thereto 20 by O rings 94. It is attached at one end to a piston 95 disposed within a cylinder 96. The piston 94 is urged to the non-dispensing position by a spring 98 bearing against a wall 99 of the cylinder 96.

The liquid 1 supply inlet 80 communicates by means of a 25 supply line, defined by bores 90 and 100, with the chamber 102 defined between the cylinder 96 and the face 104 of the piston 95. The chamber 102 is connected to the supply outlet bore 92 by a liquid 1 pressure bleed line. The bleed line is comprised of a first bleed line 110a running from the 30 chamber 102 to the syringe 26 and a second bleed line 110b running from the syringe 26 to the outlet supply bore 92. The first bleed line 110a includes bore 112 and flexible tube 114. The second bleed line 110b includes bore 116 and flexible tube 118 (bore 116 is shown in dashed lines in areas where 35 it passes under other bores).

In the non-dispensing mode illustrated in FIG. 3 the first bleed line 110a is connected through the syringe 26 to the second bleed line 110b (details of this connection are disclosed below in the description of FIG. 4). Because the liquid 1 pressure in the showerhead 24 or the faucet 68 is low, this bleed line connection relieves pressure in the chamber 102 and consequently the piston 95, under urging of the spring 98, abuts the cylinder face 120. Thus, in the non-dispensing mode, a passage remains open from the supply inlet 80 to the supply outlet 82.

FIG. 4 is a schematic, similar to FIG. 3, illustrating the dispensing mode of the apparatus (20, 60 of FIGS. 1, 2). In FIG. 4 the syringe 26 has been disassembled into a handle 26a, a mode control disc 30 and a tip 26b defining the orifice 32. In the dispensing mode the first bleed line 110a and the second bleed line 110b are interrupted, as will be explained below, at their juncture in the syringe 26. As a result the pressure in the chamber 102 is not relieved and the cylinder 95 is urged, against the urging of the spring 96 to abut the wall 99. In this position the recessed portion 86 of the spool 88 connects, through bores 90, 93 and 132, the supply inlet 80 to the chamber 136 within container 140. Bore 132 thereby defines a diverter outlet from the spool valve 84.

A piston 142 has a hole 143 therein which receives a rigid tube 144 mounted in the body 36. Liquid 1 pressure bears on the piston 142 and liquid 2 (dentifrice) 146 in the chamber 148 below the piston 142 is urged thereby to flow up the tube 144 into bore 150.

Thus, in the dispensing mode liquid 1 and liquid 2 are separately urged in, respectively, bores 152 and 150 past a

differential flow rate adjustment spool valve 160 (the mixture adjustment knob 38 of FIG. 1 is attached thereto). Each of the bores 150, 152 correspond with one of two recessed portions in the spool valve 160. The spool valve is rotatably threaded into the body 36 and sealed thereto with an O ring 161. The recessed portions are arranged so that when one is aligned with one of the bores 150,152, the other is misaligned with the other of the bores. Thus when one bore has been fully restricted the other has been minimally restricted.

Liquid 1 is carried past the spool valve 160 through bore 162 and flexible conduit 164 to a face 166 of the syringe handle 26a where the conduit 164 terminates in a port 168 surrounded by an O ring 168a. Similarly, liquid 2 is carried past the spool valve 160 in bore 170 and flexible conduit 172 to terminate at the face 166 in a port 174 surrounded by an O ring 174a.

Mode control disc 30 is rotatably mounted on a pin 176 projecting from the face 166 and has a pair of holes 177, 178. It can be seen that, as the mode control disc is turned in direction 180, hole 177 can be aligned with port 168. This movement will also align the hole 177 with the recessed mixing chamber 182 in face 183 of the syringe tip 26b. The mixing chamber 182 is surrounded with an O ring 182a and communicates through a narrowing tube 188 with the orifice 32. When the handle 26a, disc 30 and tip 26b are assembled the faces of the disc 30 abut the O rings 168a and 174a. Thus liquid 1 1s restrained to flow through hole 177 and issue from the orifice 32. For reference purposes, this position of the mode control disc 30 will be denoted position 2.

If the mode control disc 30 is turned further in direction 180 the holes 177, 178 will be aligned with, respectively, ports 174, 168. This is denoted position 3 and in this position liquid 1 and liquid 2 are restrained to flow through, respectively, holes 178, 177 into the mixing chamber 182. Thus in position 3 of the mode control disc 30, liquid 1 and liquid 2 mix in the chamber 182 and then issue through orifice 32. In this position the proportional mix of liquid 1 and liquid 2 that issues from the orifice 32 may be changed by adjusting the proportional flow rate spool valve 160. Since the spool valve 160 controls the relative flow rates it effectively changes the proportional mix at the mixing chamber 182.

The first bleed line 110a and second bleed line 110b, described above relative to FIG. 3, terminate, respectively, at the face 166 in ports 190, 192 ringed by O rings 190a, 192a. When the mode control disc 30 is in positions 2 and 3 described above, the hole 194 in the disc 30 does not align with port 190. Thus the O ring 190a is abutted by the disc 30 and the bleed line comprised of first and second bleed lines 110a, 110b is interrupted. This keeps the spool valve 88 in the position shown in FIG. 4.

A final position, which can be denoted position 1, of the mode control disc 30 is shown in FIG. 4 where hole 194 and a second hole 196 align with, respectively, ports 190,192. The face 198 of the mode control disc 30 defines a slot 199 that connects holes 194, 196. The face 183 of the tip 26b defines a corresponding groove 202 surrounded by an O ring 202a. In position 1 the first bleed line 110a and second bleed line 110b are connected through holes 194, 196 and the space defined by slot 199 and groove 202. Thus position 1 of the control disc 30 activates the non-dispensing mode illustrated in FIG. 3 while positions 2 and 3 activate the dispensing mode illustrated in FIG. 4.

The flow adjustment knob 34, shown in FIG. 4, is attached to a body that is threadably mounted in the tip 26b and terminates in a pin 204. Thus the knob 34 can be rotated to cause pin 204 to protrude into tube 188 to reduce flow from the orifice 32.

The container 140 is internally threaded at the upper and lower margins thereof. A lid 206 and a protruding ring 208 of the body 36 are correspondingly threaded. Thus the lid 206 can be placed on the container 140, the container filled with dentifrice, the piston 142 placed therein and the assembly mounted on the ring 208. The ring 208 and the lid 206 carry O rings to seal against the container 140.

The conduits 164, 172 and first and second bleed lines 110a, 110b are covered by a flexible sheath 210.

In FIG. 3 (and FIGS. 1, 2) it may be seen that the spool 10 88 terminates in a tip 212 protruding out through the body 36. The tip 212 may be used to free the spool valve 84 and attached piston 95 If they become fixed within the body 36 because of a buildup of soap film or other foreign matter.

As shown in FIGS. 3 and 4, chamber 102, formed by cylinder 96 and the face 104 of piston 95, is connected past a ball check valve 214 and through bores 216 and 92 to the supply outlet 82. Excessive liquid 1 pressure from the supply outlet 80 will open the check valve 214 to the supply outlet 82 and, thereby, protect the apparatus from damage. An O ring 217 in the spool valve 84 prevents liquid 1 from exiting through bore 93.

The piston 94 fits closely within the cylinder 96 to prevent liquid 1 from bypassing it. Due to this close fit it is necessary, as shown in FIGS. 3 and 4, to have an orifice 218 in the cylinder 96, located behind the piston 95, to vent it to atmospheric pressure.

From the foregoing it should now be recognized that exemplary apparatus embodiments have been disclosed herein configured specifically for cleansing of teeth and gums. Generally, however, embodiments of the invention may be configured for generating a stream of liquid 1 or 3 for irrigating of any restricted access area. Although the described preferred embodiments have an orifice configured to define a fine stream of liquid it should be understood that the orifice may generally assume any shape. Apparatus in accordance with the present invention operate solely with liquid 1 pressure and are, therefore, safe to use in any moist environment.

Although the present invention has been described with 40 reference to preferred embodiments, numerous modifications and rearrangements can be made with the equivalent result still embraced within the scope of the invention.

What is claimed is:

1. A method for dispensing liquid 1 and liquid 2 from an  $_{45}$  orifice, comprising the steps of;

interposing a diverter valve between a liquid 1 supply inlet and a liquid 1 supply outlet wherein said diverter valve is configured to connect, in a first position thereof, said supply inlet and said supply outlet, and, in 50 a second position thereof, a diverter outlet therefrom and said supply inlet;

configuring said diverter valve to move from said first valve position to said second valve position when a pressure bleed line is interrupted;

urging, with liquid 1 from said diverter outlet, a dispenser piston against liquid 2 in a container to dispense it therefrom:

conducting, separately, said liquid 1 and said liquid 2 from, respectively, said diverter outlet and said container, to a syringe defining an orifice therefrom;

selecting, with a control valve in said syringe that interrupts said bleed line, between said first position and said second position of said diverter valve; and

controlling, with said control valve, the flow of said liquid

1 and said liquid 2 from said orifice.

2. A method as defined in claim 1 further comprising the steps of:

adjusting, differentially, the flow rate of said liquid 1 and said liquid 2; and

mixing said liquid 1 and said liquid 2 before dispensing from said orifice.

3. A method as defined in claim 2 wherein said controlling step comprises the steps of:

configuring a liquid 1 line and a liquid 2 line to conduct said liquid 1 and said liquid 2 to said orifice;

disposing a rotatable disc defining a pair of holes therein across said liquid 1 line and said liquid 2 line to conduct said liquid 1 and said liquid 2 to said orifice when said pair of holes align therewith.

4. A method as defined in claim 1, wherein said configuring step comprises the steps of:

defining a spool valve with a piston thereon;

disposing said piston in a cylinder;

defining a liquid I supply line from said supply inlet to said cylinder; and

defining a pressure bleed line from said cylinder via said control valve to said supply outlet.

5. Apparatus, comprising:

a syringe defining an orifice therefrom;

diverter valve means, interposed between a liquid 1 supply inlet and a liquid 1 supply outlet, adapted to be responsive to liquid 1 pressure relief and defining a diverter outlet, for directing, when in a first valve position, liquid 1 from said supply inlet to said supply outlet and, when in a second valve position, liquid 1 from said supply inlet to said diverter outlet;

means, containing liquid 2 and connected to said diverter outlet to be responsive to liquid 1 received therefrom, for separately dispensing said liquid 1 and said liquid 2;

liquid pressure relief means, disposed between said syringe and said diverter valve means, for selecting one of said first valve position and said second valve position; and

control means, disposed in said syringe between said dispensing means and said orifice, for controlling, via said liquid 1 pressure relief means, the valve position of said diverter valve means and for controlling the flow of said liquid 1 and said liquid 2 from said orifice.

6. Apparatus as defined in claim 6 wherein said dispensing means further comprises means for adjusting the flow rate of said liquid 1 and said liquid 2 dispensed therefrom.

7. Apparatus as defined in claim 5 wherein said dispensing means further comprises conduit means for conducting said liquid 1 and said liquid 2 separately to said control means.

8. Apparatus as defined in claim 5 wherein said diverter valve means comprises;

a diverter spool valve defining, at an end thereof, a pressure piston;

a cylinder enclosing said pressure piston;

a spring urging said diverter spool valve to said first valve position where said diverter spool valve connects said supply inlet to said supply outlet;

and

a liquid 1 supply line from said supply input to said cylinder for urging said pressure piston to said second valve position where said diverter spool valve connects said supply inlet to said diverter outlet.

 Apparatus as defined in claim 8 wherein said pressure relief means comprises a liquid 1 pressure bleed line 30

directed from said cylinder via said control means to said supply outlet whereby said spool valve is urged from said first valve position to said second valve position when said bleed line is interrupted by said control means.

 Apparatus as defined in claim 5 wherein said dispens- 5 ing means comprises;

- a container holding said liquid 2;
- a dispenser piston enclosed by said container and urged,
   by liquid 1 from said diverter outlet, against said liquid
   2;
- a liquid 2 passageway from said container; and
- a liquid 1 passageway communicating with said diverter outlet;
- whereby said liquid 1 and said liquid 2 are dispensed 15 from, respectively, said
- liquid 1 passageway and said liquid 2 passageway when said diverter valve means is in said second valve position.
- 11. Apparatus as defined in claim 7 wherein said conduit 20 means comprises; a liquid 1 line connecting said liquid 1 passageway and said orifice; and a liquid 2 line connecting said liquid 2 passageway and said orifice.
- 12. Apparatus as defined in claim:11 wherein said control means comprises a disc defining a hole therethrough, said <sup>25</sup> disc rotatably disposed to intersect said liquid line and said concentrate line to block passage therethrough except when said hole aligns therewith.
  - 13. Apparatus as defined in claim 9 wherein;
  - said bleed line comprises:
    - a first bleed line from said cylinder to said syringe; and a second bleed line from said syringe to said supply outlet; and
    - said control means comprises;
      - a face defining a groove therein; and
    - a disc defining a passage comprising a pair of holes and a slot therebetween;
    - said disc rotatably disposed abutting said face to connect said first bleed line and said second bleed line when said pair of holes align therewith and
- said slot aligns with said groove.

  14. Apparatus as defined in claim 11 further comprising means, disposed in said syringe, for mixing said liquid 1 and said liquid 2.
  - 15. Apparatus as defined in claim 14 wherein:
  - said control means comprises a disc defining a pair of holes therethrough, said disc rotatably disposed to

- complete said liquid 1 line and said liquid 2 line when said pair of holes align therewith; and
- said mixing means comprises a chamber defined by said syringe and
- connected to said orifice, Said chamber communicating with said pair of holes when they align with said liquid 1 line and said liquid 2 line.
- 16. Apparatus as defined in claim 10 wherein said dispensing means further comprises means for adjusting the flow rate of said liquid 1 and said liquid 2 dispensed therefore.
- 17. Apparatus as defined in claim 16 wherein said adjusting means comprises an adjustment spool valve having a first annular groove corresponding with said liquid 1 passageway and a second annular groove corresponding with said liquid 2 passageway; said adjustment spool valve disposed such that longitudinal movement thereof restricts one of said liquid 1 passageway and said liquid 2 passageway as it frees the other.
- 18. Apparatus as defined in claim 10 wherein said said liquid 2 passageway comprises a tube arranged within said container and said dispenser piston defines a hole therein for receiving said tube to be guided thereon.
  - 19. Apparatus, comprising:
  - a syringe defining an orifice therefrom;
  - diverter valve means, interposed between a liquid 1 supply inlet and a liquid 1 supply outlet, adapted to be responsive to liquid 1 pressure relief and defining a diverter outlet, for directing, when in a first valve position, liquid 1 from said supply inlet to said supply outlet and, when in a second valve position, liquid 1 from said supply inlet to said diverter outlet;
  - means, containing liquid 2 and connected to said diverter outlet to be responsive to liquid 1 received therefrom, for separately dispensing said liquid 2 and said liquid 1; and
  - control means, disposed in said syringe between said dispensing means and said orifice, for controlling the valve position of said diverter valve means by providing liquid 1 pressure relief and for controlling the flow of said liquid 1 and said liquid 2 from said orifice.
- 20. Apparatus as defined in claim 19 wherein said control means comprises liquid 1 pressure relief means, disposed between said syringe and said diverter valve means, for selecting one of said first valve position and said second valve position.

\* \* \* \* \*

### <u>Deposition of William R. Weissman – Deposition Exhibit No. 5</u>

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# **AQUAJETT**

**Word Mark** 

**AQUAJETT** 

**Goods and Services** 

IC 010. US 026 039 044. G & S: Dental instruments, namely, oral irrigators

**Standard Characters** 

Claimed

**Mark Drawing Code** 

(4) STANDARD CHARACTER MARK

Serial Number

78893144 May 25, 2006

Filing Date

1B

**Current Filing Basis** Original Filing Basis

**1B** 

**Published for** 

Opposition

July 10, 2007

Owner

(APPLICANT) Omnisource DDS, LLC LTD LIAB CO CALIFORNIA 10902 Riverside Drive

North Hollywood CALIFORNIA 91602

Attorney of Record

Thomas I Rozsa **TRADEMARK** 

Type of Mark Register

**PRINCIPAL** 

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Serial Number: 78893144 Assignment Information

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Registration Number: (NOT AVAILABLE)

Mark

# **AQUAJETT**

(words only): AQUAJETT

Standard Character claim: Yes

Current Status: An opposition is now pending at the Trademark Trial and Appeal Board.

Date of Status: 2007-07-24

Filing Date: 2006-05-25

Filed as TEAS Plus Application: Yes

Currently TEAS Plus Application: Yes

Transformed into a National Application: No

Registration Date: (DATE NOT AVAILABLE)

Register: Principal

Law Office Assigned: LAW OFFICE 111

Attorney Assigned: ORNDORFF LINDA B

Current Location: 650 - Publication And Issue Section

Date In Location: 2007-06-01

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

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**United States** 

Legal Entity Type: Ltd Liab Co

State or Country Where Organized: California

#### GOODS AND/OR SERVICES

International Class: 010 Class Status: Active

Dental instruments, namely, oral irrigators

Basis: 1(b)

First Use Date: (DATE NOT AVAILABLE)

First Use in Commerce Date: (DATE NOT AVAILABLE)

#### ADDITIONAL INFORMATION

(NOT AVAILABLE)

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### PROSECUTION HISTORY

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2007-07-27 - TEAS Change Of Correspondence Received

2007-07-24 - Opposition instituted for Proceeding

2007-07-24 - Opposition papers filed

2007-07-10 - Published for opposition

2007-06-20 - Notice of publication

2007-06-01 - Law Office Publication Review Completed

2007-06-01 - Approved for Pub - Principal Register (Initial exam)

2007-05-15 - Amendment From Applicant Entered

2007-05-15 - Communication received from applicant

2007-05-15 - Assigned To LIE

2007-04-23 - PAPER RECEIVED

2006-10-27 - Non-final action e-mailed

2006-10-27 - Non-Final Action Written

2006-10-26 - Assigned To Examiner

2006-08-29 - Applicant/Correspondence Changes (Non-Responsive) Entered

2006-08-18 - PAPER RECEIVED

2006-06-01 - Attorney Revoked And/Or Appointed

2006-06-01 - TEAS Revoke/Appoint Attorney Received

2006-06-02 - Notice Of Pseudo Mark Mailed

2006-06-01 - New Application Entered In Tram

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#### Attorney of Record

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Phone Number: 818-783-0990 Fax Number: 818-783-0992

### Deposition of William R. Weissman - Deposition Exhibit No. 6

Printout from the U.S. Trademark Office re Application for the Mark OMNIJET



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# **OMNIJET**

**Word Mark** 

**OMNIJET** 

**Goods and Services** 

IC 010. US 026 039 044. G & S: Dental instruments, namely, oral irrigators

**Standard Characters** 

Claimed

**Mark Drawing Code** 

(4) STANDARD CHARACTER MARK

Serial Number

78892761

**Filing Date** 

May 25, 2006

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**1B** 

Original Filing Basis

**1B** 

**Published for** 

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Opposition

**Owner** 

(APPLICANT) Omnisource DDS, LLC LTD LIAB CO CALIFORNIA 10902 Riverside Drive

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Attorney of Record

Thomas I. Rozsa

Type of Mark

**TRADEMARK PRINCIPAL** 

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Serial Number: 78892761 Assignment Information

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Registration Number: (NOT AVAILABLE)

Mark

# **OMNIJET**

(words only): OMNIJET

Standard Character claim: Yes

Current Status: A request for the second extension of time to file a statement of use has been granted.

Date of Status: 2007-12-20

Filing Date: 2006-05-25

Filed as TEAS Plus Application: Yes

**Currently TEAS Plus Application: Yes** 

The Notice of Allowance Date is: 2006-12-05

Transformed into a National Application: No

Registration Date: (DATE NOT AVAILABLE)

Register: Principal

Law Office Assigned: LAW OFFICE 102

Attorney Assigned: CLAYTON CHERYL A

Current Location: 700 -Intent To Use Section

Date In Location: 2007-12-18

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

#### 1. Omnisource DDS, LLC

Address:

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**United States** 

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**International Class: 010** Class Status: Active

Dental instruments, namely, oral irrigators

Basis: 1(b)

First Use Date: (DATE NOT AVAILABLE)

First Use in Commerce Date: (DATE NOT AVAILABLE)

#### ADDITIONAL INFORMATION

(NOT AVAILABLE)

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### PROSECUTION HISTORY

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2007-12-20 - Extension 2 granted

2007-12-03 - Extension 2 filed

2007-12-03 - PAPER RECEIVED

2007-08-24 - Extension 1 granted

2007-05-23 - Extension 1 filed

2007-05-23 - PAPER RECEIVED

2007-05-23 - Assigned To Examiner

2006-12-05 - Notice of allowance - mailed

2006-09-12 - Published for opposition

2006-09-01 - Review Of Correspondence Complete

2006-08-24 - PAPER RECEIVED

2006-08-23 - Notice of publication

2006-07-20 - Law Office Publication Review Completed

2006-07-14 - Assigned To LIE

2006-07-13 - Approved for Pub - Principal Register (Initial exam)

2006-07-12 - Assigned To Examiner

2006-06-01 - Attorney Revoked And/Or Appointed

2006-06-01 - TEAS Revoke/Appoint Attorney Received

2006-06-01 - New Application Entered In Tram

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### <u>Deposition of William R. Weissman – Deposition Exhibit No. 7</u>

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# **OMNIPIK**

**Word Mark** 

**OMNIPIK** 

**Goods and Services** 

IC 010. US 026 039 044. G & S: Dental instruments, namely, oral irrigators

Standard Characters

Claimed

**Mark Drawing Code** 

(4) STANDARD CHARACTER MARK

Serial Number

78811971

**Filing Date** 

February 10, 2006

**Current Filing Basis** 

**1B** 

**Original Filing Basis** 

**1B** 

**Published for** 

Opposition

September 12, 2006

**Owner** 

(APPLICANT) Omnisource DDS, LLC CORPORATION CALIFORNIA 10902 Riverside

Drive North Hollywood CALIFORNIA 91602

**Attorney of Record** 

THOMAS I. ROZSA

Type of Mark

**TRADEMARK** 

Register

**PRINCIPAL** 

Live/Dead Indicator

LIVE

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> M. ELLERSICK, CSR 10531 WIT: LUCKS WAY 2/26/2008

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Serial Number: 78811971 Assignment Information

Trademark Document Retrieval

Registration Number: (NOT AVAILABLE)

Mark

# **OMNIPIK**

(words only): OMNIPIK

Standard Character claim: Yes

Current Status: An opposition is now pending at the Trademark Trial and Appeal Board.

Date of Status: 2007-01-09

Filing Date: 2006-02-10

Filed as TEAS Plus Application: Yes

**Currently TEAS Plus Application: Yes** 

Transformed into a National Application: No

Registration Date: (DATE NOT AVAILABLE)

Register: Principal

Law Office Assigned: LAW OFFICE 102

Attorney Assigned: BUTLER ANDREA P

Current Location: 650 - Publication And Issue Section

Date In Location: 2006-08-02

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

1. Omnisource DDS, LLC

Address:

Omnisource DDS, LLC 10902 Riverside Drive North Hollywood, CA 91602

**United States** 

Legal Entity Type: Corporation

State or Country of Incorporation: California

#### GOODS AND/OR SERVICES

**International Class: 010** Class Status: Active

Dental instruments, namely, oral irrigators

Basis: 1(b)

First Use Date: (DATE NOT AVAILABLE)

First Use in Commerce Date: (DATE NOT AVAILABLE)

#### ADDITIONAL INFORMATION

(NOT AVAILABLE)

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### PROSECUTION HISTORY

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2008-01-21 - TEAS Change Of Correspondence Received

2007-05-23 - Assigned To Examiner

2007-01-09 - Opposition instituted for Proceeding

2006-10-12 - Extension Of Time To Oppose Received

2006-09-12 - Published for opposition

2006-08-29 - Review Of Correspondence Complete

2006-08-23 - Notice of publication

2006-08-18 - PAPER RECEIVED

2006-07-20 - Law Office Publication Review Completed

2006-07-14 - Assigned To LIE

2006-07-13 - Approved for Pub - Principal Register (Initial exam)

2006-07-12 - Assigned To Examiner

2006-06-01 - Attorney Revoked And/Or Appointed

2006-06-01 - TEAS Revoke/Appoint Attorney Received

2006-02-16 - New Application Entered In Tram

#### ATTORNEY/CORRESPONDENT INFORMATION

Attorney of Record THOMAS I. ROZSA

Correspondent
THOMAS I. ROZSA
Rozsa Law Group LC
Suite 220
18757 Burbank Boulevard
Tarzana CA 91356

Phone Number: 818-783-0990 Fax Number: 818-783-0992

### <u>Deposition of William R. Weissman – Deposition Exhibit No. 8</u>

Printout from the U.S. Trademark Office re Application for the Mark SHOWERJET



#### United States Patent and Trademark Office

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# **SHOWERJET**

**Word Mark** 

SHOWERJET

**Goods and Services** 

IC 010. US 026 039 044. G & S: ORAL IRRIGATORS

**Standard Characters** 

Claimed

**Mark Drawing Code** 

(4) STANDARD CHARACTER MARK

Serial Number

76595958

**Filing Date** 

June 7, 2004

**Current Filing Basis** 

1B

Original Filling Basis

45

**Published for** 

, ,,,

Opposition

March 21, 2006

Owner

(APPLICANT) OMNISOURCE DDS, LLC LIMITED LIABILITY COMPANY CALIFORNIA

10902 RIVERSIDE DR. NORTH HOLLWYOOD CALIFORNIA 91601

**Assignment** 

Recorded

ASSIGNMENT RECORDED

**Attorney of Record** 

Thomas I. Rozsa
TRADEMARK

Type of Mark Register

PRINCIPAL

Live/Dead Indicator

LIVE

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M. ELLERSICK, CSR 10531 WIT: weissman

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2/26/2008

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Serial Number: 76595958 Assignment Information

Trademark Document Retrieval

**Registration Number: (NOT AVAILABLE)** 

Mark

# **SHOWERJET**

(words only): SHOWERJET

Standard Character claim: Yes

Current Status: A request for the third extension of time to file a statement of use has been granted.

Date of Status: 2007-11-20

Filing Date: 2004-06-07

The Notice of Allowance Date is: 2006-06-13

Transformed into a National Application: No

Registration Date: (DATE NOT AVAILABLE)

Register: Principal

Law Office Assigned: LAW OFFICE 110

Attorney Assigned:

HARDY LUDLOW TARAH KIM

Current Location: 700 -Intent To Use Section

Date In Location: 2007-11-13

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

1. OMNISOURCE DDS, LLC

Address:

OMNISOURCE DDS, LLC 10902 RIVERSIDE DR. NORTH HOLLWYOOD, CA 91601 United States Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: California

#### GOODS AND/OR SERVICES

International Class: 010 Class Status: Active ORAL IRRIGATORS

Basis: 1(b)

First Use Date: (DATE NOT AVAILABLE)

First Use in Commerce Date: (DATE NOT AVAILABLE)

#### ADDITIONAL INFORMATION

(NOT AVAILABLE)

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### PROSECUTION HISTORY

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2007-11-20 - Extension 3 granted

2007-10-29 - Extension 3 filed

2007-10-29 - PAPER RECEIVED

2007-08-24 - Extension 2 granted

2007-05-23 - Extension 2 filed

2007-07-27 - TEAS Change Of Correspondence Received

2007-05-23 - PAPER RECEIVED

2006-12-23 - Extension 1 granted

2006-11-06 - Extension 1 filed

2006-11-06 - PAPER RECEIVED

2006-10-25 - Automatic Update Of Assignment Of Ownership

2006-10-24 - Review Of Correspondence Complete

2006-08-18 - PAPER RECEIVED

2006-06-13 - Notice of allowance - mailed

2006-06-01 - Attorney Revoked And/Or Appointed

2006-06-01 - TEAS Revoke/Appoint Attorney Received

2006-03-21 - Published for opposition

2006-03-01 - Notice of publication

2005-05-31 - Attorney Revoked And/Or Appointed

2005-05-31 - TEAS Revoke/Appoint Attorney Received

2005-01-14 - Law Office Publication Review Completed

2005-01-14 - Assigned To LIE

2005-01-11 - Examiner's amendment mailed

2005-01-11 - Approved for Pub - Principal Register (Initial exam)

2005-01-11 - Examiners Amendment - Written

2005-01-07 - Assigned To Examiner

2004-06-18 - New Application Entered In Tram

#### ATTORNEY/CORRESPONDENT INFORMATION

#### Attorney of Record

Thomas I. Rozsa

#### Correspondent

Thomas I. Rozsa Rozsa Law Group LC

18757 Burbank Boulevard, Suite 220

Tarzana CA 91356-3346

Phone Number: 818-783-0990 Fax Number: 818-783-0992

### <u>Deposition of William R. Weissman – Deposition Exhibit No. 9</u>

Printout from the U.S. Trademark Office re Application for the Mark AQUAPIK



#### **United States Patent and Trademark Office**

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### Trademarks > Trademark Electronic Search System (TESS)

TESS was last updated on Tue Feb 26 04:22:18 EST 2008

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## AQUAPIK

Word Mark

**AQUAPIK** 

Goods and Services

IC 010. US 026 039 044, G & S; ORAL IRRIGATORS

Standard Characters

Claimed

Mark Drawing Code

(4) STANDARD CHARACTER MARK

Serial Number

76594979

**Filing Date** 

June 1, 2004

Current Filing Basis

1B

**Original Filing Basis** 

1B

**Published for** 

Opposition

May 10, 2005

Owner

(APPLICANT) OMNISOURCE DDS, LLC LIMITED LIABILITY COMPANY CALIFORNIA

10902 RIVERSIDE DR. NORTH HOLLWYOOD CALIFORNIA 91601

Assignment

Recorded

ASSIGNMENT RECORDED

**Attorney of Record** 

Thomas I. Rozsa

Type of Mark Register

TRADEMARK **PRINCIPAL** 

Live/Dead Indicator

LIVE

M. ELLERSICK, CSR 10531 WIT: 6.) P

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Serial Number: 76594979 Assignment Information

Trademark Document Retrieval

Registration Number: (NOT AVAILABLE)

Mark

### **AQUAPIK**

(words only): AQUAPIK

Standard Character claim: Yes

Current Status: A request for the fourth extension of time to file a statement of use has been granted.

**Date of Status: 2007-12-19** 

Filing Date: 2004-06-01

The Notice of Allowance Date is: 2005-11-29

Transformed into a National Application: No

Registration Date: (DATE NOT AVAILABLE)

Register: Principal

Law Office Assigned: LAW OFFICE 116

Attorney Assigned: BRACEY KAREN E

Current Location: 700 -Intent To Use Section

Date In Location: 2007-12-18

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

1. OMNISOURCE DDS, LLC

Address:

OMNISOURCE DDS, LLC 10902 RIVERSIDE DR. NORTH HOLLWYOOD, CA 91601

**United States** 

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: California

#### GOODS AND/OR SERVICES

International Class: 010 Class Status: Active ORAL IRRIGATORS

Basis: 1(b)

First Use Date: (DATE NOT AVAILABLE)

First Use in Commerce Date: (DATE NOT AVAILABLE)

#### ADDITIONAL INFORMATION

(NOT AVAILABLE)

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### PROSECUTION HISTORY

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2007-12-19 - Extension 4 granted

2007-11-27 - Extension 4 filed

2007-11-27 - PAPER RECEIVED

2007-08-24 - Extension 3 granted

2007-05-23 - Extension 3 filed

2007-07-27 - TEAS Change Of Correspondence Received

2007-05-23 - PAPER RECEIVED

2006-12-23 - Extension 2 granted

2006-11-06 - Extension 2 filed

2006-11-06 - PAPER RECEIVED

- 2006-10-25 Automatic Update Of Assignment Of Ownership
- 2006-10-24 Review Of Correspondence Complete
- 2006-08-18 PAPER RECEIVED
- 2006-06-01 Attorney Revoked And/Or Appointed
- 2006-06-01 TEAS Revoke/Appoint Attorney Received
- 2006-05-15 Extension 1 granted
- 2006-05-15 Extension 1 filed
- 2006-05-15 TEAS Extension Received
- 2005-11-29 Notice of allowance mailed
- 2005-10-08 Extension Of Time To Oppose Process Terminated
- 2005-06-15 Extension Of Time To Oppose Received
- 2005-05-31 Attorney Revoked And/Or Appointed
- 2005-05-31 TEAS Revoke/Appoint Attorney Received
- 2005-05-23 PAPER RECEIVED
- 2005-05-10 Published for opposition
- 2005-04-20 Notice of publication
- 2005-01-25 Law Office Publication Review Completed
- 2005-01-24 Assigned To LIE
- 2005-01-14 Approved for Pub Principal Register (Initial exam)
- 2005-01-04 Examiner's Amendment Entered
- 2005-01-04 Examiner's amendment mailed
- 2005-01-04 Examiners Amendment Written
- 2005-01-04 Assigned To Examiner
- 2004-06-15 New Application Entered In Tram

#### ATTORNEY/CORRESPONDENT INFORMATION

#### **Attorney of Record**

Thomas I. Rozsa

#### Correspondent

Thomas I. Rozsa Rozsa Law Group LC 18757 Burbank Boulevard, Suite 220

Tarzana CA 91356-3346

Phone Number: 818-783-0990 Fax Number: 818-783-0992

### <u>Deposition of William R. Weissman – Deposition Exhibit No. 10</u>

Excerpts from Applicant's Supplemental Responses to Opposer's First Set of Interrogatories to Applicant

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE TRADEMARK TRIAL AND APPEAL BOARD

SMITHKLINE BEECHAM CORPORATION,	)
Opposer,	) IN THE MATTER OF:
vs.	Opposition No. 91178539
OMNISOURCE DDS, LLC,	)
Applicant.	<u> </u>

## APPLICANT'S SUPPLEMENTAL RESPONSES TO OPPOSER'S FIRST SET OF INTERROGATORIES TO APPLICANT

#### I. GENERAL OBJECTIONS

Applicant hereby incorporates by reference, as if fully stated herein, the Preliminary Statement and General Objections in Applicant's Objections and Responses to Opposer's First Set of Interrogatories.

II. INTERROGATORIES

DODD SCREEN. NO. 10 Y PAGES 2 177 1 08 M. ELLERSICK, CSR 10531 WIT: 428550000 **INTEROGATORY NO. 10.** 

State all facts and identify all documents supporting Applicant's assertion in its Application

Serial No. 78/893,144 that it had, as of the application filing date, a bona fide intention to use

Applicant's Mark in commerce In connection with the goods identified in the application.

SUPPLEMENTAL ANSWER:

In addition to the general objections above, Applicant objects to this interrogatory as overly

broad, unduly burdensome, irrelevant, vague and containing multiple questions.

Notwithstanding and without waiving these objections, Applicant provides the following

response:

Applicant chose the mark AQUAJETT because it was, to its knowledge unique, and because the

name sounded good; and because the name was different in sound and meaning from all other

oral care marks of which Applicant had knowledge.

See documents produced by Applicant. Applicant's bona fide intent to use the AQUAJETT

mark in commerce is evidence in Applicant's patent filings and other documents indicating an

intention to manufacture dental instruments.

Dated: February 25, 2008

OMNISOURCE D.D.S. LLC

Erik M. Pelton, Esq.

Erik M. Pelton & Associates, PLLC

PO Box 100637

Arlington, Virginia 22210

TEL: (703) 525-8009

FAX: (703) 525-8089

## **CERTIFICATE OF SERVICE**

I hereby certify that a true copy of APPLICANT'S SUPPLEMENTAL RESPONSE TO OPPOSER'S FIRST SET OF INTERROGATORIES TO APPLICANT was deposited with postage sufficient for first class mail on February 25, 2008, to Counsel for Opposer at the following address:

Glenn A. Gundersen Dechert LLP Cira Centre, 2929 Arch Street Philadelphia, PA 19104-2808

and via email to counsel at erik.bertin@dechert.com.

By:

Erik M. Pelton, Esq.

## <u>Declaration of Erik Bertin – Exhibit B</u>

A true and correct copy of relevant portions of Applicant's Responses to Opposer's First Set of Interrogatories to Applicant.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE TRADEMARK TRIAL AND APPEAL BOARD

SMITHKLINE BEECHAM CORPORATION,	)	
Opposer,	) IN THE MATTER OF:	
vs.	Opposition No. 9117853	39
OMNISOURCE DDS, LLC,	)	
Applicant		

# APPLICANT'S OBJECTIONS AND RESPONSES TO OPPOSER'S FIRST SET OF INTERROGATORIES TO APPLICANT

#### I. PRELIMINARY STATEMENT

Applicant is presently pursuing its investigation and analysis of the facts and law relating to this case and has not yet completed preparation for the Opposition proceedings. The responses set forth herein are given without prejudice to Applicant's right to develop any theory or produce or use any subsequently discovered or previously unknown facts, documents or evidence, or to add to, modify or otherwise change or amend the responses herein. These responses are based upon writings and information currently available to Applicant. The information set forth is true and correct to the best knowledge of Applicant as of this date, and is subject to correction for inadvertent errors, mistakes or omissions.

### II. GENERAL OBJECTIONS

Applicant objects to each Interrogatory on the following grounds:

- 1. Applicant objects to each and every Interrogatory to the extent that it seeks information that is protected from discovery by the attorney/client privilege, the attorney work product doctrine, or any other claim of privilege.
- 2. Applicant objects to each and every Interrogatory on the grounds of vagueness, ambiguity, undue burden, uncertainty, and overbreadth in that, among other things, each and

#### **INTEROGATORY NO. 2.**

Identify the person(s) most knowledgeable about the selection, adoption and application for registration of Applicant's Mark and identify all documents which are relevant to Applicant's selection, adoption and application for registration of Applicant's Mark.

#### **ANSWER:**

William Weissman, DDS.

#### **INTEROGATORY NO. 3,**

Identify the person(s) most knowledgeable about Applicant's plans to use Applicant's Mark in advertising and promotion thereof.

#### **ANSWER:**

See response to Interrogatory No. 2.

Dated: November 8, 2007

OMNISOURCE D.D.S., LLC

By: \_\_\_\_\_\_\_\_\_

Erik M. Pelton, Esq.

Erik M. Pelton & Associates, PLLC

PO Box 100637

Arlington, Virginia 22210

TEL: (703) 525-8009 FAX: (703) 525-8089

#### **CERTIFICATE OF SERVICE**

I hereby certify that a true copy of APPLICANT'S RESPONSE TO OPPOSER'S FIRST SET OF INTERROGATORIES TO APPLICANT was deposited with postage sufficient for first class mail on November 8, 2007, to Counsel for Opposer at the following address:

Leigh Ann Lindquist
Sughrue Mion, PLLC
2100 Pennsylvania Ave, NW
Washington, DC 20037

By:

Erik M. Pelton, Esq.

p. 7

## **Declaration of Erik Bertin – Exhibit C**

A true and correct copy of relevant portions of Applicant's Responses to Opposer's First Set of Requests for Production of Documents and Things.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE TRADEMARK TRIAL AND APPEAL BOARD

SMITHKLINE BEECHAM CORPORATION,	)
Opposer,	) IN THE MATTER OF:
vs.	) Opposition No. 91178539
OMNISOURCE DDS, LLC,	
Applicant.	, )

# APPLICANT'S OBJECTIONS AND RESPONSES TO OPPOSER'S FIRST REQUESTS FOR PRODUCTION OF DOCUMENTS AND THINGS

## I. PRELIMINARY STATEMENT

Applicant is presently pursuing its investigation and analysis of the facts and law relating to this case and has not yet completed preparation for the Opposition proceedings. The responses set forth herein are given without prejudice to Applicant's right to develop any theory or produce or use any subsequently discovered or previously unknown facts, documents or evidence, or to add to, modify or otherwise change or amend the responses herein. These responses are based upon writings and information currently available to Applicant. The information set forth is true and correct to the best knowledge of Applicant as of this date, and is subject to correction for inadvertent errors, mistakes or omissions.

## II. GENERAL OBJECTIONS

Applicant objects to each Request on the following grounds:

1. Applicant objects each and every Request, including the definitions and instructions, to the extent the requests (a) contain requests that exceed the scope and requirements of the applicable federal and local rules and (b) purport to require discovery not

3. All documents and things supporting Applicant's claim of a bona fide intent to use the mark AQUAJETT in commerce in connection with the goods described in Applicant's application.

#### Response:

In addition to the general objections above, Applicant objects to this Request as overly broad, burdensome, requesting materials which are irrelevant, and requesting materials which are privileged and/or attorney-work product.

Notwithstanding and without waiving these objections, Applicant responds:

None. For more information about Applicant's goods, see USPTO application Serial No. 78893114 and U.S. Patent Nos. 5,564,629; 5,511,693; and 5,556,001.

6. All documents and things relating to Applicant's intended and/or actual advertising and promotion of its goods under its AQUAJETT mark.

#### Response:

In addition to the general objections above, Applicant objects to this Request as overly broad, burdensome, irrelevant, and requesting materials which are privileged and/or attorney-work product.

Notwithstanding and without waiving these objections, Applicant responds:

None. Applicant has not yet begun promoting or advertising any goods under the mark AQUAJETT.

For more information about Applicant's goods, see USPTO application Serial No.

78893114 and U.S. Patent Nos. 5,564,629; 5,511,693; and 5,556,001. Applicant intends to market the goods to users of oral care goods.

7. All documents and things evidencing total sales of Applicant's goods offered under the AOUAJETT Mark.

#### Response:

In addition to the general objections above, Applicant objects to this Request as overly broad, burdensome, and irrelevant.

Notwithstanding and without waiving these objections, Applicant responds:

None.

8. Documents and things sufficient to identify Applicant's advertising and promotional expenditures for goods to be offered and/or offered under the AQUAJETT mark.

#### Response:

In addition to the general objections above, Applicant objects to this Request as overly broad, burdensome, and irrelevant.

Notwithstanding and without waiving these objections, Applicant responds:

None.

Dated: November 8, 2007

OMNISOURCE D.D.S., LLC

By: Erik M. Pelton, Esq.

Erik M. Pelton & Associates, PLLC PO Box 100637

Arlington, Virginia 22210

TEL: (703) 525-8009 FAX: (703) 525-8089

#### **CERTIFICATE OF SERVICE**

I hereby certify that a true copy of the Applicant's Response to Opposer's First Requests for Production of Documents and Things was deposited as First Class mail with the United States Postal Service on November 8, 2007, to counsel for Opposer at:

Leigh Ann Lindquist Sughrue Mion, PLLC 2100 Pennsylvania Ave, NW Washington, DC 20037

By:

Erik M. Pelton, Esq.

## **Declaration of Erik Bertin – Exhibit D**

A true and correct copy of relevant portions of Applicant's Supplemental Responses to Opposer's First Set of Interrogatories to Applicant.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE TRADEMARK TRIAL AND APPEAL BOARD RECEIVED

SMITHKLINE BEECHAM CORPORATION,	) MAR 4 - 2008
Opposer,	) IN THE MATTER OF:
VS.	Opposition No. 91178539
OMNISOURCE DDS, LLC,	)
Applicant.	)

## APPLICANT'S SUPPLEMENTAL RESPONSES TO OPPOSER'S FIRST SET OF INTERROGATORIES TO APPLICANT

#### I. GENERAL OBJECTIONS

Applicant hereby incorporates by reference, as if fully stated herein, the Preliminary Statement and General Objections in Applicant's Objections and Responses to Opposer's First Set of Interrogatories.

#### **II. INTERROGATORIES**

#### **INTEROGATORY NO. 1.**

State the type of business in which Applicant is engaged, and identify any subsidiaries, parent companies or related companies which use Applicant's Mark or any other name or mark in which the term AQUAJETT appears.

#### **SUPPLEMENTAL ANSWER:**

In addition to the general objections above, Applicant objects to this interrogatory as overly broad, unduly burdensome, and containing multiple questions. Notwithstanding and without waiving these objections, Applicant provides the following response:

No

subsidiaries, parent companies or related companies which use Applicant's Mark or any other name or mark in which the term AQUAJETT appears.

1.21 150 **INTEROGATORY NO. 10.** 

State all facts and identify all documents supporting Applicant's assertion in its Application

Serial No. 78/893,144 that it had, as of the application filing date, a bona fide intention to use

Applicant's Mark in commerce In connection with the goods identified in the application.

SUPPLEMENTAL ANSWER:

In addition to the general objections above, Applicant objects to this interrogatory as overly

broad, unduly burdensome, irrelevant, vague and containing multiple questions.

Notwithstanding and without waiving these objections, Applicant provides the following

response:

Applicant chose the mark AQUAJETT because it was, to its knowledge unique, and because the

name sounded good; and because the name was different in sound and meaning from all other

oral care marks of which Applicant had knowledge.

See documents produced by Applicant. Applicant's bona fide intent to use the AQUAJETT

mark in commerce is evidence in Applicant's patent filings and other documents indicating an

intention to manufacture dental instruments.

Dated: February 25, 2008

OMNISOURCE D.D.S. LLC

Erik M. Pelton, Esq.

Erik M. Pelton & Associates, PLLC

PO Box 100637

Arlington, Virginia 22210

TEL: (703) 525-8009

FAX: (703) 525-8089

#### **CERTIFICATE OF SERVICE**

I hereby certify that a true copy of APPLICANT'S SUPPLEMENTAL RESPONSE TO OPPOSER'S FIRST SET OF INTERROGATORIES TO APPLICANT was deposited with postage sufficient for first class mail on February 25, 2008, to Counsel for Opposer at the following address:

Glenn A. Gundersen Dechert LLP Cira Centre, 2929 Arch Street Philadelphia, PA 19104-2808

and via email to counsel at erik.bertin@dechert.com.

By:

Erik M. Pelton, Esq.

## **Declaration of Erik Bertin – Exhibit E**

A true and correct copy of relevant portions of Applicant's Supplemental Responses to Opposer's First Set of Requests for Production of Documents and Things.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE TRADEMARK TRIAL AND APPEAL BOARD RECEIVED

SMITHKLINE BEECHAM CORPORATION,	)	MAR 4 - 2008
Opposer,	)	IN THE MATTER OF: A.G.
vs.	)	Opposition No. 91178539
OMNISOURCE DDS, LLC,	)	
Applicant.	)	

# APPLICANT'S SUPPLEMENTAL RESPONSES TO OPPOSER'S FIRST REQUESTS FOR PRODUCTION OF DOCUMENTS AND THINGS

#### I. GENERAL OBJECTIONS

Applicant hereby incorporates by reference, as if fully stated herein, the Preliminary Statement and General Objections in Applicant's Objections and Responses to Opposer's Requests for Production of Documents and Things.

#### II. REQUESTS FOR PRODUCTION

4. All documents and things relating to Applicant's proposed channels of trade, and/or actual channels of trade, to promote, offer and sell its goods under the mark AQUAJETT.

#### Supplemental Response:

In addition to the general objections above, Applicant objects to this Request as overly broad, burdensome, irrelevant, and requesting materials which are privileged and/or attorney-work product.

Notwithstanding and without waiving these objections, Applicant responds:

Applicant has not yet begun promoting or advertising any goods under the mark AQUAJETT. For more information about Applicant's goods, see USPTO application Serial No. 78893114 and U.S. Patent Nos. 5,564,629; 5,511,693; and 5,556,001. Applicant intends to market the goods to users of

oral care goods. Other than the documents previously produced and the patents referenced herein,

Applicant is not in possession of any responsive documents regarding the intended channels of trade.

5. All documents and things relating to Applicant's intended classes of customers and/or actual classes of customers to which the goods under Applicant's Mark are offered and/or intended to be offered.

#### Supplemental Response:

In addition to the general objections above, Applicant objects to this Request as overly broad, burdensome, irrelevant, and requesting materials which are privileged and/or attorney-work product.

Notwithstanding and without waiving these objections, Applicant responds:

Applicant has not yet begun promoting or advertising any goods under the mark AQUAJETT. For more information about Applicant's goods, see USPTO application Serial No. 78893114 and U.S. Patent Nos. 5,564,629; 5,511,693; and 5,556,001. Applicant intends to market the goods to users of oral care goods. Other than the documents previously produced and the patents referenced herein, Applicant is not in possession of any responsive documents regarding the intended classes of customers.

11. All documents and things which evidence the manner in which Applicant intends to use, and/or uses, the AQUAJETT mark in relation to the goods identified in Application Serial No. 78/893,144 its goods.

#### Supplemental Response:

In addition to the general objections above, Applicant objects to this Request as overly broad, burdensome, irrelevant, and requesting materials which are privileged and/or attorney-work product.

Notwithstanding and without waiving these objections, Applicant responds:

Applicant has not yet begun promoting or advertising any goods under the mark AQUAJETT. For more information about Applicant's goods, see USPTO application Serial No. 78893114 and U.S. Patent Nos. 5,564,629; 5,511,693; and 5,556,001. Applicant intends to market the goods to users of oral care goods. Other than the documents previously produced and the patents referenced herein, Applicant is not in possession of any responsive documents regarding the manner in which Applicant intends to use the mark.

12. All agreements, and documents relating thereto, which relate in any way to goods sold or to be sold under Applicant's Mark, including but not limited to trademark agreements or licenses.

#### Supplemental Response:

In addition to the general objections above, Applicant objects to this Request as irrelevant.

Notwithstanding and without waiving these objections, Applicant responds:

Applicant is not in possession of any responsive documents.

Dated: February 25, 2008

OMNISOURCE D.D.S., LLC

Erik M. Pelton, Esq.

Erik M. Pelton & Associates, PLLC

PO Box 100637 Arlington, Virginia 22210

TEL: (703) 525-8009 FAX: (703) 525-8089

#### **CERTIFICATE OF SERVICE**

I hereby certify that a true copy of the Applicant's Supplemental Response to Opposer's First Requests for Production of Documents and Things was deposited as First Class mail with the United States Postal Service on February 25, 2008, to counsel for Opposer at:

Glenn A. Gundersen Dechert LLP Cira Centre, 2929 Arch Street Philadelphia, PA 19104-2808

and via email to counsel at erik.bertin@dechert.com.

By:

Erik M. Pelton, Esq.

## **Declaration of Erik Bertin – Exhibit F**

A true and correct copy of relevant portions of Applicant's Responses to Opposer's Second Set of Interrogatories to Applicant.

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE TRADEMARK TRIAL AND APPEAL BOARD

SMITHKLINE BEECHAM CORPORATION,	)
Opposer,	) IN THE MATTER OF:
vs.	) Opposition No. 91178539
OMNISOURCE DDS, LLC,	) MAR 1 7 2008
Applicant.	) GAC

# APPLICANT'S RESPONSES TO OPPOSER'S SECOND SET OF INTERROGATORIES TO APPLICANT

#### I. GENERAL OBJECTIONS

Applicant hereby incorporates by reference, as if fully stated herein, the Preliminary Statement and General Objections in Applicant's Objections and Responses to Opposer's First Set of Interrogatories.

### Interrogatory No.7.

Identify each product that Applicant intends to offer, sell, or distribute in the United States bearing, displaying, or using Applicant's AQUAJETT Mark.

#### **RESPONSE:**

Oral irrigators.

#### Interrogatory No. 17.

Identify all forms of media where Applicant intends to advertise, market, or promote the products that are described in Application Serial No. 78/893,144.

#### **RESPONSE:**

In addition to the general objections above, Applicant objects to this interrogatory as overly broad, unduly burdensome, vague, irrelevant, duplicative, containing multiple questions, and speculative. Notwithstanding and without waiving these objections, Applicant provides the following response: Applicant intends to market and sell products using the AQUAJETT Mark to users of oral care goods. Applicant has not yet identified the specific details of how and where it will advertise.

Interrogatory No. 18.

Provide a list of all trade shows, conferences, and other public meetings where Applicant intends to advertise, market, or promote the products that are described in Application Serial No. 78/893,144.

#### **RESPONSE:**

In addition to the general objections above, Applicant objects to this interrogatory as overly broad, unduly burdensome, vague, irrelevant, duplicative, containing multiple questions, and speculative. Notwithstanding and without waiving these objections, Applicant provides the following response: Applicant intends to market and sell products using the AQUAJETT Mark to users of oral care goods. Applicant has not yet identified the specific details of how and where it will advertise.

#### Interrogatory No. 19.

If Applicant has licensed Applicant's AQUAJETT Mark to another person for use in the United States on or in connection with that person's goods or services, identify (a) each such person, (b) the products or services that have been licensed for use under Applicant's AQUAJETT Mark, and (c) the time period that each license was in effect or will be in effect.

#### **RESPONSE:**

Not applicable.

#### Interrogatory No. 20.

If Applicant or any other person has licensed any product or technology described in U.S. Patent Nos. 5,564,629, 5,511,693 or 5,556,001, identify (a) each such person, (b) the products or technology that have been licensed for use in the United States, and (c) the time period that each license was in effect or will be in effect.

#### **RESPONSE:**

Not applicable.

Dated: March <u>//</u>, 2008

OMNISOURCE D.D.S., LLC

Ву:\_\_\_\_\_

### Erik M. Pelton, Esq.

Erik M. Pelton & Associates, PLLC PO Box 100637 Arlington, Virginia 22210 TEL: (703) 525-8009

FAX: (703) 525-8089

#### **CERTIFICATE OF SERVICE**

> Glenn A. Gundersen Dechert LLP Cira Centre, 2929 Arch Street Philadelphia, PA 19104-2808

> > By:

Erik M. Pelton, Esq

## **Declaration of Erik Bertin – Exhibit G**

A true and correct copy of relevant portions of Applicant's Responses to Opposer's Second Request for Production of Documents and Things.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE TRADEMARK TRIAL AND APPEAL BOARD

SMITHKLINE BEECHAM CORPORATION,	)
Opposer,	) IN THE MATTER OF:
vs.	) Opposition No. 91178539 ) MAR 1 7 2008
OMNISOURCE DDS, LLC,	)
Applicant.	CA.E

# APPLICANT'S RESPONSES TO OPPOSER'S SECOND REQUEST FOR PRODUCTION OF DOCUMENTS AND THINGS

## I. GENERAL OBJECTIONS

Applicant hereby incorporates by reference, as if fully stated herein, the Preliminary Statement and General Objections in Applicant's Objections and Responses to Opposer's First Request for Production of Documents and Things.

Request No.2. All documents that refer or relate to Applicant's business or marketing plans for

each product that Applicant intends to offer, sell, or distribute in the United States bearing,

displaying, or using Applicant's AQUAJETT Mark.

**RESPONSE:** 

In addition to the general objections above, Applicant objects to this Request as overly broad,

burdensome, speculative, and requesting materials which are irrelevant. Notwithstanding and

without waiving these objections, Applicant responds:

None.

Request No.3. All documents that refer or relate to any of Applicant's business or marketing

plans for any product or technology described in U.S. Patent Nos. 5,564,629, 5,511,693 or

5,556,001.

**RESPONSE:** 

In addition to the general objections above, Applicant objects to this Request as overly broad,

burdensome, speculative, and requesting materials which are irrelevant. Notwithstanding and

without waiving these objections, Applicant responds:

None. Applicant has not yet used its mark in commerce.

Request No.4. A representative sampling of labels, tags, and other packaging materials for any

product that Applicant intends to offer, sell, or distribute in the United States showing, bearing,

or displaying Applicant's AQUAJETT Mark.

**RESPONSE:** 

In addition to the general objections above, Applicant objects to this Request as overly broad,

Opposition No. 91178539:

p. 2

burdensome, speculative, and requesting materials which are irrelevant. Notwithstanding and

without waiving these objections, Applicant responds:

None. Applicant has not yet used its mark in commerce.

Request No.5. A representative sampling of brochures, fliers, displays, advertising, and other

marketing, and promotional materials (including advertising, marketing, and promotional

materials displayed, published, or transmitted via the internet) that Applicant has used or intends

to use that depict and/or describe any product that Applicant intends to offer, sell, or distribute in

the United States using Applicant's AQUAJETT Mark.

**RESPONSE:** 

In addition to the general objections above, Applicant objects to this Request as overly broad,

burdensome, speculative, and requesting materials which are irrelevant. Notwithstanding and

without waiving these objections, Applicant responds:

None. Applicant has not yet used its mark in commerce.

Request No.6. Documents sufficient to identify any trade shows where Applicant has advertised,

marketed or promoted or intends to advertise, market, or promote any product under Applicant's

AQUAJETT Mark.

**RESPONSE:** 

In addition to the general objections above, Applicant objects to this Request as overly broad,

burdensome, speculative, and requesting materials which are irrelevant. Notwithstanding and

without waiving these objections, Applicant responds:

See documents produced herewith.

Opposition No. 91178539:

Applicant's Response to Opposer's Second Requests For Production

p. 3

Request No.7. A representative sampling of brochures, fliers, hand-outs and other advertising, marketing or promotional materials showing, bearing or displaying Applicant's AQUAJETT Mark that Applicant has distributed or intends to distribute at any trade show in the United States.

#### **RESPONSE:**

In addition to the general objections above, Applicant objects to this Request as overly broad, burdensome, speculative, and requesting materials which are irrelevant. Notwithstanding and without waiving these objections, Applicant responds:

None. Applicant has not yet used its mark in commerce.

Request No.9. Marketing plans, media plans, or other marketing or sales documents sufficient to identify the periodicals, newspapers, and other publications, the websites, the television and radio networks and stations, the cable television networks, and the other media outlets where

Applicant intends to advertise, market, or promote any product that it intends to offer, sell, or

distribute in the United States under Applicant's AQUAJETT Mark.

**RESPONSE:** 

In addition to the general objections above, Applicant objects to this Request as overly broad,

burdensome, speculative, and requesting materials which are irrelevant. Notwithstanding and

without waiving these objections, Applicant responds:

None. Applicant has not yet used its mark in commerce.

Request No. 10. A representative sampling of newspaper, magazine, or journal articles or other

media coverage concerning any products that Applicant intends to offer, sell, or distribute in the

United States under Applicant's AQUAJETT Mark.

**RESPONSE:** 

In addition to the general objections above, Applicant objects to this Request as overly broad,

burdensome, speculative, and requesting materials which are irrelevant. Notwithstanding and

without waiving these objections, Applicant responds:

None. Applicant has not yet used its mark in commerce.

Request No. 11. Documents sufficient to show the prices that Applicant intends to quote to

prospective customers for each product that Applicant intends to offer, sell, or distribute in the

United States under Applicant's AQUAJETT Mark.

**RESPONSE:** 

In addition to the general objections above, Applicant objects to this Request as overly broad,

burdensome, speculative, and requesting materials which are irrelevant. Notwithstanding and

Opposition No. 91178539:

p. 5

without waiving these objections, Applicant responds:

None. Applicant has not yet used its mark in commerce.

Request No. 12. Copies of any assignments, licenses or other agreements between Applicant and

any third party relating to the acquisition of any rights that have been granted under U.S. Patent

Nos. 5,564,629, 5,511,693, or 5,556,001.

**RESPONSE:** 

In addition to the general objections above, Applicant objects to this Request as overly broad,

burdensome, speculative, requesting materials which are irrelevant, and requesting materials

which are privileged and/or attorney-work product. Notwithstanding and without waiving these

objections, Applicant responds:

None. Applicant has not yet used its mark in commerce.

Request No. 13. All documents referring or relating to any product or technology described in

U.S. Patent Nos. 5,564,629, 5,511,693, or 5,556,001.

**RESPONSE:** 

In addition to the general objections above, Applicant objects to this Request as overly broad,

burdensome, speculative, requesting materials which are irrelevant, and requesting materials

which are privileged and/or attorney-work product. Notwithstanding and without waiving these

objections, Applicant responds:

None. Applicant has not yet used its mark in commerce.

Opposition No. 91178539:

p. 6

Dated: March <u>//</u>, 2008

OMNISOURCE D.D.S., LLC

By: Erik M. Pelton, Esq.

Erik M. Pelton & Associates, PLLC

PO Box 100637

Arlington, Virginia 22210 TEL: (703) 525-8009

FAX: (703) 525-8089

### **CERTIFICATE OF SERVICE**

I hereby certify that a true copy of APPLICANT'S RESPONSE TO OPPOSER'S SECOND REQUEST FOR PRODUCTION OF DOCUMENTS AN D THINGS was deposited with postage sufficient for first class mail on March \_\_//\_\_, 2008, to Counsel for Opposer at the following address:

Glenn A. Gundersen Dechert LLP Cira Centre, 2929 Arch Street Philadelphia, PA 19104-2808

By:

Erik M. Pelton, Esq.

1201 K Street Sacramento, CA 93814 Page 1 of 1

Phone :

Meeting Involce

3/4/2008

BILL TO: 00018552

William R. Weissman, DDS 10902 Riverside Dr North Hollywood, CA 91602-2210 SHIP TO:

00018552

William R. Weissman, DDS 10902 Riverside Dr North Hollywood, CA 91602-2210

Order No : 3000022892 Involce No : 30021819 Involce Date : 4/25/2003 PO#:

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Opposition No. 91178539

OMNISOURCE 000027

1201 K Street Sacramento, CA 95814 Page Foll I

Phone : Fax :

Meeting Invoice

3/4/2008

BILL TO: 00018552

William R. Weissman, DDS 10902 Riverside Dr

North Hollywood, CA 91602-2210

SHIP TO:

00018552

William R. Weissman, DDS 10902 Riverside Dr North Hollywood, CA 91602-2210

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	013	California Law Update: Your Legal Lifeli		4/16/2004 11:00 AM	4/16/2004 01:00 PM	Active	\$0.00
	029	California Infection Control Requirement		4/18/2004 00.30 AM	4/10/2004 10:00 614	rivire	\$0.00
	ADDBDG	Additional Badge		4/15/2004 08:00 AM	4/18/2004 05:00 PM	Active	\$40.00

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CALIFORNIA DENTAL ASSOCIATION PO Box 13749 Sacramento, CA 95853-4749

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1201 K Street Sacramento, CA 95814 Page Loft

Phone : Pax :

#### Meeting Invoice

3/4/2008

BILL TO:

00018552

William R. Weissman, DDS 10902 Riverside Dr North Hollywood, CA 91602-2210 SHIP TO:

00018552

William R. Weissman, DDS 10902 Riverside Dr

North Hollywood, CA 91602-2210

Order No : 3000082978 Invoice No : 30081006 Invoice Date : 4/18/2005 PO # : The second section of the section FEES/BALANCE DUE REG FEES MEETING INFORMATION \$0.00 \*EVENT FEES \$30.00 2005 Spring Scientific Session PACKAGE FEES : \$0,00 PREPAYMENTS : REFUNDS/ADJ. : \$30.00 Anaheim Convention Center BALANCE : ROO W Katella Ave Ansheim, CA 92802-3415 \*EVENT INFORMATION Package Id Date/Time:Start Dute/Time:End Status 5/12/2005 08:00 AM 5/15/2005 02:00 PM Active \$30.00 ADDBDG Additional Badge Please detach the lower portion and return it with your payment. Thank you. Ralance Due . Order 140 . 30000022770 involve No 1 30081096 Payment : \_ Customer No : 00018552 William R. Welssman, DDS \_\_\_\_ Check \_\_\_\_ Credit Card Visa, Master, AMEX

CC Holder Signature

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CALIFORNIA DENTAL ASSOCIATION PO Box 13749 Sacramento, CA 95853-4749

Exp. Date

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1201 K Street Sacramento, CA 95814 Page I of I

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3/4/2008

BILL TO:

00018552

William R. Weissman, DD\$ 10902 Riverside Dr

North Hollywood, CA 91602-2210

SHIP TO:

00018552

William R. Weissman, DDS

10902 Riverside Dr

North Hollywood, CA 91602-2210

Order No: 3000117586 Invoice No: 30113105 Invoice Date: 4/14/2006 PO#: .....

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Order No : 3000117586

Invoice No : 30115105

Balance Due :

Customer No : 00018552

William R. Weissman, DD\$

Payment : \_\_\_

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CALIFORNIA DENTAL ASSOCIATION PO Box 13749
Sacramento, CA 95853-4749

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Opposition No. 91178539

**OMNISOURCE 000030** 

1201 K Street Sacramento, CA 95814 Page 1 of 1

Phone :

Meeting Invoice

3/1/2008

BILL TO:

William R. Weissman, DDS 10902 Riverside Dr

North Hollywood, CA 91602-2210

SHIP TO:

00010552

William R. Weissman, DDS

10902 Riverside Dr

Balance Due :

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North Hollywood, CA 91602-2210

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William R. Weissman, DDS

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CALIFORNIA DENTAL ASSOCIATION PO Box 13749 Sacramento, CA 95853-4749

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Opposition No. 91178539

**OMNISOURCE 000031** 

1201 K Stroot Sacramento, CA 95814 Page f of 1

Phone: Fax :

Meeting Invoice

3/4/2008

BILL TO:

00018552

William R. Weissman, DDS 10902 Riverside Dr

North Hollywood, CA 91602-2210

SHIP TO:

00018552

William R. Weissman, DDS 10902 Riverside Dr

North Hollywood, CA 91602-2210

Order No: 3000164288 Invoice No: 30157335 Invoice Date: 2/29/2008 PO#: MEETING INFORMATION FEES/BALANCE DUE REO.FEES : \$0.00
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Please detach the lower portion and return it with your payment. Thank you.

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Invoice No : 30157335

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Customer No : 00018552

William R. Weissman, DDS

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CALIFORNIA DENTAL ASSOCIATION

PO Box 13749

Sacramonto, CA 95853-4749

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# **Declaration of Erik Bertin – Exhibit H**

A true and correct copy of relevant portions of Opposer's Requests for Admissions to Applicant.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

SMITHKLINE BEECHAM

CORPORATION

Opposer,

OMNISOURCE DDS, LLC

٧.

Applicant.

Opposition No. 91/178,539

# OPPOSER'S REQUESTS FOR ADMISSIONS TO APPLICANT

Pursuant to Rule 36 of the Federal Rules of Civil Procedure and Rules 2.116 and 2.120(h) of the Trademark Rules of Practice, Opposer SmithKline Beecham Corporation requests that Applicant Omnisource DDS, LLC answer the following requests for admission separately and fully in writing within 30 days.

Applicant is reminded that the Board's standard protective order automatically applies to this proceeding pursuant to Trademark Rule 2.116(g), including any information requested by these Requests that is allegedly confidential.

#### **DEFINITIONS**

Opposer incorporates by reference the Definitions set forth in Opposer's First and Second Set of Interrogatories to Applicant.

The term "AQUAJETT product" means any oral irrigator product that Applicant intends to offer in the United States.

# **REQUESTS FOR ADMISSIONS**

Request No. 1. Admit that prior to the filing date of Application Serial No. 78/893,144, William Weissman was aware that Opposer was using the Mark AQUAFRESH in the United States in connection with toothpastes.

Request No. 5. Admit that prior to the filing date of Application Serial No. 78/893,144, James Weissman was aware that Opposer was using the Mark AQUAFRESH in the United States in connection with toothpastes.

Request No. 17. Admit that an oral irrigator is an oral hygiene device for cleaning teeth.

Request No. 18. Admit that an oral irrigator is an oral hygiene device for cleaning spaces between teeth.

Request No. 19. Admit that an oral irrigator is an oral hygiene device for cleaning gums.

Request No. 29. Admit that Applicant intends to sell its AQUAJETT products in retail stores.

Request No. 30. Admit that Applicant intends to sell its AQUAJETT products through online retail stores.

Request No. 31. Admit that Applicant intends to sell its AQUAJETT products in discount retail stores.

Request No. 32. Admit that Applicant intends to sell its AQUAJETT products in drug stores.

Request No. 33. Admit that Applicant intends to sell its AQUAJETT products in pharmacies.

Request No. 34. Admit that Applicant intends to sell its AQUAJETT products in supermarkets and grocery stores.

Request No. 37. Admit that Applicant intends to sell its AQUAJETT products in department stores.

Request No. 38. Admit that Applicant intends to sell its AQUAJETT products in membership warehouse club stores, such as Costco or Sam's Club.

Request No. 39. Admit that Applicant intends to sell its AQUAJETT products in specialty stores that sell bath products, such as Bed, Bath and Beyond or Linens 'N Things.

Request No. 40. Admit that Applicant intends to sell its AQUAJETT products through dental health clinics.

Request No. 45. Admit that Applicant intends to offer its AQUAJETT products to ordinary consumers.

Request No. 46. Admit that Applicant intends to offer its AQUAJETT products to consumers that wear braces on their teeth.

Request No. 47. Admit that Applicant intends to offer its AQUAJETT products to dentists for use in their practice of dental medicine.

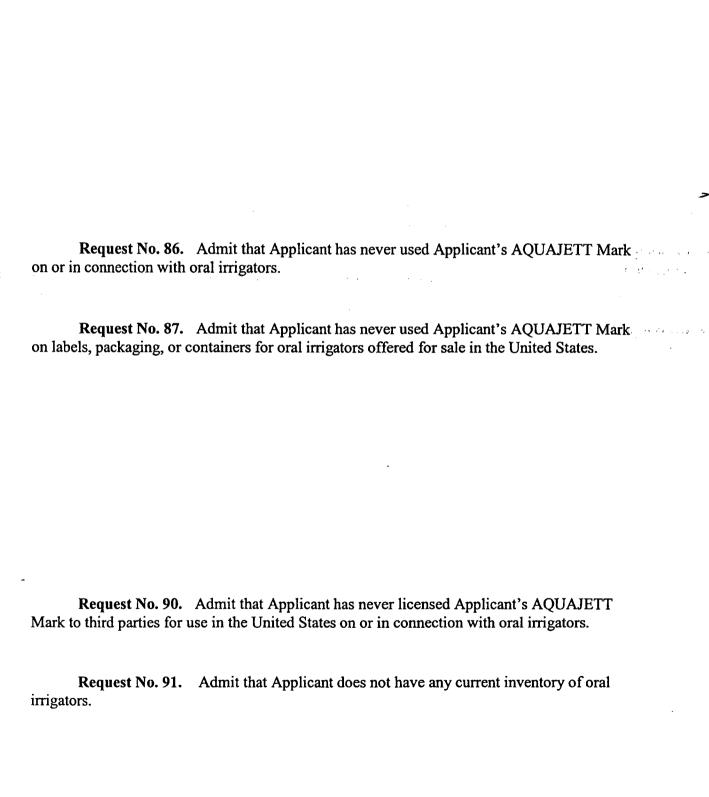
Request No. 48. Admit that Applicant intends to offer its AQUAJETT products to dental hygienists for use in their practice of dental medicine.

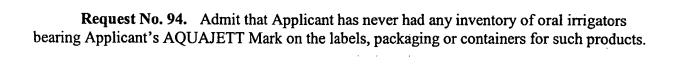
Request No. 49. Admit that Applicant intends to offer its AQUAJETT products to orthodontists for use in their practice of orthodontia.

Request No. 50. Admit that Applicant intends to offer its AQUAJETT products to endodontists for use in their practice of endodontia.

Request No. 71. Admit that Applicant intends to market its AQUAJETT products in general circulation magazines.

- Request No. 72. Admit that Applicant intends to market its AQUAJETT products in general circulation newspapers.
- Request No. 73. Admit that Applicant intends to market its AQUAJETT products in television commercials.
- Request No. 74. Admit that Applicant intends to market its AQUAJETT products in radio commercials.
- Request No. 75. Admit that Applicant intends to market its AQUAJETT products through point-of-sale advertisements.
- Request No. 76. Admit that Applicant intends to market its AQUAJETT products through internet advertising.

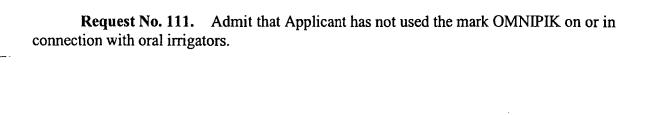




Request No. 97. Admit that Applicant has never had any inventory of advertising, marketing, or promotional materials for the oral irrigators identified in Application Serial No. 78/893,144.

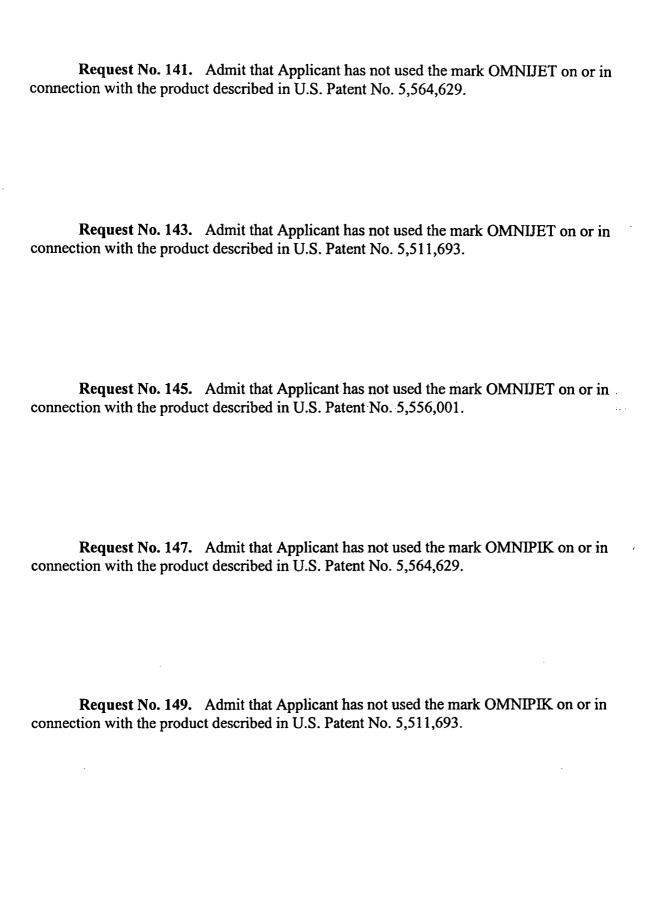
Request No. 109. Admit that Applicant has not used the mark OMNIJET on or in connection with oral irrigators.

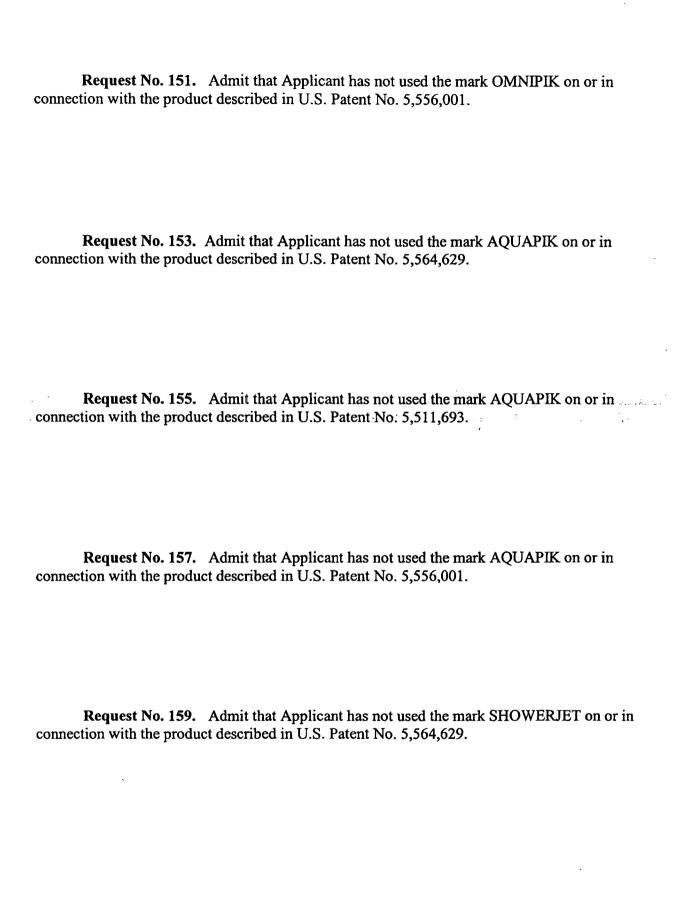
Request No. 110. Admit that Applicant does not intend to use the mark OMNIJET on or in connection with oral irrigators.



Request No. 113. Admit that Applicant has not used the mark AQUAPIK on or in connection with oral irrigators.

Request No. 115. Admit that Applicant has not used the mark SHOWERJET on or in connection with oral irrigators.





Request No. 161. Admit that Applicant has not used the mark SHOWERJET on or in connection with the product described in U.S. Patent No. 5,511,693.

Request No. 163. Admit that Applicant has not used the mark SHOWERJET on or in connection with the product described in U.S. Patent No. 5,556,001.

Request No. 165. Admit that William R. Weissman is the owner of U.S. Patent No. 5,564,629.

Request No. 166. Admit that William R. Weissman is the owner of U.S. Patent No. 5,511,693.

Request No. 171. Admit that Applicant has not licensed U.S. Patent No. 5,564,629 for use in the United States.

Request No. 172. Admit that Applicant has not licensed U.S. Patent No. 5,511,693 for use in the United States.

Request No. 173. Admit that Applicant has not licensed U.S. Patent No. 5,556,001 for use in the United States.

Glerm A. Gundersen

Erik Bertin

Jacob R. Bishop

DECHERT LLP

Cira Centre

2929 Arch Street

Philadelphia, PA 19104-2808

(215) 994-2183

Attorneys for Opposer, SMITHKLINE BEECHAM CORPORATION

Dated: February 11, 2008

# **CERTIFICATE OF SERVICE**

I hereby certify that a true and correct copy of the foregoing Opposer's Requests for Admission to Applicant is being deposited with the U.S. Postal Service, postage prepaid, addressed to Erik M. Pelton, Erik M. Pelton & Associates, PLLC, P.O. Box 100637, Arlington, Virginia 22210, on February 11, 2008.

Jacob R. Bisho

# <u>Declaration of Erik Bertin – Exhibit I</u>

A true and correct copy of relevant portions of Applicant's Responses to Opposer's Requests for Admissions.

# IIN THE UNITED STATES PATENT AND TRADEMARK OFFICE TRADEMARK TRIAL AND APPEAL BOARD

APPLICANT'S	G.A.G.	
Applicant.	)	MAR 17 2008
OMNISOURCE DDS, LLC,	j	المراج المراجع
vs.	) Opposition	1 No. 91178539 SECEIVED
Opposer,	) IN THE M	ATTER OF:
SMITHKLINE BEECHAM CORPORATION,	)	

# OPPOSER'S REQUESTS FOR ADMISSIONS

## I. PRELIMINARY STATEMENT

Applicant is presently pursuing its investigation and analysis of the facts and law relating to this case and has not yet completed preparation for the Opposition proceedings. The responses set forth herein are given without prejudice to Applicant's right to develop any theory or produce or use any subsequently discovered or previously unknown facts, documents or evidence, or to add to, modify or otherwise change or amend the responses herein. These responses are based upon writings and information currently available to Applicant. The information set forth is true and correct to the best knowledge of Applicant as of this date, and is subject to correction for inadvertent errors, mistakes or omissions.

#### II. GENERAL OBJECTIONS

Applicant makes the following general objections, whether or not separately set forth in response to each and every instruction, definition and request for admission:

1. Applicant objects to the introductory definitions to the Requests for Admission to the extent that such definitions purport to enlarge, expand, or alter in any way the plain meaning and scope of what is authorized by the Federal Rules of Civil Procedure on the ground that such enlargement, expansion, or alteration renders such request vague, ambiguous, unintelligible,

- 7. Applicant objects to each request for admission to the extent that it seeks information that is publicly available on the grounds that such requests are overly broad, unduly burdensome, and oppressive.
- 8. Applicant objects to each request for admission to the extent that it purports to impose upon Applicant the burden of furnishing information that is not available to Applicant or that is equally or more readily available to Opposer.

Without waiving the foregoing objections and incorporating said objections into each specific response below, Applicant responds to Opposer's Requests for Admission as follows:

### **III. REQUESTS FOR ADMISSION**

1. Admitted.

5. Admitted.

- 17. Admitted.
- 18. Admitted.
- 19. Admitted.

- 29. Applicant objects to this Request as requesting conjecture or speculation and not grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant must deny.
- 30. Applicant objects to this Request as requesting conjecture or speculation and not grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant

must deny.

- 31. Applicant objects to this Request as requesting conjecture or speculation and not grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant must deny.
- 32. Applicant objects to this Request as requesting conjecture or speculation and not grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant must deny.
- 33. Applicant objects to this Request as requesting conjecture or speculation and not grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant must deny.
- 34. Applicant objects to this Request as requesting conjecture or speculation and not grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant must deny.

- 37. Applicant objects to this Request as requesting conjecture or speculation and not grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant must deny.
  - 38. Applicant objects to this Request as requesting conjecture or speculation and not

grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant must deny.

- 39. Applicant objects to this Request as requesting conjecture or speculation and not grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant must deny.
- 40. Applicant objects to this Request as requesting conjecture or speculation and not grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant must deny.

45. Applicant objects to this Request as requesting conjecture or speculation and not grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant must deny.

- 46. Applicant objects to this Request as requesting conjecture or speculation and not grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant must deny.
- 47. Applicant objects to this Request as requesting conjecture or speculation and not grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant must deny.
- 48. Applicant objects to this Request as requesting conjecture or speculation and not grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant must deny.
- 49. Applicant objects to this Request as requesting conjecture or speculation and not grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant must deny.
- 50. Applicant objects to this Request as requesting conjecture or speculation and not grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant must deny.

- 71. Applicant objects to this Request as requesting conjecture or speculation and not grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant must deny.
- 72. Applicant objects to this Request as requesting conjecture or speculation and not grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant must deny.
- 73. Applicant objects to this Request as requesting conjecture or speculation and not grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant must deny.
  - 74. Applicant objects to this Request as requesting conjecture or speculation and not

grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant must deny.

- 75. Applicant objects to this Request as requesting conjecture or speculation and not grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant must deny.
- 76. Applicant objects to this Request as requesting conjecture or speculation and not grounded in fact. Since Applicant can neither admit nor deny the Request as asked, Applicant must deny.

86. Admitted.

25

- 87. Admitted.
- 90. Admitted.
- 91. Admitted.

- 94. Admitted.
- 97. Admitted.

111. Admitted.

113. Admitted.

145. Admitted.

147. Admitted.

149. Admitted.

155. Admitted.

157. Admitted.

159. Admitted.

161. Admitted.

- 165. Admitted.
- 166. Admitted.

- 171. Admitted.
- 172. Admitted.
- 173. Admitted.
- 174. Admitted.

Dated: March \_\_\_\_\_\_\_, 2008

OMNISOURCE J.D.S., LLC

Erik M. Pelton, Esq.

Erik M. Pelton & Associates, PLLC

PO Box 100637

Arlington, Virginia 22210 TEL: (703) 525-8009

FAX: (703) 525-8089

### **CERTIFICATE OF SERVICE**

I hereby certify that a true copy of APPLICANT'S RESPONSE TO OPPOSER'S REQUESTS FOR ADMISSIONS was deposited with postage sufficient for first class mail on March \_\_\_//, 2008, to Counsel for Opposer at the following address:

Glenn A. Gundersen

Dechert LLP

Cira Centre, 2929 Arch Street

Philadelphia, PA 19104-2808

By:

Erik M. Pelton, Esq.

# <u>Declaration of Erik Bertin – Exhibit J</u>

A certified status and title copy of Opposer's valid and subsisting U.S. Trademark Registration for the mark AQUAFRESH for "toothpaste" (Reg. No. 1,006,821.



# ANTER OVINABLE STRABBE (DEVINOR BOTH)

TO ALL TO WHOM: THESE; PRESENTS; SHAIL; COMES
UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

April 01, 2008

THE ATTACHED U.S. TRADEMARK REGISTRATION 1,006,821 IS CERTIFIED TO BE A TRUE COPY WHICH IS IN FULL FORCE AND EFFECT WITH NOTATIONS OF ALL STATUTORY ACTIONS TAKEN THEREON AS DISCLOSED BY THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE.

REGISTERED FOR A TERM OF 20 YEARS FROM March 18, 1975 2nd RENEWAL FOR A TERM OF 10 YEARS FROM March 18, 2005 SECTION 8 & 15 SAID RECORDS SHOW TITLE TO BE IN:

SMITHKLINE BEECHAM CORPORATION
A PENNSYLVANIA CORPORATION

By Authority of the

Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office

P. SWAIN

**Certifying Officer** 



Int. Cl.: 3

Prior U.S. Cl.: 51

United States Patent and Trademark Office

Reg. No. 1,006,821 Registered Mar. 18, 1975

10 Year Renewal

Renewal Term Begins Mar. 18, 1995

#### TRADEMARK PRINCIPAL REGISTER

#### **AQUAFRESH**

SMITHKLINE BEECHAM CORPORATION (PENNSYLVANIA CORPORATION)
ONE FRANKLIN PLAZA
P.O. BOX 7929
PHILADELPHIA, PA 19101, BY ASSIGNMENT, MERGER AND MERGER
WITH BEECHAM INC. (NEW JERSEY
CORPORATION) CLIFFSIDE, NJ

OWNER OF U.S. REG. NOS. 881,389, 975,620 AND OTHERS.

FOR: TOOTHPASTE, IN CLASS 3 (U.S. CL. 51).

FIRST USE 8-25-1972; IN COMMERCE 8-25-1972.

SER. NO. 73-025,592, FILED 6-28-1974.

In testimony whereof I have hereunto set my hand and caused the seal of The Patent and Trademark Office to be affixed on July 11, 1995.

COMMISSIONER OF PATENTS AND TRADEMARKS

Int. Cl.: 3

Prior U.S. Cl.: 51

# United States Patent Office

Reg. No. 1,006,821 Registered Mar. 18, 1975

# TRADEMARK Principal Register

## **AQUAFRESH**

Beecham Inc. (New Jersey corporation) 65 Industrial S. Clifton, N.J. 07012 For: TOOTHPASTE, in CLASS 3 (U.S. CL. 51). First use Aug. 25, 1972; in commerce Aug. 25, 1972. Owner of Reg. Nos. 881,389, 975,620, and others.

Ser. No. 25,592, filed June 28, 1974.

### **Declaration of Erik Bertin – Exhibit K**

A certified status and title copy of Opposer's valid and subsisting U.S. Trademark Registration for the mark AQUA FLOSS for a "water jet oral hygiene device for cleaning and irrigating gums and spaces between teeth" (Reg. No. 1,660,337), and a certified copy of an assignment transferring this registration to Opposer, which has been recorded at Reel/Frame 2376/0573-0576.



# THE BUNIUDED STRANKS (DEANYORR CA

TO ALL TO WHOM THESE PRESENTS SHALL COMES
UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

April 01, 2008

THE ATTACHED U.S. TRADEMARK REGISTRATION 1,660,337 IS CERTIFIED TO BE A TRUE COPY WHICH IS IN FULL FORCE AND EFFECT WITH NOTATIONS OF ALL STATUTORY ACTIONS TAKEN THEREON AS DISCLOSED BY THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE.

REGISTERED FOR A TERM OF 10 YEARS FROM October 08, 1991 1st RENEWAL FOR A TERM OF 10 YEARS FROM October 08, 2001 SECTION 8 & 15 SAID RECORDS SHOW TITLE TO BE IN:

SMITHKLINE BEECHAM CORPORATION
A PENNSYLVANIA CORPORATION

By Authority of the

Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office

P. SWAIN

**Certifying Officer** 



Int. Cl.: 10

Prior U.S. Cl.: 44

United States Patent and Trademark Office Reg. No. 1,660,337
Registered Oct. 8, 1991

# TRADEMARK PRINCIPAL REGISTER

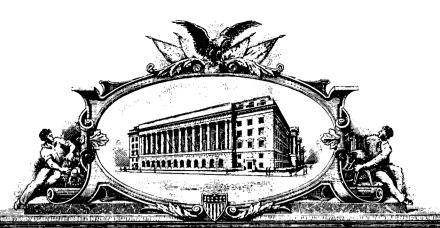
### **AQUA FLOSS**

TOCAD CO., LTD. (JAPAN CORPORATION) 4-6, 1-CHOME KITASENZOKU, OTA-KU, TOKYO 145, JAPAN

FOR: WATER JET ORAL HYGIENE DEVICE FOR CLEANING AND IRRIGATING GUMS AND SPACES BETWEEN TEETH, IN CLASS 10 (U.S. CL. 44). FIRST USE 6-1-1990; IN COMMERCE 6-1-1990.

SN 74-025,271, FILED 2-2-1990.

CARYN HINES, EXAMINING ATTORNEY



INTER UNIVERSIDATION OF THE STATE OF THE STA

TS 7120788

TO ALL TO WHOM THESE PRESENTS SHALL COMES

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

April 02, 2008

THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON SEPTEMBER 25, 2001.

By Authority of the

Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office

> T. LAWRENCE Certifying Officer

-FORM PTO-1594 08/31/92	10-02-200	SHEET NLY	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
To the Honorable Commissione	10186174		al documents or copy thereof.
Name of conveying party(ies):	i i	2. Name and address of rece	
ToCad Co., Ltd. 9.25	·s)		ompany Ltd. SEP 15
☐ Individual(s) ☐ General Partnership ☐ Corporation-State ☑ Other a corporation organized under  Additional name(s) of conveying party(ies) atta ☐ Yes ☑ No  3. Nature of conveyance: ☑ Assignment ☐ Security Agreement		□ Association □ General Partnership □ Limited Partnership □ Corporation-State □ Other corporation or  If assignee is not domiciled in designation is attached: □	,
Other		Additional name(s) & address	(es) attached?  Yes  No
Application number(s) or registration num     A. Trademark Application No.(s)	nber(s):	B. Trademark registratio	п No.(s)
	Additional numbers attac	ched? □ Yes ☑ No	
Name and address of party to whom corrocument should be mailed:  Name: <u>Deborah Schavey Ruff</u> Internal Address:		7. Total fee (37 CFR 3.4	to deposit account any additional fees
Street Address: Mayer, Brown & Platt P.O. Box 2828		8. Deposit account number:	
City: Chicago State: IL	ZIP: <u>60690-2828</u>	(Attach duplicate copy of this	s page if paying by deposit account)
10/01/2001 DBYRME 00000112 1660337 D1 FC:481 40.00	DO NOT USE	THIS SPACE	
9. Statement and signature.  To the best of my knowledge and belief, the j  Deborah Schavey Ruff  Name of Person Signing  (97347272 - ZGB	foregoing information is true and	Marture / /	2 is a true copy of the original document.  9 21 01.  Date  ages comprising cover sheet:
	Do not detac	th this portion	
Mail documents to be recorded with require	Commissioner of Box Assignments Washington, D.C	an in the second second second	recorded including time for reviewing the
Public burden reporting for this sample she document and gathering the data needed, an U.S. Patent and Trademark Office, Office Budget, Paperwork Reduction Project (065)	of Information Systems, PK2-10	00C, Washington, D.C. 20231	ments regarding this burden estimate to the l, and to the Office of Management and

TRADEMARK REEL: 002376 FRAME: 0573 TRADEMARK ASSIGNMENT

THIS TRADEMARK ASSIGNMENT (the "Assignment") is between ToCad Co., LTD. (the

"Assignor") and ToCad Engery Company Ltd.(the "Assignee").

WHEREAS, ASSIGNOR is the owner of all right, title, and interest in the trademarks,

service marks and trade names listed on the attached Exhibit A (collectively, the

"TRADEMARKS") which Exhibit A is incorporated by reference into this Agreement. and

WHEREAS, ASSIGNOR desires to assign all right, title and interest in the TRADEMARKS

to Assignee and Assignee desires to acquire all of Assignor's right, title and interest in,

to and under the TRADEMARKS.

Now, Therefore, for good and valuable consideration, the receipt and sufficiency

of which are hereby acknowledged, Assignor does hereby sell, assign, transfer and set

over to AssignEE, its successors, legal representatives and assigns, all of Assignor's right,

title and interest in and to the TRADEMARKS together with the goodwill of the business

associated with said TRADEMARKS and registrations thereof (including, without limitation,

all proceeds thereof and the rights to sue for past, present and future infringements), the

same to be held and enjoyed by ASSIGNEE, for its own use and on behalf of its successors,

legal representatives and assigns, as fully and entirely as the same would have been held

and enjoyed by Assignor, had this sale and assignment not been made.

1087336.1 70301 948C 97347272

TRADEMARK

REEL: 002376 FRAME: 0574

In witness of this assignment, authorized repres	sentatives of Assignor and Assignee
have executed this Assignment as of the 17 day of	- August , 2001.
ToCad Co., Ltd., Assignor  By: Malana  Name: MITSUMIRO MATSUMOTO  Title: ZVP	August 17, 2001
ToCad Energy Company, Ltd. Assignee	
By: Takeshi Fujikana  Title: President	<u>August 17</u> , 2001

### **EXHIBIT A**

### I. U.S. TRADEMARK REGISTRATION(S)

Trademark	Registration No.	Registration Date
AQUA FLOSS	1,660,337	October 8, 1991

1087336.1 70301 948C 97347272

TRADEMARK

REEL: 002376 FRAME: 0576

## <u>Declaration of Erik Bertin – Exhibit L</u>

True and correct copies of print-outs from the Trademark Office's TESS and TARR database for U.S. Application Serial No. 78/797,498 for the mark OMNIFRESH for "oral irrigators, dental picks, tongue scrapers" in Class 10.



**United States Patent and Trademark Office** 

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### Trademarks > Trademark Electronic Search System (TESS)

TESS was last updated on Fri Apr 4 04:12:56 EDT 2008

TESS HOME NEW USER STRUCTURED FREE FORM BROWSE DICT SEARCH OG

BOTTOM

Logout Please logout when you are done to release system resources allocated for you.

### Record 1 out of 1

TARR Status ASSIGN Status

TDR

TTAB Status

( Use the "Back" button of the Internet

Browser to return to TESS)

# OMNIFRESH

**Word Mark** 

Goods and **Services** 

**OMNIFRESH** 

IC 003. US 001 004 006 050 051 052. G & S: Breath freshener, Toothpastes, Tooth powders, Oral tooth and mouth cleansing gels, Dentifrices in the form of creams and pastes, Mouthwashes, Nonmedicated mouthwash and gargle, Dental bleaching gel, Non-medicated dental rinse, Breath mints, Non-medicated breath-freshening strips, Denture cleanser, Retainer cleanser

IC 005. US 006 018 044 046 051 052. G & S: Breath-freshening chewing gum for medicinal purposes, Medicated mouthwash, Dental rinse, Dental polish, Medicated lozenges, Antiseptics, Antiseptic preparations, Antiseptic mouthwash, Medicated oral mouth sprays, Medicated dental floss, Medicated breath-freshening strips

IC 010. US 026 039 044. G & S: Oral irrigators, Dental picks, Tongue scrapers

IC 021, US 002 013 023 029 030 033 040 050. G & S: Toothbrushes, Denture brushes, Interdental brushes, Dental floss, Interdental toothpick

Standard **Characters** Claimed

**Mark Drawing** 

(4) STANDARD CHARACTER MARK

Code Serial Number 78797498

**Filing Date** 

January 23, 2006

**Current Filing Basis** 

1B

Original Filing

Basis

**Published for** 

Opposition

September 12, 2006

TIMMOTTONIC PIONOTE PARTIE PARTIE (TENDO)

Owner

(APPLICANT) Omnisource DDS, LLC CORPORATION CALIFORNIA 10902 Riverside Drive North

Hollywood CALIFORNIA 91602

Attorney of Record

THOMAS I. ROZSA

Type of Mark

**TRADEMARK** 

Register

**PRINCIPAL** 

Live/Dead

Indicator

LIVE

TESS HOME NEW USER STRUCTURED FREE FORM BROWSE DICT SEARCH OG

TOP

HELP

- ----

|.HOME | SITE INDEX | SEARCH | eBUSINESS | HELP | PRIVACY POLICY

Thank you for your request. Here are the latest results from the TARR web server.

This page was generated by the TARR system on 2008-04-04 16:51:06 ET

Serial Number: 78797498 Assignment Information Trademark Document Retrieval

**Registration Number: (NOT AVAILABLE)** 

Mark

# **OMNIFRESH**

(words only): OMNIFRESH

Standard Character claim: Yes

Current Status: A request for the second extension of time to file a statement of use has been granted.

**Date of Status: 2007-12-21** 

Filing Date: 2006-01-23

The Notice of Allowance Date is: 2006-12-05

Transformed into a National Application: No

**Registration Date: (DATE NOT AVAILABLE)** 

Register: Principal

Law Office Assigned: LAW OFFICE 102

Attorney Assigned:

HERRERA ROSELLE MORALDE

Current Location: 700 -Intent To Use Section

Date In Location: 2007-12-18

### LAST APPLICANT(S)/OWNER(S) OF RECORD

1. Omnisource DDS, LLC

Address:

Omnisource DDS, LLC 10902 Riverside Drive North Hollywood, CA 91602

**United States** 

Legal Entity Type: Corporation

State or Country of Incorporation: California

#### GOODS AND/OR SERVICES

International Class: 003 Class Status: Active

Breath freshener, Toothpastes, Tooth powders, Oral tooth and mouth cleansing gels, Dentifrices in the form of creams and pastes, Mouthwashes, Non-medicated mouthwash and gargle, Dental bleaching gel, Non-medicated dental rinse, Breath mints, Non-medicated breath-freshening strips, Denture cleanser,

Retainer cleanser

Basis: 1(b)

First Use Date: (DATE NOT AVAILABLE)

First Use in Commerce Date: (DATE NOT AVAILABLE)

International Class: 005 Class Status: Active

Breath-freshening chewing gum for medicinal purposes, Medicated mouthwash, Dental rinse, Dental polish, Medicated lozenges, Antiseptics, Antiseptic preparations, Antiseptic mouthwash, Medicated oral

mouth sprays, Medicated dental floss, Medicated breath-freshening strips

Basis: 1(b)

First Use Date: (DATE NOT AVAILABLE)

First Use in Commerce Date: (DATE NOT AVAILABLE)

International Class: 010 Class Status: Active

Oral irrigators, Dental picks, Tongue scrapers

Basis: 1(b)

First Use Date: (DATE NOT AVAILABLE)

First Use in Commerce Date: (DATE NOT AVAILABLE)

International Class: 021 Class Status: Active

Toothbrushes, Denture brushes, Interdental brushes, Dental floss, Interdental toothpick

Basis: 1(b)

First Use Date: (DATE NOT AVAILABLE)

First Use in Commerce Date: (DATE NOT AVAILABLE)

#### ADDITIONAL INFORMATION

(NOT AVAILABLE)

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### PROSECUTION HISTORY

# NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

- 2007-12-21 Extension 2 granted
- 2007-12-03 Extension 2 filed
- 2007-12-03 PAPER RECEIVED
- 2007-08-24 Extension 1 granted
- 2007-05-23 Extension 1 filed
- 2007-05-23 PAPER RECEIVED
- 2007-05-23 Assigned To Examiner
- 2006-12-05 Notice of allowance mailed
- 2006-09-12 Published for opposition
- 2006-08-29 Review Of Correspondence Complete
- 2006-08-23 Notice of publication
- 2006-08-18 PAPER RECEIVED
- 2006-07-26 Law Office Publication Review Completed
- 2006-07-24 Assigned To LIE
- 2006-07-14 Approved for Pub Principal Register (Initial exam)
- 2006-07-14 Examiner's Amendment Entered
- 2006-07-14 Examiners amendment e-mailed
- 2006-07-14 Examiners Amendment -Written
- 2006-07-12 Assigned To Examiner
- 2006-06-01 Attorney Revoked And/Or Appointed
- 2006-06-01 TEAS Revoke/Appoint Attorney Received
- 2006-01-27 New Application Entered In Tram

#### ATTORNEY/CORRESPONDENT INFORMATION

**Attorney of Record** THOMAS I. ROZSA

Correspondent

THOMAS I. ROZSA ROZSA LAW GROUP LC 18757 BURBANK BLVD STE 220 TARZANA CA 91356-3346

Phone Number: (818) 783-0990 Fax Number: (818) 783-0992

### <u>Declaration of Erik Bertin – Exhibit M</u>

True and correct copies of a document numbered OMNISOURCE 000002, which was produced by Applicant Omnisource DDS, LLC in response to Opposer's First Set of Document Requests



# State of California Kevin Shelley Secretary of State

# LIMITED LIABILITY COMPANY ARTICLES OF ORGANIZATION

A \$70.00 filing fee must accompany this form.

IMPORTANT - Read instructions before completing this form.

This Space For Fiting Use Only

ENDORSED - FILED in the office of the State of California

MAY 2 5 2005

200514810012

ENTITY NAME (End the name with the words "Limited Liability Company," "Ltd. Liability Co..." or the abbreviations "LLC" or "LLC" or "LLC"

TALLE LANGE (The section with the sec	TOP INTINGE CHIMING COMPANY	, Liu. Liability Co., t	AL NAME OF THE PARTY OF T	LLL OF TLLLC.
I. NAME OF LIMITED LIABILITY COMPANY	DMNISOURCE	205, LLC		

PURPOSE (The following statement is required by statute and may not be aftered.)

2. THE PURPOSE OF THE LIMITED LIABILITY COMPANY IS TO ENGAGE IN ANY LAWFUL ACT OR ACTIVITY FOR WHICH A LIMITED LIABILITY COMPANY MAY BE OPPOSITED INTER THE PURPOSE OF THE LIMITED LIABILITY.

COMPANY MAY BE ORGANIZED UNDER THE BEVERLY-KILLEA LIMITED LIABILITY CO	MPANY ACT.		,
INITIAL AGENT FOR SERVICE OF PROCESS (If the agent is an individual, the a completed. If the agent is a corporation, the agent must have on file with the California section 1505 and Item 3 must be completed (leave item 4 blank).	gent must reside in Califi Secretary of State a ceri	iomia and both items 3 and 4 must be tificate pursuant to Corporations Code	e .
3. NAME OF INITIAL AGENT FOR SERVICE OF PROCESS		<del></del>	
William Weistman Garage			
4. IF AN INDIVIDUAL, ADDRESS OF INITIAL AGENT FOR SERVICE OF PROCESS IN CALIF		STATE ZIP CODE	_
William Weissman 10902 Riverside Dr. No.	Hollywood	CA 9/602	
MANAGEMENT (Check only one)			·
5. THE LIMITED LIABILITY COMPANY WILL BE MANAGED BY:			
ONE MANAGER		. <del>.</del>	
MORE THAN ONE MANAGER			
ALL LIMITED LIABILITY COMPANY MEMBER(S)		· en-	
ADDITIONAL INFORMATION			سينت
ADDITIONAL INFORMATION SET FORTH ON THE ATTACHED PAGES, IF ANY, IS INCO OF THIS CERTIFICATE.	RPORATED HEREIN BY	THIS REFERENCE AND MADE A PART	T
EXECUTION		·	-
7. I DECLARE I AM THE PERSON WHO EXECUTED THIS INSTRUMENT, WHICH EXECUTE	ON IS MY ACT AND DEE!	D.	
aldlion Wester	Ma	13 2005	
SIGNATURE OF ORGANIZER	DATE	, 17, 2005	-
William Weissman TYPE OR PRINT NAME OF ORGANIZER			
TYPE OR PRINT NAME OF ORGANIZER			
RETURN TO (Enter the name and the address of the person or firm to whom a copy of	the filed document should	d be returned.)	_
8. NAME	7	CEOFTU	
FIRM	·		
ADDRESS		1.000	
CITY/STATE/ZIP	j .	16	
LLC-1 (REV 12/2004)		APPROVED BY SECRETARY OF STA	ATE

### Certificate of Mailing by Express Mail

I hereby certify that the foregoing Declaration of Erik Bertin is being deposited with the United States Postal Service as Express Mail, Post Office to Addressee in an envelope addressed to: Commissioner for Trademarks, P.O. Box 1451, Alexandria, Virginia 22313-1451, on April 8, 2008.

Erik Bertin Person Signing Certificate

April 8, 2008 Date of Signature

Enh Bertin

EB 303226528 US Express Mail Number

Enh Bertin

Signature

### **CERTIFICATE OF SERVICE**

I hereby certify that a true and correct copy of the foregoing Declaration of Erik Bertin has been duly served by mailing such copy first class, postage prepaid, to Erik M. Pelton, P.O. Box 100637, Arlington, VA 22210 on April 8, 2008.

Erik Bertin